

California State Board of Pharmacy 2720 Gateway Oaks Drive, Suite 100 Sacramento, CA 95833

Phone: (916) 518-3100 Fax: (916) 574-8618

www.pharmacy.ca.gov

Business, Consumer Services and Housing Agency Department of Consumer Affairs Gavin Newsom, Governor



APPLICATION INSTRUCTIONS NONRESIDENT STERILE COMPOUNDING PHARMACY LICENSE

(Business & Professions Code Sections 4127 et. seq.)

A nonresident pharmacy shall not compound sterile drug products for shipment into California without a sterile compounding pharmacy license issued by the Board pursuant to Business and Professions Code Sections 4127.2. The license shall be renewed annually and is not transferable.

A license to compound sterile drug products may not be issued or renewed until the location is inspected by the Board and found to be in compliance with the Business and Professions Code and regulations adopted by the Board.

All pharmacies that compound sterile drug products must follow Board regulations for sterile compounding found in the California Code of Regulations beginning with section 1751.

IMPORTANT: Follow these instructions completely. A checklist is provided with these instructions. The Board encourages the use of the checklist to assist with the application process. If the number of forms included in this application is insufficient, print additional copies. <u>Allow approximately 45 days from the date your application is received by the Board before checking on the status.</u> The contact person(s) designated on the application will be advised if additional information is necessary. Incomplete or redacted copies of supporting documents will not be accepted.

CHANGE OF OWNERSHIP / LOCATION

A sterile compounding pharmacy license is nontransferable. A license is issued to the owner(s) and for the location of the facility. All approved change of ownership and change of location applications will result in a new license number being issued. Operating the facility prior to a new license being issued is unlicensed activity and may result in denial or disciplinary action by the Board.

CHANGE OF OWNERSHIP / LOCATION DOCUMENTATION: In addition to these application requirements, an application for the primary pharmacy must be submitted along with all the required documentation identified in the instructions for the appropriate primary application.

SIGNATURES: Any time a signature is required, it must be an original dated signature or a digital signature that complies with the <u>Board's Digital Signatures Policy Statement</u> located on the Board's website. Sterile Compounding applications with digital signatures shall be emailed to <u>Compounding.Pharmacy@dca.ca.gov</u>.

WHEN SUBMITTING DOCUMENTS TO THE BOARD, KEEP A COPY FOR YOUR RECORDS.

CHECKLIST FOR FILING A NONRESDIENT STERILE COMPOUNDING PHARMACY APPLICATION

Use this checklist to ensure your application is complete prior to submitting. If the application is not complete, the Board will notify you of any deficiencies. Failure to complete your application within 60 days after being notified of deficiencies may result in the application being deemed abandoned. You will then be required to file a new application and meet all of the requirements in effect at the time of reapplication.

□ APPLICATION FEE: \$8,500

Include a check or money order made payable to the California State Board of Pharmacy. <u>This fee is nonrefundable.</u>

Optional: Temporary License Fee: \$1,500

To request a temporary nonresident sterile compounding license, submit the temporary nonresident sterile compounding pharmacy license fee <u>in addition to</u> the application fee.

NOTE: Temporary licenses may be issued by the Board in its discretion, upon such conditions and for such periods of time, not to exceed 180 days, as the Board determines to be in the public interest. The temporary nonresident sterile compounding pharmacy license fee is nonrefundable once the application has been reviewed.

- If other than a change of ownership and/or location, include a written letter signed by the owner, partner, officer, member, etc., that clearly explains why a temporary license is needed to protect public safety.
- When a change of ownership/location occurs, a temporary license must be requested or the nonresident sterile compounding pharmacy shall discontinue conducting business with California until a new license to compound sterile drug products is obtained.
- □ NONRESIDENT STERILE COMPOUNDING PHARMACY APPLICATION (form 17A-50 rev.1/2025): Complete the entire application and submit with an original dated signature or a digital signature that complies with the Board's Digital Signatures Policy Statement. Scanned or stamped signatures are not accepted.

The following items numbered below correspond to the numbered sections on the Sterile Compounding Pharmacy Application (17A-50)

- 1. Applicant Information:
 - A. **Name of Sterile Compounding Pharmacy:** List the name of the applicant, the name should match the name on the license issued by your home state and the primary nonresident pharmacy issued by California or the name on the primary nonresident pharmacy license application.
 - B. **Primary Nonresident Pharmacy Physical Location:** List the address of the primary nonresident pharmacy, this should match the licensed nonresident pharmacy or address listed on the primary nonresident pharmacy application.
 - C. **Nonresident Sterile Compounding Exact Physical Location:** List the room number or room name of the specific area in which the sterile compounding functions will be conducted in the primary pharmacy location.
 - D. **Primary Nonresident Pharmacy and Nonresident Sterile Compounding Pharmacy Phone Number:** List the direct phone numbers to reach pharmacy staff in the primary and sterile compounding pharmacy locations.
- **2. Type of Application:** Identify the type of application and include the anticipated opening, change of ownership, or move date, as applicable. If requesting a temporary license, submit the required fee.

3. Type of Ownership of Primary Nonresident Pharmacy: Provide the FEIN# and Identify the type of ownership of the business entity that directly owns the primary nonresident pharmacy. This should match the ownership identified on primary nonresident pharmacy license or pending application.

4. Primary Nonresident Pharmacy:

- A. Identify if the pharmacy is licensed in the home state.
- B. Identify if the sterile compounding pharmacy is licensed in the home state. Identify if the home state issued the sterile compounding license independent of the pharmacy license.
- C. Identify if the primary pharmacy is licensed in California as a nonresident pharmacy and if the Board should proceed with issuing the nonresident pharmacy license once approved prior to the issuance of the nonresident sterile compounding pharmacy. If answered no, the nonresident pharmacy will not be issued until the nonresident sterile compounding pharmacy is approved for issuance.
- **5. Pharmacist-in-Charge (PIC) of Nonresident Pharmacy:** The proposed PIC listed must match the reported PIC on the primary nonresident pharmacy license or pending application. The PIC must complete, sign, and date Section 5 of the license application.

6. Compounding to be Performed:

- Indicate the type of sterile compounding to be performed.
- Indicate the type of products to be compounded.
- Identify the number of hoods/barrier isolators
- **7. Contact Person:** The individuals listed in this section will be authorized for the Board to correspond and communicate with regarding the application.
 - A. List in 7A the individual who is the authorized contact person.
 - B. List in 7B an owner/officer of the primary license ownership of record with the Board or primary license applicant on identified in Section 13 and/or 14 of the application primary pharmacy license.
 - C. Identify in 7C if the Board is authorized to communicate the status of the application to the proposed PIC.
 - D. **Change of Ownership Only:** Identify in 7D if the Board is authorized to communicate the status of the application with the current owner on record.
 - **Note:** If additional individuals need to be included in the Board's correspondence, submit a completed Authorization to Release Applicant Information form (see page 5 of the application) signed by an owner/officer of the applicant.
- **8.** Change of Ownership or Location: If applicable, list the current licensee information that will be changing ownership or location.
- **9.** Applicant Advisements and Affidavit: Must be signed as instructed in A or B.
 - A. If the applicant is a natural person, that individual must sign.
 - B. If the applicant is other than a natural person, the application must be signed by an individual listed on the primary nonresident pharmacy license record as having binding authority or an individual listed in Section 13 of the primary nonresident pharmacy license application.

ADDITIONAL DOCUMENTS TO SUBMIT IN ADDITION TO THE NONRESIDENT STERILE COMPOUNDING APPLICATION 17A-50.

- □ **FLOOR PLAN OF PRIMARY PHARMACY AND STERILE COMPOUNDING:** Provide a copy of the pharmacy's floor plan that clearly identifies the location of the primary pharmacy and the exact location of the sterile compounding pharmacy, including the room number or name of room.
- INSPECTION REPORT: A copy of the inspection report issued by the pharmacy's resident state licensing agency within the prior 12 months, documenting the pharmacy's compliance with board regulations regarding the compounding of sterile drug products.
- □ **COPY OF LICENSE:** Submit either a copy of the home state sterile compounding pharmacy license if the home state issues a separate sterile compounding license from the primary pharmacy license OR a copy of the primary pharmacy license that identifies a sterile compounding pharmacy.

DOCUMENTS REQUIRED TO BE PROVIDED TO THE INSPECTOR

The Board's inspector will request these items either prior to or at the time of inspection.

- 1. POLICIES AND PROCEDURES: The pharmacy's proposed policies and procedures for sterile compounding.
- **2. SELF-ASSESSMENT FORM**: The pharmacy's self-assessment.



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NONRESIDENT STERILE COMPOUNDING PHARMACY LICENSE APPLICATION

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A					
A. Name of Sterile Compound	ling Pharmacy	(Needs to mi	rror Primary No	nresident Pharm	nacy)
В					
Primary Nonresident Phar	macy Physical L	.ocation: Street	City	State	Zip Code
C. Nonresident Sterile Compo					
Nonresident Sterile Compo	ounding Exact F	hysical Location	ı: (Room Numb	er or Name of Ro	oom)
D					
D. Primary Pharmacy Telepho	one #	Nonresident St	erile Compoun	ding Pharmacy	Telephone #
. TYPE OF APPLICATION Re	•	•	vrila Campauna	ling Liconso Foo I	Aust Do
	cluded.	Nonresident Ste	erile Compound	ling License Fee I	viust Be
New License				d Opening Date	
Change of Ownership			Anticipate Anticipate	d Change of Owr	ership Date
Change of Location			Anticipate	u Move Date	
. TYPE OF OWNERSHIP OF PRIM	IARY NONRESI	DENT PHARMAC	Υ		
Provide the FEIN # (Federal Em	nployer ID #)				
	Corpora	ation	Gove	rnment Owned	
Individual		40.01.	0010		
Partnership	Profess	ional Corporatio	dove n		
Partnership Limited Liability Company	Profess Nonpro	ional Corporatio fit Corporation	n		
Partnership	Profess Nonpro	ional Corporatio	n		
Partnership Limited Liability Company	Profess Nonpro	ional Corporatio fit Corporation	n		
Partnership Limited Liability Company Trust	Profess Nonpro	ional Corporatio fit Corporation	n	hiered:	
Partnership Limited Liability Company	Profess Nonpro Publicly	ional Corporatio fit Corporation	n ition Date Cas Cashierin		

4.	PR	IMARY NONRESIDENT PHARMACY					
	A.	Is the Primary Nonresident Pharmacy	licensed in the home state?				
		Yes No					
		If Yes, list the home state's Primary I	Pharmacy License #				
	В.	Is the Sterile Compounding Pharmacy lice	ensed in the home state?				
		YesNo					
		If Yes, list the home state's Sterile Co	ompounding Pharmacy License #				
		If No, please explain:					
	C.	Is the nonresident pharmacy licensed	d in California?				
		YesNo					
		If Yes, list the California nonresident	pharmacy license #				
		compounding application. Once the	cation must be submitted along with the nonresident sterile nonresident pharmacy application is approved for the issuance of ssue the primary license while the nonresident sterile pection and review process?				
		YesNo					
J.	sup law	pervisor or manager responsible for en	RESIDENT PHARMACY: List the proposed PIC to serve as the suring the pharmacy's compliance with all California and federal actice of pharmacy as well as the pharmacy's policy and roved by the Board.				
	Pr	inted Name of Proposed PIC	Pharmacist License Number				
	Er	nail Address	Telephone Number				
		ertify that the policies and procedure difornia Code of Regulations Title 16,	es of the nonresident sterile compounding are consistent with section 1735 et seq and 1751 et seq.				
	Si	gnature of Proposed PIC	Date				
6.	со	MPOUNDING TO BE PERFORMED: (Ch	neck all that apply)				
	Ту	pe of compounding performed:	Type of Products to be compounded:				
		Non-sterile to sterile	Injectable				
		Chemotherapy	Inhalation				
		Sterile to Sterile	Ophthalmic				
		Radiopharmacy	r - r				
	Nυ	mber of Hoods/Barrier Isolators:					

В.	Name of Author	ized Contact Person	Telephone Number		Email Address
	Owner/Officer Listed on Primary License Is the proposed PIC listed in Section 5 of this a application?		Telephone Number application authorized as a		Email Address th this
	YesNo	If Yes, be advised the PIC	will be authorized to receive	all status commun	nication.
D.	ONLY with the in whether the Boa	ership ONLY: The Board will d ndividual(s) listed in Section 7 ard is also authorized to comn owner on record for the primaction).	A and B above. Check "yes" nunicate the status of this ch	or "no" below to in lange of ownership	ndicate o application
	YesNo	submit a completed Author application) signed by an i	e individual the Board is auth orization to Release Applican individual listed on the prima I below must be listed on the	nt Information (see ary pharmacy licen	page 5 of this
	Name				
	ANGE OF OWNER the current phar	RSHIP OR LOCATION: Provide macy license.	e the exact name, address, a	and license numbe	er as listed
on		Current Sterile Compounding	g License		
	me Listed on the				
Na	me Listed on the	Street	City	State	Zip Code
Na Ph	ysical Location	Street pounding License Number	City	State Expiration Date	·

7. CONTACT PERSON: The Board will discuss the status of this application ONLY with the authorized

individual listed below. An owner/officer listed on the primary license must also be identified below to be

Failure to provide any of the requested information may result in the application being considered incomplete. Any material misrepresentation in the answer of any question may be grounds for denial or subsequent revocation of the license and is a violation of the California Penal Code.

The information will be used to determine qualifications for licensure under the California Pharmacy Law. The official responsible for information maintenance is the executive officer, (916) 518-3100, located at the Board's address. The information may be transferred to another governmental agency, such as a law enforcement agency, if necessary, to perform its duties. Each individual has the right to review the files or records maintained on them by the Board of Pharmacy, unless the records are identified as confidential and exempted by Civil Code section 1798.38.

REQUIRED SIGNATURE: Provide an original dated signature or a digital signature that complies with the <u>Board's Digital Signatures Policy Statement</u>. A Nonresident Sterile Compounding Pharmacy Application with digital signatures shall be emailed to <u>Compounding.Pharmacy@dca.ca.gov</u>.

Under the laws of the State of California, the natural person whose signature appears below certifies that:

- 1) They are at least 18 years of age.
- 2) They are listed as an owner or officer on the primary nonresident pharmacy application or license.
- 3) They have the authority to make this application to apply for a license with the California State Board of Pharmacy on behalf of the applicant named in the foregoing application.
- 4) They have read or have knowledge of the foregoing application for licensure, are familiar with the contents thereof, and attest to the truth and accuracy of all statements, answers, and representations made in this application, including any and all supplementary statements.
- 5) They understand that falsification of any information in this application may constitute grounds for denial or subsequent revocation of the license.

Signature	Print Name	Title	Date

AUTHORIZATION TO RELEASE APPLICANT INFORMATION

(Optional)

Applicant Business Information – Please print or	r type File Num	ber, if applicable _	
Name of Business		Telephone Nu	mber of Business
Name of Business DBA if different than above			
Address of Business – Street	City	State	Zip Code
The Board will ONLY discuss the status of this apparent application and any person who has signed the athe applicant business. In order for the Board to the authorized person identified on the application status with a his or her authorized reposition.	pplication as an officer, pa discuss the status of this a on must authorize in writir	rtner, member, an pplication with an	d/or owner of other individual,
Giving consent for the Board to disclose applicati disclose all personal and business information pe social security number, date of birth, address information approval or denial status, and any criminal convict application.	ertaining to this application ormation, all application re	. This includes bu	t is not limited to ation, application
Applicant Consent – Must be signed and dated to As a person identified on the application that is a give the Board consent to communicate to the in	outhorized to act for and bi		
I,		, hereby give co	onsent to
Print Name of Person Authorized to Bind the Ap	pplicant Business		
the California State Board of Pharmacy to disclos the following individual:	e information about this a	pplication as speci	fied above to
Name	Telephone Number	Email Address	
Mailing Address – Street	City	State	Zip Code
This consent will expire onlicensure, whichever comes first. (D	, wi	thin one year, or u	upon
Original Signature of Person Authorized to Bind t	he Applicant Business D	ate	