BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

KHIEM VINH, Respondent

Pharmacist License No. RPH 43315

Agency Case No. 7055

DECISION AND ORDER

The attached Stipulated Surrender of License Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on July 28, 2021.

It is so ORDERED on June 28, 2021.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Βv

Seung W. Oh, Pharm.D. Board President

1	ROB BONTA	
2	Attorney General of California JAMES M. LEDAKIS	
3	Supervising Deputy Attorney General NICOLE R. TRAMA	
4	Deputy Attorney General State Bar No. 263607	
5	600 West Broadway, Suite 1800 San Diego, CA 92101	
6	P.O. Box 85266 San Diego, CA 92186-5266	
7	Telephone: (619) 738-9441 Facsimile: (619) 645-2061	
8	Attorneys for Complainant	
9	BEFOR	
10	BOARD OF P DEPARTMENT OF CO	ONSUMER AFFAIRS
11	STATE OF CA	ALIFORNIA
12		
13	In the Matter of the Accusation Against:	Case No. 7055
1415	KHIEM VINH 16458 Scotch Pine Street Fountain Valley, CA 92708	STIPULATED SURRENDER OF LICENSE AND ORDER
16	Pharmacist License No. RPH 43315	
17	Respondent.	
18		
19	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-
20	entitled proceedings that the following matters are	e true:
21	PART	<u>TIES</u>
22	1. Anne Sodergren (Complainant) is the	Executive Officer of the Board of Pharmacy
23	(Board). She brought this action solely in her offi	cial capacity and is represented in this matter by
24	Rob Bonta, Attorney General of the State of Calif	fornia, by Nicole R. Trama, Deputy Attorney
25	General.	
26	2. Khiem Vinh (Respondent) is represen	ted in this proceeding by attorney Ivan
27	Petrzelka, Esq., whose address is: 55 Cetus, 1st F	loor, Irvine, CA 92618.
28		
		1

3. On or about March 23, 1990, the Board issued Pharmacist License No. RPH 43315 to Khiem Vinh (Respondent). The Pharmacist License expired on February 29, 2020, and has not been renewed.

JURISDICTION

4. Accusation No. 7055 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on February 2, 2021. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 7055 is attached as Exhibit A and incorporated by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 7055. Respondent also has carefully read, fully discussed with counsel, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent understands that the charges and allegations in Accusation No. 7055, if proven at a hearing, constitute cause for imposing discipline upon his Pharmacist License.
- 9. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation and that those charges constitute cause for discipline.

Respondent hereby gives up his right to contest that cause for discipline exists based on those charges.

10. Respondent understands that by signing this stipulation he enables the Board to issue an order accepting the surrender of his Pharmacist License without further process.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. This Stipulated Surrender of License and Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Surrender of License and Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 43315, issued to Respondent Khiem Vinh, is surrendered and accepted by the Board.

11

21

26

27

28

///

///

///

1	<u>ACCEPTANCE</u>
2	I have carefully read the above Stipulated Surrender of License and Order and have fully
3	discussed it with my attorney Ivan Petrzelka, Esq. I understand the stipulation and the effect it
4	will have on my Pharmacist License. I enter into this Stipulated Surrender of License and Order
5	voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the
6	Board of Pharmacy.
7	
8	DATED:
9	KHIEM VINH Respondent
10	I have read and fully discussed with Respondent Khiem Vinh the terms and conditions and
11	other matters contained in this Stipulated Surrender of License and Order. I approve its form and
12	content.
13	DATED:
14	IVAN PETRZELKA, ESQ. Attorney for Respondent
15	ENDODCEMENT
16	ENDORSEMENT The formaning Stimulated Symmoder of Lieuwe and Orden is honely many activities of
17	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted
18	for consideration by the Board of Pharmacy of the Department of Consumer Affairs.
19	DATED: Respectfully submitted,
20	ROB BONTA Attorney General of California
21	JAMES M. LEDAKIS Supervising Deputy Attorney General
22	
23	NICOLE R. TRAMA
24	Deputy Attorney General Attorneys for Complainant
25	Thiorneys for Complantant
26	
27	
28	

ACCEPTANCE

ս	<u> </u>
2	I have carefully read the above Stipulated Surrender of License and Order and have fully
3	discussed it with my attorney Ivan Petrzelka, Esq. I understand the stipulation and the effect it
4	will have on my Pharmacist License. I enter into this Stipulated Surrender of License and Order
5	voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the
6	Board of Pharmacy.
7	
8	DATED: 5/18/21 KHIEM VINH
9	Respondent
10	I have read and fully discussed with Respondent Khiem Vinh the terms and conditions and
11	other matters contained in this Stipulated Surrender of License and Order. I approve its form and
12	content. May 18, 2021
13	DATED: May 18, 2021 IVAN PETRZELKA, ESQ.
14	Attorney for Respondent
15	ENDORSEMENT
16	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted
17	for consideration by the Board of Pharmacy of the Department of Consumer Affairs.
18	
19	DATED: Respectfully submitted,
20	ROB BONTA Attorney General of California
21	JAMES M. LEDAKIS Supervising Deputy Attorney General
22	
23	NICOLE R. TRAMA
24	Deputy Attorney General Attorneys for Complainant
25	
26	
27	
28	

1	<u>ACCEPTANCE</u>
2	I have carefully read the above Stipulated Surrender of License and Order and have fully
3	discussed it with my attorney Ivan Petrzelka, Esq. I understand the stipulation and the effect it
4	will have on my Pharmacist License. I enter into this Stipulated Surrender of License and Order
5	voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the
6	Board of Pharmacy.
7	
8	DATED:
9	KHIEM VINH Respondent
10	I have read and fully discussed with Respondent Khiem Vinh the terms and conditions and
11	other matters contained in this Stipulated Surrender of License and Order. I approve its form and
12	content.
13	DATED:
14	IVAN PETRZELKA, ESQ. Attorney for Respondent
15	
16	<u>ENDORSEMENT</u>
17	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted
18	for consideration by the Board of Pharmacy of the Department of Consumer Affairs.
19	DATED: Respectfully submitted,
20	ROB BONTA Attorney General of California
21	JAMES M. LEDAKIS Supervising Deputy Attorney General
22	Nicole Trama
23	NICOLE R. TRAMA
24	Deputy Attorney General Attorneys for Complainant
25	Thorneys for Complandin
26	
27	
, v	

Exhibit A

Accusation No. 7055

1	XAVIER BECERRA	
2	Attorney General of California JAMES M. LEDAKIS	
3	Supervising Deputy Attorney General NICOLE R. TRAMA	
4	Deputy Attorney General State Bar No. 263607	
5	600 West Broadway, Suite 1800 San Diego, CA 92101	
6	P.O. Box 85266 San Diego, CA 92186-5266	
7	Telephone: (619) 738-9441 Facsimile: (619) 645-2061	
8	Attorneys for Complainant	
9	DEFO	RE THE
10	BOARD OF	PHARMACY
11		CONSUMER AFFAIRS CALIFORNIA
12		
13	In the Matter of the Accusation Against:	Case No. 7055
14	KHIEM VINH	
15	16458 Scotch Pine Street Fountain Valley, CA 92708	ACCUSATION
16	Pharmacist License No. RPH 43315	
17	Respondent	
18		
19	PAR	TIES
20	1. Anne Sodergren (Complainant) bring	gs this Accusation solely in her official capacity
21	as the Executive Officer of the Board of Pharmac	cy, Department of Consumer Affairs.
22	2. On or about March 23, 1990, the Box	ard of Pharmacy issued Pharmacist License
23	Number RPH 43315 to Khiem Vinh (Responden	t). The Pharmacist License expired on February
24	29, 2020, and has not been renewed.	
25	JURISD	<u>ICTION</u>
26	3. This Accusation is brought before th	e Board of Pharmacy (Board), Department of
27	Consumer Affairs, under the authority of the foll	owing laws. All section references are to the
28	Business and Professions Code (Code) unless of	nerwise indicated.
		1

_

. . .

(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or any other state or federal regulatory agency.

...

10. Code section 4307, subdivision (a) states:

Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner member, officer, director, associate, or partner of any partnership, corporation, firm, or association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manger, administrator, owner, member, officer, director, associate, or partner had knowledge or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manger, administrator, owner, member, officer, director, associate, or partner of a licensee as follows:

- (1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.
- (2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated.

11. Health and Safety Code section 11153, subdivision (a), states:

A prescription for a controlled substance shall only be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of his or her professional practice. The responsibility for the proper prescribing and dispensing of controlled substances is upon the prescribing practitioner, but a corresponding responsibility rests with the pharmacist who fills the prescription. Except as authorized by this division, the following are not legal prescriptions: (1) an order purporting to be a prescription which is issued not in the usual course of professional treatment or in legitimate and authorized research; or (2) an order for an addict or habitual user of controlled substances, which is issued not in the course of professional treatment or as part of an authorized narcotic treatment program, for the purpose of providing the user with controlled substances, sufficient to keep him or her comfortable by maintaining customary use.

12. Health and Safety Code section 11162.1 states in part:

- (a) The prescription forms for controlled substances shall be printed with the following features:
- (1) A latent, repetitive 'void' pattern shall be printed across the entire front of the prescription blank; if a prescription is scanned or photocopied, the word "void" shall appear in a pattern across the entire front of the prescription.

1	(b) Each batch of controlled substance prescription forms shall have the lot number printed on the form and each form within that batch shall be numbered sequentially beginning with the numeral one.
2	sequenciarly beginning with the numeral one.
3	• • •
4	13. Health and Safety Code section 11164 states in part:
5	Except as provided in Section 11167, no person shall prescribe a controlled substance, nor shall any person fill, compound, or dispense a prescription for a
6	controlled substance, unless it complies with the requirements of this section.
7	(a) Each prescription for a controlled substance classified in Schedule II, III, IV, or V, except as authorized by subdivision (b), shall be made on a controlled
8 9	substance prescription form as specified in Section 11162.1 and shall meet the following requirements:
	(1) The prescription shall be signed and dated by the prescriber in ink and
10 11	shall contain the prescriber's address and telephone number; the name of the ultimate user or research subject, or contact information as determined by the Secretary of the United States Department of Health and Human Services; refill
12	information, such as the number of refills ordered and whether the prescription is a first-time request or a refill; and the name, quantity, strength, and directions for
13	use of the controlled substance prescribed.
14	(2) The prescription shall also contain the address of the person for whom the controlled substance is prescribed. If the prescriber does not specify this
15	address on the prescription, the pharmacist filling the prescription or an employee acting under the direction of the pharmacist shall write or type the address on the prescription or maintain this information in a readily retrievable form in the
16	pharmacy.
17	
18	REGULATORY PROVISIONS
19	14. Code of Federal Regulations, Title 21, section 1306.04, subdivision (a), states:
20	A prescription for a controlled substance to be effective must be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of
21	his professional practice. The responsibility for the proper prescribing and dispensing of controlled substances is upon the prescribing practitioner, but a corresponding
22	responsibility rests with the pharmacist who fills the prescription. An order purporting to be a prescription issued not in the usual course of professional treatment
23	or in legitimate and authorized research is not a prescription within the meaning and intent of section 309 of the Act (21 U.S.C. 829) and the person knowingly filling such
24	a purported prescription, as well as the person issuing it, shall be subject to the penalties provided for violations of the provisions of law relating to controlled
25	substances.
26	15. California Code of Regulations, title 16, section 1761 states:
27	(a) No pharmacist shall compound or dispense any prescription which contains
28	any significant error, omission, irregularity, uncertainty, ambiguity or alteration. Upon receipt of any such prescription, the pharmacist shall contact the prescriber to

oxycodone 30 mg, totaling 78,670 oxycodone 30 mg tablets dispensed. The prescriptions were dispensed despite the presence of significant factors of irregularity and red flags for prescription drug abuse including the following:

- a. Oxycodone 30 mg was the most commonly prescribed medication at Catinat Pharmacy from Drs. Richard G., Randall G., and A., accounting for an unusually large percentage of each doctor's prescribing. For example, Oxycodone 30 mg was Dr. Richard G.'s most commonly prescribed medication, accounting for over 38% of his total prescribing; Oxycodone 30 mg was Dr. A.'s most commonly prescribed medication accounting for over 33% of his prescribing at Catinat Pharmacy. Oxycodone 30 mg represented 63.59% of Dr. Randall G.'s prescribing. Additionally three commonly abused controlled substances, oxycodone 30 mg, carisoprodol 350 mg, and hydrocodone/acetaminophen 10/325 mg represented 86.89% of Dr. Randall G.'s prescribing.
- b. All of Drs. Richard G. and Randall G.'s prescriptions were purchased in cash and the vast majority of Dr. A.'s prescriptions were purchased in cash.
- c. All of these prescribers had a highly unusual uniformity of prescribing. Specifically, all three physicians prescribed immediate release oxycodone exclusively in the highest available strength. Additionally, all of Dr. Richard G.'s patients received a prescription for a controlled substance and most of his patients received a prescription for oxycodone 30 mg. All of Dr. A.'s patients received at least one prescription for oxycodone 30 mg or hydrocodone/acetaminophen 10/325 mg. Lastly, all of Dr. Randall G.'s patients received at least one prescription for oxycodone 30 mg.
- d. Dr. Richard G. identified himself as a pain management physician; however, he commonly prescribed entire pint bottles of promethazine/codeine syrup (cough syrup) along with opioid analgesics.
- e. There were many instances where Catinat Pharmacy processed similar or identical prescriptions from each prescriber on the same day. Sometimes these prescriptions were processed within minutes of each other and assigned consecutive or nearly consecutive prescription numbers.

f. There were instances when patients with the same address or very similar addresses obtained similar prescriptions from Dr. Richard G.

- g. On many occasions, patients travelled unusual distances to obtain controlled substances from Catinat Pharmacy. For example, 18 patients of Dr. Richard G. had addresses that were more than 20 miles from the pharmacy. Additionally, Dr. A.'s office was located 21 miles from Catinat Pharmacy and Dr. Randall G.'s office was located 44 miles from the Catinat Pharmacy.
- h. Catinat Pharmacy dispensed 127 controlled substance prescriptions written on 111 prescription documents from Dr. Richard G. and Dr. A. that were invalid in that they were written on forms which lacked required security features. These prescriptions were missing a combination of a "California Security Prescription" watermark, a lot number, a batch number, and an identifying number assigned to the approved security printer.
- i. There were irregularities related to prescriber verification sheets attached to Dr. Richard G.'s prescriptions including listing medications that patients had previously "tried and failed" but these medications were not listed in the patient's dispensing records or patient activity reports.
- j. Several of Dr. A.'s prescription documents were attached to copies of California Driver Licenses or Identification Cards that appeared to be fraudulent in that they were of a format that was no longer being issued on the issue dates listed on the cards.
- 22. These irregularities and red flags constituted objective factors suggesting the prescriptions in question were not legitimately prescribed. Despite this, there was no documentation to suggest that Catinat Pharmacy conferred with the prescribers to resolve the irregularities prior to dispensing the prescriptions. Additionally, on 11 instances, Catinat Pharmacy dispensed prescriptions for oxycodone 30 mg with a total daily dose of up to 270 MMEs, to patients who appeared to be opioid-naïve. Despite accessing CURES Patient Activity Reports indicating these patients had not received recent opioid prescriptions, Catinat Pharmacy failed to contact the prescribers to address these irregular and potentially dangerous prescribed doses.

2

3

45

7 8

6

9

1011

12

13

1415

16

17 18

19

20

2122

2324

25

26

2728

///

FIRST CAUSE FOR DISCIPLINE

(Failing to Comply with Corresponding Responsibility

for Controlled Substance Prescriptions)

23. Respondent is subject to disciplinary action under Code sections 4301, subdivisions (j) and (o), for violating Health and Safety Code section 11153, subdivision (a), and Code of Federal Regulations, Title 21, section 1306.04, subdivision (a), because he failed to comply with his corresponding responsibility to ensure that controlled substances were dispensed for a legitimate medical purpose. As described above, Respondent repeatedly furnished prescriptions for controlled substances even though obvious and systemic "red flags" were present to indicate those prescriptions were not issued for a legitimate medical purpose.

SECOND CAUSE FOR DISCIPLINE

(Dispensing Controlled Substance Prescriptions with Significant Errors, Omissions, Irregularities, Uncertainties, Ambiguities or Alterations)

24. Respondent is subject to disciplinary action under Code section 4301, subdivision (o), for violating title 16, California Code of Regulations, sections 1761, subdivisions (a) and (b) because he dispensed controlled substances based on prescriptions which contained significant errors, omissions, irregularities, uncertainties, ambiguities or alterations, as described above.

THIRD CAUSE FOR DISCIPLINE

(Dispensing Controlled Substance Prescriptions Written on Unauthorized Forms)

25. Respondent is subject to disciplinary action under Code sections 4301, subdivisions (j) and (o), for violating Health and Safety Code section 11164, subdivision (a), because he filled and dispensed controlled substances from prescription forms that did not comply with the requirements of Health and Safety Code section 11162.1, as described above.

FOURTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

26. Respondent is subject to disciplinary action under Code section 4301 for unprofessional conduct because he engaged in the activities described above.

OTHER MATTERS

27. Pursuant to Code section 4307, if discipline is imposed on Pharmacist License Number RPH 43315, issued to Khiem Vinh, he shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if the Pharmacist License is placed on probation or until the Pharmacist License is reinstated, if it is revoked.

DISCIPLINARY CONSIDERATIONS

28. To determine the degree of discipline, if any, to be imposed on Respondent,
Complainant alleges as follows. On February 24, 2017, the Board issued Citation Number CI
2016 74200 against Respondent for violation of California Code of Regulations, title 16, section
1711, subdivision (c)(1) (failing to have written policies and procedures for quality assurance)
and violation of Health and Safety Code section 11164, subdivision (a)(1) (filling and dispensing
controlled substances from prescription forms that did not comply with the requirements of
Health and Safety Code section 11162.1). The Board issued a fine against Respondent, which he
paid.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

- 1. Revoking or suspending Pharmacist License Number RPH 43315, issued to Khiem Vinh;
- 2. Prohibiting Khiem Vinh from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacist License Number RPH 43315 is placed on probation or until the Pharmacist License is reinstated, if it is revoked;
- 3. Ordering Khiem Vinh to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; and,

///

///

20 21 22				
DATED: 1/28/2021 Signature on File	1	4.	Taking such other and	d further action as deemed necessary and proper.
A DATED: 1/28/2021 Signature on File ANNE SODERGREN Executive Officer Board of Pharmacy Department of Consumer Affairs State of California Complainant SD2020801613 SD2020801613 SD2020801613 SD2020801613 SD2020801613 SD2020801613				
5 6 6 7 8 8 8 8 8 9 9 10 SD2020801613 SD2020801618 SD2020				
Executive Officer Board of Pharmacy Department of Consumer Affairs State of California Complainant SD2020801613 SD2020801613 SD2020801613 SD2020801613 SD2020801613		DATED:	1/28/2021	
8 Complainant 9 SD2020801613 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28				ANNE SODERGREN Executive Officer
8 Complainant 9 SD2020801613 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28				Board of Pharmacy Department of Consumer Affairs
9 SD2020801613 SD				Complainant
SD2020801613 SD2020801613 SD2020801613 SD2020801613 SD2020801613				
11		SD20208016	13	
12				
14 15 16 17 18 19 20 21 22 23 24 25 26 27 28				
15 16 17 18 19 20 21 22 23 24 25 26 27 28	13			
16	14			
17 18 19 20 21 22 23 24 25 26 27 28	15			
18 19 20 21 22 23 24 25 26 27 28	16			
19 20 21 22 23 24 25 26 27 28	17			
20 21 22 23 24 25 26 27 28	18			
21	19			
22 23 24 25 26 27 28	20			
 23 24 25 26 27 28 	21			
 24 25 26 27 28 	22			
25 26 27 28	23			
26 27 28				
27 28				
28				
	28			11

(KHIEM VINH) ACCUSATION