

# California State Board of Pharmacy 2720 Gateway Oaks Drive, Suite 100 Sacramento, CA 95833

Phone: (916) 518-3100 Fax: (916) 574-8618

www.pharmacy.ca.gov

Business, Consumer Services and Housing Agency
Department of Consumer Affairs
Gavin Newsom, Governor



# APPLICATION INSTRUCTIONS NONRESIDENT STERILE COMPOUNDING PHARMACY LICENSE

(Business & Professions Code Sections 4127 et. seq.)

A nonresident pharmacy shall not compound sterile drug products for shipment into California without a sterile compounding pharmacy license issued by the Board pursuant to Business and Professions Code Sections 4127.2. The license shall be renewed annually and is not transferable.

A license to compound sterile drug products may not be issued or renewed until the location is inspected by the Board and found to be in compliance with the Business and Professions Code and regulations adopted by the Board.

All pharmacies that compound sterile drug products must follow Board regulations for sterile compounding found in the California Code of Regulations beginning with section 1751.

**IMPORTANT: Follow these instructions completely.** A checklist is provided with these instructions. The Board encourages the use of the checklist to assist with the application process. If the number of forms included in this application is insufficient, print additional copies. <u>Allow approximately 45 days from the date your application is received by the Board before checking on the status.</u> The contact person(s) designated on the application will be advised if additional information is necessary. Incomplete or redacted copies of supporting documents will not be accepted.

### **CHANGE OF OWNERSHIP / LOCATION**

A sterile compounding pharmacy license is nontransferable. A license is issued to the owner(s) and for the location of the facility. All approved change of ownership and change of location applications will result in a new license number being issued. Operating the facility prior to a new license being issued is unlicensed activity and may result in denial or disciplinary action by the Board.

**CHANGE OF OWNERSHIP / LOCATION DOCUMENTATION:** In addition to these application requirements, an application for the primary pharmacy must be submitted along with all the required documentation identified in the instructions for the appropriate primary application.

**SIGNATURES**: Any time a signature is required, it must be an original dated signature or a digital signature that complies with the <u>Board's Digital Signatures Policy Statement</u> located on the Board's website. Sterile Compounding applications with digital signatures shall be emailed to <u>Compounding.Pharmacy@dca.ca.gov</u>.

WHEN SUBMITTING DOCUMENTS TO THE BOARD, KEEP A COPY FOR YOUR RECORDS.

### CHECKLIST FOR FILING A NONRESDIENT STERILE COMPOUNDING PHARMACY APPLICATION

Use this checklist to ensure your application is complete prior to submitting. If the application is not complete, the Board will notify you of any deficiencies. Failure to complete your application within 60 days after being notified of deficiencies may result in the application being deemed abandoned. You will then be required to file a new application and meet all of the requirements in effect at the time of reapplication.

### □ APPLICATION FEE: \$8,500

Include a check or money order made payable to the California State Board of Pharmacy. <u>This fee is nonrefundable.</u>

Optional: Temporary License Fee: \$1,500

To request a temporary nonresident sterile compounding license, submit the temporary nonresident sterile compounding pharmacy license fee <u>in addition to</u> the application fee.

NOTE: Temporary licenses may be issued by the Board in its discretion, upon such conditions and for such periods of time, not to exceed 180 days, as the Board determines to be in the public interest. The temporary nonresident sterile compounding pharmacy license fee is nonrefundable once the application has been reviewed.

- If other than a change of ownership and/or location, include a written letter signed by the owner, partner, officer, member, etc., that clearly explains why a temporary license is needed to protect public safety.
- When a change of ownership/location occurs, a temporary license must be requested or the nonresident sterile compounding pharmacy shall discontinue conducting business with California until a new license to compound sterile drug products is obtained.
- NONRESIDENT STERILE COMPOUNDING PHARMACY APPLICATION (form 17A-50 rev.4/2025): Complete the entire application and submit with an original dated signature or a digital signature that complies with the Board's Digital Signatures Policy Statement. Scanned or stamped signatures are not accepted.

The following items numbered below correspond to the numbered sections on the Sterile Compounding Pharmacy Application (17A-50)

- 1. Applicant Information:
  - A. **Name of Sterile Compounding Pharmacy:** List the name of the applicant, the name should match the name on the license issued by your home state and the primary nonresident pharmacy issued by California or the name on the primary nonresident pharmacy license application.
  - B. **Primary Nonresident Pharmacy Physical Location:** List the address of the primary nonresident pharmacy, this should match the licensed nonresident pharmacy or address listed on the primary nonresident pharmacy application.
  - C. **Nonresident Sterile Compounding Exact Physical Location:** List the room number or room name of the specific area in which the sterile compounding functions will be conducted in the primary pharmacy location.
  - D. Primary Nonresident Pharmacy and Nonresident Sterile Compounding Pharmacy Phone Number: List the direct phone numbers to reach pharmacy staff in the primary and sterile compounding pharmacy locations.
- **2. Type of Application:** Identify the type of application and include the anticipated opening, change of ownership, or move date, as applicable. If requesting a temporary license, submit the required fee.

**3. Type of Ownership of Primary Nonresident Pharmacy:** Provide the FEIN# and Identify the type of ownership of the business entity that directly owns the primary nonresident pharmacy. This should match the ownership identified on primary nonresident pharmacy license or pending application.

### 4. Primary Nonresident Pharmacy:

- A. Identify if the pharmacy is licensed in the home state.
- B. Identify if the sterile compounding pharmacy is licensed in the home state. Identify if the home state issued the sterile compounding license independent of the pharmacy license.
- C. Identify if the primary pharmacy is licensed in California as a nonresident pharmacy and if the Board should proceed with issuing the nonresident pharmacy license once approved prior to the issuance of the nonresident sterile compounding pharmacy. If answered no, the nonresident pharmacy will not be issued until the nonresident sterile compounding pharmacy is approved for issuance.
- **5. Pharmacist-in-Charge (PIC) of Nonresident Pharmacy:** The proposed PIC must match the reported PIC on the primary pharmacy license or pending application.
  - **EFFECTIVE APRIL 1, 2025,** pursuant to California Code of Regulations (CCR), title 16, section 1709.1, the proposed PIC is required to complete the <u>Board's Pharmacist-in-Charge Overview and Responsibility Training Course</u>, available on the Board's website, within two years prior to the date of application to serve as a PIC.
    - The proposed PIC must complete, sign, and date Section 5 of the license application and complete the attestation of completing the PIC Overview and Responsibility Training Course.

### 6. Compounding to be Performed:

- Indicate the type of sterile compounding to be performed.
- Indicate the type of products to be compounded.
- Identify the number of hoods/barrier isolators
- **7. Contact Person:** The individuals listed in this section will be authorized for the Board to correspond and communicate with regarding the application.
  - A. List in 7A the individual who is the authorized contact person.
  - B. List in 7B an owner/officer of the primary license ownership of record with the Board or primary license applicant on identified in Section 13 and/or 14 of the application primary pharmacy license.
  - C. Identify in 7C if the Board is authorized to communicate the status of the application to the proposed PIC.
  - D. **Change of Ownership Only:** Identify in 7D if the Board is authorized to communicate the status of the application with the current owner on record.
    - **Note:** If additional individuals need to be included in the Board's correspondence, submit a completed Authorization to Release Applicant Information form (see page 5 of the application) signed by an owner/officer of the applicant.
- **8.** Change of Ownership or Location: If applicable, list the current licensee information that will be changing ownership or location.
- **9.** Applicant Advisements and Affidavit: Must be signed as instructed.
  - The application must be signed by a natural person listed on the primary license record or listed on the primary license application who has the authority to make this application to apply for a license with the California State Board of Pharmacy on behalf of the applicant named in the foregoing application.

# ADDITIONAL DOCUMENTS TO SUBMIT IN ADDITION TO THE NONRESIDENT STERILE COMPOUNDING APPLICATION 17A-50.

- □ **FLOOR PLAN OF PRIMARY PHARMACY AND STERILE COMPOUNDING:** Provide a copy of the pharmacy's floor plan that clearly identifies the location of the primary pharmacy and the exact location of the sterile compounding pharmacy, including the room number or name of room.
- INSPECTION REPORT: A copy of the inspection report issued by the pharmacy's resident state licensing agency within the prior 12 months, documenting the pharmacy's compliance with board regulations regarding the compounding of sterile drug products.
- □ **COPY OF LICENSE:** Submit either a copy of the home state sterile compounding pharmacy license if the home state issues a separate sterile compounding license from the primary pharmacy license OR a copy of the primary pharmacy license that identifies a sterile compounding pharmacy.

### DOCUMENTS REQUIRED TO BE PROVIDED TO THE INSPECTOR

The Board's inspector will request these items either prior to or at the time of inspection.

- 1. POLICIES AND PROCEDURES: The pharmacy's proposed policies and procedures for sterile compounding.
- **2. SELF-ASSESSMENT FORM**: The pharmacy's self-assessment.



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### NONRESIDENT STERILE COMPOUNDING PHARMACY LICENSE APPLICATION

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Name of Sterile Compoun	Name of Sterile Compounding Pharmacy		(Needs to mirror Primary Nonresident Pharmacy)					
B. Primary Nonresident Phar	macy Physical L	ocation: Street	City	State	Zip Code			
C. Nonresident Sterile Comp	ounding Exact P	hysical Location	: (Room Number	or Name of Ro	oom)			
D. Primary Pharmacy Teleph	one #	Nonresident St	erile Compoundi	ng Pharmacy <sup>-</sup>	Felephone #			
	' <del>-</del>	-	erile Compoundin	g License Fee I	Must Be			
<ul><li>New License</li><li>Change of Ownership</li><li>Change of Location</li></ul>			Anticipated ( Anticipated ( Anticipated ( Anticipated I	Change of Owr	nership Dato			
TYPE OF OWNERSHIP OF PRIN			Υ					
Provide the FEIN # (Federal Er  Individual Partnership Limited Liability Company Trust	Corpora Professi y Nonpro		n	ment Owned				
or Board Use ONLY ate Processed:	Date Issued:			ered:				

PR	IMARY NONRESIDENT PHARMACY
A.	Is the Primary Nonresident Pharmacy licensed in the home state?
	YesNo If Yes, list the home state's Primary Pharmacy License #
В.	Is the Sterile Compounding Pharmacy licensed in the home state?
	YesNo If Yes, list the home state's Sterile Compounding Pharmacy License #
	If No, please explain:
C.	Is the nonresident pharmacy licensed in California?
	YesNo If Yes, list the California nonresident pharmacy license #
	If No, a nonresident pharmacy application must be submitted along with the nonresident sterile compounding application. Once the nonresident pharmacy application is approved for the issuance of

5. PHARMACIST-IN-CHARGE (PIC) OF NONRESIDENT PHARMACY: The proposed PIC must match the reported PIC on the primary license or pending application. List the proposed PIC to serve as the supervisor or manager responsible for ensuring the pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy as well as the pharmacy's policy and practices. The pharmacist-in-charge (PIC) of a pharmacy shall be employed at that location and shall have responsibility for the daily operation of the pharmacy. Prior to approval of the board, and as part of the application and notice process set forth in Section 1709 of this Division ("application"), a pharmacy shall submit its proposed PIC. The PIC shall have completed the board-provided Pharmacist-in-Charge Overview and Responsibility training course, available on the board's website, within two years prior to the date of application. The proposed PIC must be approved by the Board.

a license, do you want the Board to issue the primary license while the nonresident sterile

compounding pharmacy is in the inspection and review process?

Pursuant to BPC section 4101(a), any pharmacist-in-charge who ceases to act as the pharmacist-in-charge of a pharmacy shall notify the Board in writing within 30 days of the date of that change in status. The pharmacist may complete this required notification by sending the Board a Notification of Disassociation as Pharmacist-in-Charge (PIC) (17A-121) via email to PICstatus@dca.ca.gov.

### ATTESTATION STATEMENT OF PROPOSED PIC:

My name and license number are correctly set forth below. I have read sections 4036.5, 4081, 4113, and 4330 of the Business and Professions Code and California Code of Regulations, title 16, section 1709.1. I completed the <a href="Board's Pharmacist-in-Charge Overview and Responsibility training course">Board's Pharmacist-in-Charge Overview and Responsibility training course</a> on the date set forth in this section below. I declare under penalty of perjury of the laws of the State of California that all statements and information provided in this attestation statement are true and correct.

Yes No

P	Printed Name of Proposed PIC		Pharmacist License Numbe			
E	mail Address		Tele	ephone Number		
0	riginal Signature of	Proposed PIC	Dat	re		
CC	MPOUNDING TO I	BE PERFORMED: (Check a	all that apply)			
T	ype of compoundir	ng performed:	Type of Products to be compound	ded:		
	Non-sterile to	sterile	Injectable			
	 Chemotherapy		Inhalation			
_	Sterile to Steril	e	Ophthalmic			
_	Radiopharmac	У				
Νι	imber of Hoods/Ba	rrier Isolators:				
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incoma Au A. B.	Name of Authorizes the proposed Papplication?  YesNo  Change of Owner ONLY with the index whether the Boar	w. An owner/officer lister unications regarding the onal individuals to receiv ase Applicant Information red Contact Person ated on Primary License IC listed in Section 5 of the If Yes, be advised the Paship ONLY: The Board will lividual(s) listed in Section d is also authorized to convener on record for the primary for the primary License and the Information of the Infor	d on the primary license must also be application. An owner/officer listed e information on this application by n form (see page 5 of this application)  Telephone Number  Telephone Number  is application authorized as a contact	e identified below to be on the primary license submitting an n).  Email Addresses Email Ema		

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17A-50 (Rev 4/2025)

	CHANGE OF OWNERSHIP OR LOCATION: Provide the exact name, address, and license number as listed on the current pharmacy license.  Name Listed on the Current Sterile Compounding License							
Naı								
Phy	vsical Location	Street	City	State	Zip Code			
Cur	rent Sterile Compou	nding License Number		Expiration Date	of License			
	<b>PPLICANT ADVISEMENTS AND AFFIDAVIT</b> This application must be approved by the California State oard of Pharmacy before a sterile compounding pharmacy license will be issued.							
dec all	Any application not completed within 60 days after being notified by the Board of deficiencies may be leemed to have been abandoned, and the applicant will be required to file a new application and meet all the requirements that are in effect at the time of application. Fees applied to this instant application are not transferable or refundable.							
inc	Failure to provide any of the requested information may result in the application being considered incomplete. Any material misrepresentation in the answer of any question may be grounds for denial or subsequent revocation of the license and is a violation of the California Penal Code.							
The Boa enf rec	e official responsible ard's address. The inforcement agency, if	for information maintenation mation may be transfe necessary, to perform its them by the Board of Pha	ance is the executive rred to another gove duties. Each individ	e under the California Pha officer, (916) 518-3100, ernmental agency, such a ual has the right to review ecords are identified as co	located at the is a law v the files or			
Boa	ard's Digital Signatuı	_	Nonresident Sterile	ital signature that compl Compounding Pharmacy @dca.ca.gov.				
<ol> <li>Under the laws of the State of California, the natural person whose signature appears below cert</li> <li>They are at least 18 years of age.</li> <li>They are listed as an owner or officer on the primary nonresident pharmacy application or lie</li> <li>They have the authority to make this application to apply for a license with the California State of Pharmacy on behalf of the applicant named in the foregoing application.</li> <li>They have read or have knowledge of the foregoing application for licensure, are familiar wire contents thereof, and attest to the truth and accuracy of all statements, answers, and representation in this application, including any and all supplementary statements.</li> <li>They understand that falsification of any information in this application may constitute groundenial or subsequent revocation of the license.</li> </ol>								
Signa	ture	Print Name		Title	Date			

### **AUTHORIZATION TO RELEASE APPLICANT INFORMATION**

(Optional)

Applicant Business Information – Please print	or type File Nu	umk	per, if applicable _	
Name of Business			Telephone Nu	mber of Business
Name of Business DBA if different than above				
Address of Business – Street	Ci	ty	State	Zip Code
The Board will ONLY discuss the status of this a application and any person who has signed the the applicant business. In order for the Board the authorized person identified on the application status with a his or her authorized	e application as an officer, to discuss the status of thi ation must authorize in wri	par s ap	tner, member, an oplication with an	d/or owner of other individual,
Giving consent for the Board to disclose applications disclose all personal and business information social security number, date of birth, address in approval or denial status, and any criminal con application.	pertaining to this applicati nformation, all application	on. red	This includes bu	t is not limited to ation, application
Applicant Consent – Must be signed and dated As a person identified on the application that is give the Board consent to communicate to the	s authorized to act for and			
I,			, hereby give co	onsent to
Print Name of Person Authorized to Bind the	Applicant Business		_,, &	
the California State Board of Pharmacy to discl the following individual:	ose information about this	ар	plication as speci	fied above to
Name	Telephone Number		Email Address	
Mailing Address – Street	Ci	ty	State	Zip Code
This consent will expire onlicensure, whichever comes first.	(Date)	wit	hin one year, or ι	ıpon
Original Signature of Person Authorized to Bind	d the Applicant Business		te	<u>-</u>