2	CARL W. SONNE Senior Assistant Attorney General JOSHUA A. ROOM Supervising Deputy Attorney General State Bar No. 214663					
3						
4						
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 510-3512 Facsimile: (415) 703-5480					
6						
7	Attorneys for Complainant					
8	BEFORE THE					
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS					
10	STATE OF CALIFORNIA					
11						
12	In the Matter of the Accusation Against:	Case No. 5401				
13	SHAO-LUN LEE	RESCISSION OF SURRENDER AND RESTORATION OF LICENSE				
14	Pharmacist License No. RPH 48518	RESTORATION OF ETCENSE				
15	Respondent.					
16	- Respondent.					
17	On or about March 7, 1996, the Board of P	harmacy (Board) issued Pharmacist License No.				
18	RPH 48518 to Shao-Lun Lee (Respondent). A Decision and Order of the Board effective April					
19	20, 2017 revoked Respondent's Pharmacist License, stayed that revocation, and placed the licens					
20	on probation for a period of four (4) years. Amor	ng the terms and conditions was a requirement				
21	(T&C 9) that Respondent be employed as a pharm	macist in California for a minimum of forty (40)				
22	hours per month. Failure to meet this minimum t	for any month would toll the lapse of probation				
23	for that month and extend the probationary period	d by one month. Also among the terms and				
24	conditions was a term (T&C 18) permitting Resp	ondent, were he to "cease practice due to				
25	retirement or health, or be otherwise unable to satisfy the terms and conditions of probation," to					
26	tender his license for surrender, subject to acceptance by the Board or its designee.					
27	For a period of some months prior to April 2021, Respondent's probation had been tolled					
28	and extended due to failure or inability to comply with the minimum work requirement.					
		1				

On or about April 12, 2021, Respondent submitted an Application for Voluntary Surrender to the Board, tendering Pharmacist License No. RPH 48518 to the Board for surrender. On or about May 3, 2021, Executive Officer Anne Sodergren (Complainant), acting in her official capacity as designee for the Board, accepted that surrender and cancelled the license.

The underlying circumstances leading to that Application for Voluntary Surrender have since changed, leading Respondent to withdraw the previous tender. Accordingly, Complainant hereby vacates her May 3, 2021 acceptance and order of cancellation *nunc pro tunc*. Pharmacist License No. RPH 48518 is restored to Respondent, subject to the Decision and Order in Case No. 5401 and the prior terms and conditions of probation. Respondent shall serve out that probation, including any period of tolling and extension of probation accrued by Respondent.

DATED: 6/17/2021

Sodergren, Anne@DCA Digitally signed by Sodergren, Anne@DCA Date: 2021.06.17 15:36:56 -07'00'

ANNE SODERGREN
Executive Officer

Board of Pharmacy Department of Consumer Affairs State of California

Complainant

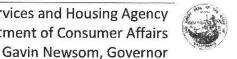


California State Board of armacy 2720 Gateway Oaks Drive, Suite 100 Sacramento, CA 95833

Phone: (916) 518-3100 Fax: (916) 574-8614

www.pharmacy.ca.gov

rvices and Housing Agency **Department of Consumer Affairs**



Business, Consume

APR 1.2 2021

California State

APPLICATION FOR VOLUNTARY SURREN DER OF PHARMACIST / INTERN LICENSE

PLEASE PRINT IN BLACK OR BLUE INK OR TYPE YOUR RESPONSES:			
Name of Licensee: LEE, SHAD-LUN	Case No. 5401		
Address of Record:			
1022 Whitebick Dr.			
San Jose, CA 95/29			

Pursuant to the terms and conditions of my probation with the California State Board of Pharmacy (Board) in Case No. 540/ _____, I hereby request to surrender my license, License No. RPH 48518 ____. The Board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, I will no longer be subject to the terms and conditions of probation. I understand that this surrender constitutes a record of discipline and shall become a part of my license history with the Board.

Upon the acceptance of the surrender, I shall relinquish my pocket and/or wall license to the Board within ten (10) days of notification by the Board that the surrender is accepted. I understand that I may not reapply for any license, permit, or registration from the board for three (3) years from the effective date of the surrender. I further understand that I shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board, including any outstanding costs.

PLEASE BE ADVISED THAT YOU ARE NOT RELIEVED OF THE REQUIREMENTS OF YOUR PROBATION UNLESS THE BOARD NOTIFIES YOU THAT YOUR REQUEST TO SURRENDER YOUR LICENSE HAS BEEN ACCEPTED.

Skarfun Lee Applicant's Signature	4/1/202/ Date
SHAD-LUN LEE Applicant's Printed Name	RPH 48518
Sodergren, Anne@DCA Executive Officer's Approval Digitally signed by Sodergren, Anne@DCA Date: 2021.05.03 15:41:59	Applicant's License Number Date

All items on this application are mandatory in accordance with your probationary order and the Board's Disciplinary Guidelines as authorized by Title 16, California Code of Regulations section 1760. Failure to provide any of the requested information or providing unreadable information will result in the application being rejected as incomplete. The information provided on this form will be used to determine eligibility for surrender. The official responsible for information maintenance is the Executive Officer, telephone (916) 518-3100, 2720 Gateway Oaks Drive, Suite 100, Sacramento, CA 95833. The information you provide may also be disclosed in the following circumstances: (1) in response to a Public Records Act request; (2) to another government agency as required by state or federal law; or, (3) in response to a court or administrative order, a subpoena, or a search warrant. Each individual has the right to review the files or records maintained on them by our agency, unless the records are identified as confidential information and exempted by Section 1798.40 of the Civil Code.

BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 5401

SHAO-LUN LEE 1022 Whitebrick Drive San Jose, CA 95129 Pharmacist License No. RPH 48518

Respondents.

CORRECTED DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on April 20, 2017.

It is so ORDERED on March 21, 2017.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

Amy Gutierrez, Pharm.D. Board President

1	KATHLEEN A. KENEALY Acting Attorney General of Colifornia						
2	Acting Attorney General of California LINDA K. SCHNEIDER Senior Assistant Attorney General						
3	JOSHUA A. ROOM Supervising Deputy Attorney General State Bar No. 214663						
4							
5	Telephone: (415) 703-1299 Facsimile: (415) 703-5480 Attorneys for Complainant						
6							
7							
8	BEFORE THE BOARD OF PHARMACY						
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA						
10]					
11	In the Matter of the Accusation Against:	Case No. 5401					
12	SHAO-LUN LEE 1022 Whitebrick Drive	STIPULATED SETTLEMENT AND					
13	San Jose, CA 95129	DISCIPLINARY ORDER					
14	Pharmacist License No. RPH 48518						
15	Respondent.						
16	In the interest of a prompt and speedy settl	ement of this matter, consistent with the public					
17	interest and the responsibility of the Board of Pharmacy of the Department of Consumer Affairs,						
18	the parties hereby agree to the following Stipulated Settlement and Disciplinary Order which wil						
19	be submitted to the Board for approval and adoption as the final disposition of the Accusation.						
20							
21	PAR	<u>CTIES</u>					
22	1. Virginia Herold (Complainant), Executive Officer, Board of Pharmacy, brought this						
23	action solely in her official capacity and is represented by Kathleen A. Kenealy, Acting Attorney						
24	General of the State of California, by Joshua A. Room, Supervising Deputy Attorney General.						
25	2. Respondent Shao-Lun Lee (Respond	lent) is represented in this proceeding by attorney					
26	Dale N. Chen, whose address is: Law Offices of Dale N. Chen, 4655 Old Ironsides Drive, Suite						
27	220 Santa Clara, CA 95054 (telephone (408) 562-1000).						
28	///						
		1					

3. On or about March 7, 1996, the Board issued Pharmacist License No. RPH 48518 to Shao-Lun Lee (Respondent). The License was in full force and effect at all times relevant to the charges brought in Accusation No. 5401, and will expire on December 31, 2017, unless renewed.

JURISDICTION

4. Accusation No. 5401 was filed before the Board, and is pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on February 23, 2016. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 5401 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, discussed with counsel, and understands the charges and allegations in Accusation No. 5401. Respondent has also carefully read, discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent admits the truth of each and every charge and allegation in Accusation No. 5401. Respondent agrees that his Pharmacist License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

RESERVATION

9. Admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Pharmacy or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 10. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 13. In consideration of the foregoing, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

///

III

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 48518, issued to Respondent Shao-Lun Lee (Respondent), is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years on the following terms and conditions.

1. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the

 Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency
 which involves respondent's pharmacist license or which is related to the practice of
 pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging
 for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

2. Report to the Board

Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

3. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

4. Cooperate with Board Staff

Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of his probation. Failure to cooperate shall be considered a violation of probation.

5. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

6. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

If respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the board nor serve as a consultant unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

Ш

8. Notice to Employers

During the period of probation, respondent shall notify all present and prospective employers of the decision in case number 5401 and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause his direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number 5401, and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

If respondent works for or is employed by or through a pharmacy employment service, respondent must notify his direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case number 5401 in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause his direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that he has read the decision in case number 5401 and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

Failure to timely notify present or prospective employer(s) or to cause such employer(s) to submit timely acknowledgments to the board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

9. Employment Requirements: Tolling of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, respondent must notify the board in writing within ten (10) days of the cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which respondent is not practicing for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which respondent practices for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

10. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of \$2,195.00. Respondent shall be permitted to pay these costs in a payment plan approved by the board or its designee, so long as full payment is made within forty-two (42) months of the effective date of this decision. There is to be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy shall not relieve respondent of his responsibility to reimburse the board.

5

7

6

9

8

10 11

12

13 14

15

16 17

18

19

20

21

22 23

24

25

26

27 28

11. **Probation Monitoring Costs**

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

Notification of a Change in Employment, Name, Address, or Phone

Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number. Failure to timely notify the board of any change in employer, name, address, or phone number shall be considered a violation of probation.

13. No Ownership of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager. administrator, member, officer, director, trustee, associate, or partner of any business, firm. partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

14. **Supervised Practice**

During the period of probation, respondent shall practice only under the supervision of a licensed pharmacist not on probation with the Board. Upon the effective date of this decision, respondent shall not practice pharmacy and his license shall be suspended until a supervisor is approved by the Board or its designee. At the outset of probation, the practice supervisor shall supervise respondent utilizing Daily Review - the supervisor shall review respondent's daily activities within 24 hours. Thereafter, should a change in supervision be required, the Board or its designee shall have the discretion to choose from the following supervision levels:

2.7

Continuous – At least 75% of a work week

Substantial - At least 50% of a work week

Partial – At least 25% of a work week

Within thirty (30) days of the effective date of this decision, respondent shall have his approved supervisor submit notification to the Board in writing stating that the supervisor has read the decision in case number 5401 and is familiar with the required level of supervision. It shall be respondent's responsibility to ensure his supervisor submits a timely acknowledgment. Failure to cause the supervisor to timely submit an acknowledgment to the Board shall be considered a violation of probation. If respondent changes employment, it shall be respondent's responsibility to secure approval for a new practice supervisor, and to have his new supervisor, within fifteen (15) days after employment commences, submit notification to the Board in writing stating that the supervisor has read the decision in case number 5401 and is familiar with the level of supervision required. Respondent shall not practice pharmacy and his license is suspended until the Board or its designee approves a new supervisor. Failure to cause the supervisor to timely submit an acknowledgment to the Board shall be considered a violation of probation.

During any such suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or any other place where dangerous drugs or devices or controlled substances are stored. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs or controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

H

15. Mental Health Examination

Within thirty (30) days of the effective date of this decision, and on a periodic basis as required by the board or its designee, respondent shall undergo, at his own expense, psychiatric evaluation(s) by a board-appointed or board-approved licensed mental health practitioner. The approved evaluator shall be provided with a copy of the board's Accusation and decision. Respondent shall sign a release authorizing the evaluator to furnish the board with a current diagnosis and a written report regarding the respondent's judgment and ability to function independently as a pharmacist with safety to the public. Respondent shall comply with all the recommendations of the evaluator if directed by the board or its designee.

If the evaluator recommends, and the board or its designee directs, respondent shall undergo psychotherapy. Within thirty (30) days of notification by the board that a recommendation for psychotherapy has been accepted, respondent shall submit to the board or its designee, for prior approval, the name and qualification of a licensed mental health practitioner of respondent's choice. Within thirty (30) days of approval thereof by the board, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved licensed mental health practitioner. Should respondent, for any reason, cease treatment with the approved practitioner, respondent shall notify the board immediately and, within thirty (30) days of ceasing treatment therewith, submit the name of a replacement licensed mental health practitioner of respondent's choice to the board for its prior approval. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating the commencement of psychotherapy with the approved replacement. Failure to comply with any requirement or deadline stated by this paragraph shall be considered a violation of probation.

Upon approval of the initial or any subsequent licensed mental health practitioner, respondent shall undergo and continue treatment with that therapist, at respondent's own expense, until the therapist recommends in writing to the board, and the board or its designee agrees by way of a written notification to respondent, that no further psychotherapy is necessary. Upon receipt of such recommendation from the treating therapist, and before determining whether to accept or reject said recommendation, the board or its designee may require respondent to

undergo, at respondent's expense, a mental health evaluation by a separate board-appointed or board-approved evaluator. If the approved evaluator recommends that respondent continue psychotherapy, the board or its designee may require respondent to continue psychotherapy.

Psychotherapy shall be at least once a week unless otherwise approved by the board.

Respondent shall provide the therapist with a copy of the accusation and decision no later than the first therapy session. Respondent shall take all necessary steps to ensure that the treating therapist submits written quarterly reports to the board concerning respondent's fitness to practice, progress in treatment, and other such information as may be required by the board or its designee.

If at any time the approved evaluator or therapist determines that respondent is unable to practice safely or independently as a pharmacist, the practitioner shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification by the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board that practice may be resumed.

During any such suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or any other place where dangerous drugs or devices or controlled substances are stored. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs or controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

16. Ethics Course

Within sixty (60) calendar days of the effective date of this decision, respondent shall enroll in a course in ethics, at respondent's expense, approved in advance by the board or its designee.

Failure to initiate the course during the first year of probation, and complete it within the second year of probation, is a violation of probation.

Respondent shall submit a certificate of completion to the board or its designee within five days after completing the course.

17. Violation of Probation

If respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed. If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order. If a petition to revoke probation or accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

18. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender his license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable.

Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish his pocket and wall license to the board within ten (10) days of notification by the board the surrender is accepted. Respondent may not reapply for any license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board, including any outstanding costs.

28

19. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Dale N. Chen. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 2/1/17

SHAO-LUN LEE Respondent

I have read and fully discussed with Respondent Shao-Lun Lee the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 2/1/2017

DALE N. CHEN Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy.

Dated: 2/14/2017

Respectfully submitted,

KATHLEEN A. KENEALY
Acting Attorney General of California
LINDA K. SCHNEIDER
Senior Assistant Attorney General

Senior Assistant Attorney General

OSHUA A, ROOM

Supervising Deputy Attorney General Attorneys for Complainant

SF2015400862;41680078.doc

Exhibit A

Accusation No. 5401

1 2 3 4 5 6	Kamala D. Harris Attorney General of California Linda K. Schneider Senior Assistant Attorney General Joshua A. Room Supervising Deputy Attorney General State Bar No. 214663 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 703-1299 Facsimile: (415) 703-5480 Attorneys for Complainant		
8	BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against: Case No. 5401		
12	SHAO-LUN LEE 1022 Whitebrick Drive		
13	San Jose, CA 95129 ACCUSATION		
14	Pharmacist License No. RPH 48518		
15	Respondent.		
16			
17	Complainant alleges:		
18	<u>PARTIES</u>		
19	1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity		
20	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.		
21	2. On or about March 7, 1996, the Board of Pharmacy issued Pharmacist License		
22	Number RPH 48518 to Shao-Lun Lee (Respondent). The Pharmacist License was in full force		
23	and effect at all times relevant to the charges brought herein and will expire on December 31,		
24	2017, unless renewed.		
25	<u>JURISDICTION</u>		
26	3. This Accusation is brought before the Board of Pharmacy (Board), Department of		
27	Consumer Affairs, under the authority of the following laws. All section references are to the		
- 1			

- 4. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].
- 5. Section 4300 of the Code provides that every license issued by the Board may be suspended or revoked.
- 6. Section 4300.1 of the Code provides that the expiration, cancellation, forfeiture, or suspension of a Board-issued license, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee, shall not deprive the Board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

STATUTORY AND REGULATORY PROVISIONS

- 7. Section 4301 of the Code provides, in pertinent part, that the Board shall take action against any holder of a license who is guilty of "unprofessional conduct," defined to include, but not be limited to, any of the following:
- (l) The conviction of a crime substantially related to the qualifications, functions, and duties of a licensee under this chapter. . . .
 - 8. California Code of Regulations, title 16, section 1770, states:

For the purpose of denial, suspension, or revocation of a personal or facility license pursuant to Division 1.5 (commencing with Section 475) of the Business and Professions Code, a crime or act shall be considered substantially related to the qualifications, functions or duties of a licensee or registrant if to a substantial degree it evidences present or potential unfitness of a licensee or registrant to perform the functions authorized by his license or registration in a manner consistent with the public health, safety, or welfare.

COST RECOVERY

9. Section 125.3 of the Code provides, in pertinent part, that a Board may request the administrative law judge to direct a licentiate found to have committed a violation of the licensing act to pay a sum not to exceed the reasonable costs of investigation and enforcement of the case.

2.1

FIRST CAUSE FOR DISCIPLINE

(Conviction of Substantially Related Crime(s))

- 10. Respondent is subject to disciplinary action under Code section 4301, subsection (l), in that he has been convicted of crimes substantially related to the qualifications, functions, and duties of a licensee. The circumstances are as follows:
- 11. On or about April 9, 2015, in Santa Clara Superior Court case number C1372122, Respondent was convicted of having violated California Penal Code section 415(2) (disturbing the peace). The conviction was based on an incident which occurred on or about November 4, 2013, during which Respondent attacked his spouse, physically restrained her and threatened her with a knife. Pursuant to his plea on April 9, 2015, Respondent was ordered to participate in domestic violence counseling and was ordered to stay away from his spouse. Respondent was sentenced on or about September 15, 2015. At that time, the court issued a three-year domestic violence protective order in order to protect Respondent's spouse.

SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

12. Respondent is subject to disciplinary action under Code section 4301 in that he has engaged in unprofessional conduct, as described above in paragraph 10.

<u>PRAYER</u>

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

- 1. Revoking or suspending Pharmacist License Number RPH 48518, issued to Shao-Lun Lee (Respondent);
- 2. Ordering Respondent to pay the Board the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;

1	3.	Taking such other and further action as is deemed necessary and proper.
2		
3	DATED:	1/22/16 Regina Herdel
4		VIRONIA HEROLD Executive Officer
5		Executive Officer Board of Pharmacy Department of Consumer Affairs State of California
6		Complainant
7		
8		
9		
10		
11		
12		
13		
14		
15		,
16	-	
17		
18		
19 20		
21		
22		
23		
24		
25		
26		
27	1	
28		en e
		,