# BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Petition to Revoke Probation Against:

Case No. 5391

Julie Shu-Hwa Kung 535 Fairview Avenue Arcadia, CA 91007

Pharmacist License No. RPH 49994

Respondent.

### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on October 24, 2016.

It is so ORDERED on September 23, 2016.

BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

Ву

Amy Gutierrez, Pharm.D. Board President

1 2	KAMALA D. HARRIS Attorney General of California					
	MARC D. GREENBAUM Supervising Deputy Attorney General SHAWN P. COOK Deputy Attorney General					
3						
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6	Facsimile: (213) 897-2804 Attorneys for Complainant					
7						
8	BEFORE THE BOARD OF PHARMACY					
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
10	STATE OF CADITORNIA					
11	In the Matter of the Petition to Revoke Case No. 5391 Probation Against:					
12	STIPULATED SETTLEMENT AND					
13	JULIE SHU-HWA KUNG 535 Fairview Avenue Arcadia, CA 91007  DISCIPLINARY ORDER					
14						
15	Pharmacist License No. RPH 49994					
16	Respondent.					
17	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-					
18	entitled proceedings that the following matters are true:					
19	<u>PARTIES</u>					
20	1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.					
21	She brought this action solely in her official capacity and is represented in this matter by Kamala					
22	D. Harris, Attorney General of the State of California, by Shawn P. Cook, Deputy Attorney					
23	General.					
24	2. Respondent Julie Shu-Hwa Kung ("Respondent") is represented in this proceeding by					
25	attorney Ivan Petrzelka, PharmD, J.D., M.B.A., whose address is: Gemini Law - Healthcare					
26	Legal Solutions; P.O. Box 552; Red Bluff, CA 95080					
27	3. On or about April 21, 1998, the Board of Pharmacy issued Pharmacist License No.					
28	RPH 49994 to Julie Shu-Hwa Kung (Respondent). The Pharmacist License was in full force and					
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effect at all times relevant to the charges brought in Petition to Revoke Probation No. 5391, and will expire on September 30, 2017, unless renewed.

### JURISDICTION |

- 4. Petition to Revoke Probation No. 5391 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Petition to Revoke Probation and all other statutorily required documents were properly served on Respondent on November 18, 2015. Respondent timely filed her Notice of Defense contesting the Petition to Revoke Probation.
- 5. A copy of Petition to Revoke Probation No. 5391 is attached as exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Petition to Revoke Probation No. 5391. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Petition to Revoke Probation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

### **CULPABILITY**

9. Respondent admits the truth of each and every charge and allegation in Petition to Revoke Probation No. 5391.

10. Respondent agrees that her Pharmacist License is subject to discipline and she agrees to be bound by the Board's decision as set forth in the Disciplinary Order below.

#### RESERVATION

11. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Pharmacy or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

### **CONTINGENCY**

- 12. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter,
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Pharmacist License No. RPH 49994 issued to Respondent Julie Shu-Hwa Kung is revoked. However, the revocation is stayed and the previous disciplinary order, effective February 17, 2011, will remain in full force and effect except that Respondent's probation will be extended for an additional one (1) year, on the following terms and conditions:

### 1. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the
   Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws
- a plea of guilty or *nolo contendere* in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency
  which involves respondent's pharmacist license or which is related to the practice of
  pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging
  for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

### 2. Report to the Board

Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports

in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

### 3. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

### 4. Cooperate with Board Staff

Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of her probation. Failure to cooperate shall be considered a violation of probation.

### 5. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

### 6. Notice to Employers

During the period of probation, respondent shall notify all present and prospective employers of the decision in case numbers 3410 and 5391 and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause her direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case numbers 3410 and 5391, and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

If respondent works for or is employed by or through a pharmacy employment service, respondent must notify her direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case numbers 3410 and 5391 in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause her direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that she has read the decision in case numbers 3410 and 5391 and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

# 7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, respondent shall not supervise any intern pharmacist, or be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the board nor serve as a consultant unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

### 8. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as

directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

### 9. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

If respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

### 10. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender her license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish her pocket and wall license to the board within ten (10) days of notification by the board that the surrender is accepted. Respondent may not reapply for any license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board, including any outstanding costs.

# 11. Notification of a Change in Name, Residence Address, Mailing Address or Employment

Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new

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employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

### 12. Tolling of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, respondent must notify the board in writing within ten (10) days of the cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist for at least forty (40) hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

Respondent is required to practice as a pharmacist in a licensed pharmacy setting that dispenses medication for a minimum of one year prior to the completion of probation. After the

first year of probation, the board or its designee may consider a modification of this requirement. If respondent fails to comply with this requirement or a subsequent modification thereto, such failure shall be considered a violation of probation.

### 13. Violation of Probation

If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

### 14. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

### 15. No Ownership of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

### ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully

3	discussed it with my attorney, Ivan Petrzelka, PharmD, J.D., M.B.A I understand the stipulation			
4	and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and			
5	Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the			
6	Decision and Order of the Board of Pharmacy.			
7				
8	DATED: 7/9/16 7/2/2			
9	JULIE SHU-HWA KUNG Respondent			
0	I have read and fully discussed with Respondent Julie Shu-Hwa Kung the terms and			
1	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order			
2	I approve its form and content.			
3	DATED: July 9, 2016  IVAN PETRZELKA, PHARMD, J.D., M.B.A.  Attorney for Respondent			
5	ENDORSEMENT			
6	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully			
7	submitted for consideration by the Board of Pharmacy.			
8	Dated: Thomas 2016 Respectfully submitted,  KAMALA D. HARRIS Attorney General of California			
9	KAMALA D. HARRIS Attorney General of Culifornia			
0	MARC D. GREENBAUM Supervising Exputy Attorney General			
1	Stiles Amilia dinta Amino Amini			
2				
13	Shawn P. Cook Deputy Attorney General			
14	Attorneys for Complainant			
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1	KAMALA D. HARRIS Attorney General of California			
2	MARC Ď, GREENBAUM			
3	Supervising Deputy Attorney General Shawn P. Cook			
4	Deputy Attorney General State Bar No. 117851			
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013			
6	Telephone: (213) 897-9954 Facsimile: (213) 897-2804			
7	Attorneys for Complainant			
8	BEFORE THE			
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS			
10	STATE OF CALIFORNIA			
11	In the Matter of the Petition to Revoke Case No. 5391			
12	Probation Against, PETITION TO REVOKE PROBATION			
13	JULIE SHU-HWA KUNG 535 Fairyiew Ayenue			
14	Arcadia, CA 91007			
15	Pharmacist License No. RPH 49994			
16	Respondent,			
17_	Complainant alleges:			
18	<u>PARTIES</u>			
19	1. Virginia Herold (Complainant) brings this Petition to Revoke Probation solely in her			
20	official capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer			
21	Affairs.			
22	2. On or about April 21, 1998, the Board of Pharmacy (Pharmacy) issued Pharmacist			
23	License No. RPH 49994 to Julie Shu-Hwa Kung (Respondent). The Pharmacist License was in			
24	effect at all times relevant to the charges brought herein and will expire on September 30, 2015,			
25	unless renewed.			
26	3. In a disciplinary action entitled In the Matter of the Petition for Early Termination of			
27	Probation of: Julie Shu-Hwa Kung, Case No. 3410, the Board issued a decision, effective			
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ll	PETITION TO REVOKE PROBATION (Case No. 5391)			

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 April 18, 2014, in which Respondent's Petition for Early Termination of Probation was denied.

A copy of that decision is attached as Exhibit A and is incorporated by reference.

4. In a disciplinary action entitled *In the Matter of the Accusation Against Julie Shu-Hwa Kung*, Case No. 3410, the Board of Pharmacy, issued a decision, effective February 17, 2011, in which Respondent's Pharmacist License was revoked. However, the revocation was stayed and Respondent's Pharmacist License was placed on probation for a period of five (5) years with certain terms and conditions. A copy of that decision is attached as Exhibit B and is incorporated by reference.

### **JURISDICTION**

- 5. This Petition to Revoke Probation is brought before the Board under the authority of the Board's Decision and Order In the Matter of the Accusation Against Julie Shu-Hwa Kung, Case No. 3410.
- 6. Condition 15 of the Decision and Order In the Matter of the Accusation Against Julie Shu-Hwa Kung, Case No. 3410, entitled "Violation of Probation." states:

If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

7. Grounds exist to revoke Respondent's probation under the authority of Condition 15 of the Decision and Order In the Matter of the Accusation Against Julie Shu-Hwa Kung, Case No.

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3410. Respondent did not comply with the terms and conditions of her probation, as more particularly set forth below.

### FIRST CAUSE TO REVOKE PROBATION

### (Failure to Report to the Board)

- 8. At all times during probation, Respondent was subject to the following condition:
- (3) REPORT TO THE BOARD. Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.
- 9. Respondent's probation is subject to revocation, because she failed to report to the Board. Respondent began new employment at Michael's Pharmacy (Michael's), located at 960 E. Green Street, Pasadena, CA 91106 on September 2, 2014, but failed to disclose this during a meeting with her probation monitor on September 4, 2014. In addition, Respondent failed to disclose her new employment at Michael's in the quarterly report she submitted to the Board signed on October 6, 2014. Additionally, during a random inspection of Michael's on October 15, 2014, Respondent was discovered working in the pharmacy. Respondent indicated that this was her first day working at Michael's. A review of the pharmacist schedules and signed daily reports revealed that Respondent had worked at Michael's on September 9, 2014, September 8, 2014, September 9, 2014, September 10, 2014, September 15, 2014, September 17, 2014, September 22, 2014, and September 23, 2014.

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### SECOND CAUSE TO REVOKE PROBATION

### (Failure Comply with Notice to Employers)

- 10. At all times during probation, Respondent was subject to the following condition:
- (7) NOTICE TO EMPLOYERS. During the period of probation, respondent shall notify all present and prospective employers of the decision in case number 3410 and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause her direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number 3410, and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

If respondent works for or is employed by or through a pharmacy employment service, respondent must notify her direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case number 3410 in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause her direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that she has read the decision in case number 3410 and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the board shall be considered a violation of probation.

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 "Employment" within the meaning of this provision shall include any full-time, parttime, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

11. Respondent's probation is subject to revocation, because she failed to comply with notice to employers. Respondent failed to provide the Board, within 15 days of undertaking new employment at Michael's, a signed acknowledgment form from her direct supervisor, pharmacist-in-charge, and owner that they have read the decision in case number 3410. Complainant refers to, and by this reference incorporates, the allegations set forth above in paragraphs 8 and 9, inclusive, as though set forth fully.

### THIRD CAUSE TO REVOKE PROBATION

(Failure to Comply with Tolling of Probation)

- 12. At all times during probation, Respondent was subject to the following condition:
- (14) Tolling of Probation. Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, respondent must notify the board in writing within ten (10) days of the cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

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"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist for at least forty (40) hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

Respondent is required to practice as a pharmacist in a licensed pharmacy setting that dispenses medication for a minimum of one year prior to the completion of probation. After the first year of probation, the board or its designee may consider a modification of this requirement. If respondent fails to comply with this requirement or a subsequent modification thereto, such failure shall be considered a violation of probation.

13. Respondent's probation is subject to revocation, because she failed to comply with the minimum hours of practice as a pharmacist, Respondent was employed at Drug Town Pharmacy, located at 802 7th Street, Santa Monica, CA 90403 from April 4, 2011 to May 17, 2014. On or about June 20, 2014, during a quarterly probation meeting with Respondent, she indicated that she was let go from Drug Town Pharmacy, because business had slowed down. She further indicated that she had submitted applications to several pharmacies, but had only \_ receive one potential offer to work as a "fill in" pharmacist at Michael's. Respondent was advised that both the pharmacy license and the pharmacist license for Michael's were also on probation and that there would be no point in pursuing this "fill in" job offer, because this worksite would not be approved. On July 10, 2014, the Board received an email from Respondent indicating that she had secured a part time job at Merced Pharmacy, located at 1515 W. Merced Ave., West Covina, CA 91790. On October 10, 2014, the Board received a signed copy of a Quarterly Report submitted by Respondent, indicating that she had only worked at Merced Medical Pharmacy from July through September 2014. Complainant refers to, and by this reference incorporates, the allegations set forth above in paragraphs 8 and 9, inclusive, as though set forth fully.

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### FOURTH CAUSE TO REVOKE PROBATION

### (Failure to Cooperate with Board Staff)

- 14. At all times during probation, Respondent was subject to the following condition:
- (5) COOPERATE WITH BOARD STAFF. Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of her probation. Failure to cooperate shall be considered a violation of probation.
- 15. Respondent's probation is subject to revocation, because she failed to cooperate with Board staff by failing to cooperate with the Board's inspection program and with the Board's monitoring and investigation of her compliance with the terms and conditions of her probation. Complainant refers to, and by this reference incorporates, the allegations set forth above in paragraphs 8 through 13, inclusive, as though set forth fully.

### FACTS IN AGGRAVATION

- 16. As facts in aggravation, Complainant alleges that on or about September 1, 2010, the Board issued Citation and Fine No. CI 2010 45537 to Respondent for violating California Code of Regulations, title 16, section 1716, resulting in the issuance of a \$1,000. Respondent has complied with the Citation. The circumstances of the citation are as follows:
- 17. On or about September 15, 2009, while working at CVS Pharmacy #9661, located at 6360 W. Third Street, Los Angeles, CA, Respondent dispensed a new prescription on RX 300290 with the drug Phenazopyridine 200mg., instead of Prometrium 200mg., as prescribe to patient S.S.

#### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

1. Revoking the probation that was granted by the Board of Pharmacy in Case No. 3410 and imposing the disciplinary order that was stayed thereby revoking Pharmacist License No. RPH 49994 issued to Julie Shu-Hwa Kung;

	ending Pharmacist License No. RPH 49994, issued to Julie Shu-			
Hwa Kung; and				
3. Taking such other	and further action as deemed necessary and proper.			
DATED:/0////5	VIRGINIA/HEROLD			
	Executive Officer			
	Board of Pharmacy Department of Consumer Affairs State of California			
	State of California  Complainant			
	·			
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# Exhibit A

### Decision and Order

In the Matter of the Petition for Early Termination of Probation of: Julie Shu-Hwa Kung, Case No. 3410

# BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 3410

JULIE SHU-HWA KUNG 535 Fairview Avenue Arcadia, CA 91007

Pharmacist License No. RPH 49994

Respondent.

### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on February 17, 2011.

It is so ORDERED on January 18, 2011.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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By

STANLEY C. WEISSER Board President

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1	EDMUND G. BROWN JR. Attorney General of California					
2	MARC D. GREENBAUM Supervising Deputy Attorney General SHAWN P. COOK Deputy Attorney General					
3						
4	State Bar No. 117851 300 So. Spring Street, Suite 1702					
5	Los Angeles, CA 90013 Telephone: (213) 897-9954					
6	Facsimile: (213) 897-2804 Attorneys for Complainant					
7	BEFORE THE					
8	BOARD OF P DEPARTMENT OF CO					
9	STATE OF C					
10	In the Matter of the Accusation Against:	Case No. 3410				
11		OAH No. L-2010060650				
12	535 Fairview Avenue Arcadia, CA 91007	STIPULATED SETTLEMENT AND				
13	I was a series of the series o	DISCIPLINARY ORDER				
14	Respondent.					
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16	IT_IS_HEREBY_STIPULATED_AND_AGR	EED by and between the parties to the above-				
17	entitled proceedings that the following matters are	e true:				
18	<u>PAR</u>	<u>ries</u>				
19	1. Virginia Herold (Complainant) is the	Executive Officer of the Board of Pharmacy.				
20	She brought this action solely in her official capac					
21	G. Brown Jr., Attorney General of the State of California, by Shawn P. Cook, Deputy Attorney					
22	General.					
23	2. Respondent Julie Shu-Hwa Kung (Respondent) is represented in this proceeding by					
24	attorney Herbert L. Weinberg, Esq., whose address is: McGuire Woods LLP; 1800 Century Park					
25	East, 8th Floor; Los Angeles, CA 90067.					
26	3. On or about April 21, 1998, the Board of Pharmacy issued Pharmacist License No.					
27	RPH 49994 to Julie Shu-Hwa Kung (Respondent). The Pharmacist License was in full force and					
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effect at all times relevant to the charges brought in Accusation No. 3410 and will expire on September 30, 2011, unless renewed.

### JURISDICTION

4. Accusation No. 3410 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on January 29, 2010. Respondent timely filed her Notice of Defense contesting the Accusation. A copy of Accusation No. 3410 is attached as exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 3410. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

### <u>CULPABILITY</u>

- 8. Respondent admits the truth of each and every charge and allegation in Accusation No. 3410.
- 9. Respondent agrees that her Pharmacist License is subject to discipline and she agrees to be bound by the Board of Pharmacy (Board)'s probationary terms as set forth in the Disciplinary Order below.

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### CONTINGENCY

- This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- The parties understand and agree that facsimile copies of this Stipulated Settlement 11. and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- This Stipulated Settlement and Disciplinary Order are intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

### DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 49994 issued to Respondent Julie Shu-Hwa Kung (Respondent) is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

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### 1. Suspension

As part of probation, respondent is suspended from the practice of pharmacy for fifteen (15) days beginning the effective date of this decision.

During suspension, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances.

Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which she holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

### 2. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the
   Pharmacy Law, state and federal food and drug laws, or state and federal controlled
   substances laws
- a plea of guilty or *nolo contendere* in any state or federal criminal proceeding to any criminal complaint, information or indictment

- a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency which involves respondent's pharmacist license or which is related to the practice of
  - pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

### 3. Report to the Board

Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the board.

### 4. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

### 5. Cooperate with Board Staff

Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of her probation. Failure to cooperate shall be considered a violation of probation.

### 6. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

### 7. Notice to Employers

During the period of probation, respondent shall notify all present and prospective employers of the decision in case number 3410 and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause her direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number 3410, and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

If respondent works for or is employed by or through a pharmacy employment service, respondent must notify her direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case number 3410 in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause her direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that she has read the decision in case number 3410 and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any

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position for which a pharmacist license is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

# 8. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, respondent shall not supervise any intern pharmacist, or be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the board nor serve as a consultant unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

### 9. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of \$12,405. Respondent shall make said payments in a payment plan to be approved by the Board.

There shall be no deviation from this payment schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy by respondent shall not relieve respondent of her responsibility to reimburse the board its costs of investigation and prosecution.

### 10. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

#### 11. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a yiolation of probation.

If respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon

 renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

### 12. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender her license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish her pocket and wall license to the board within ten (10) days of notification by the board that the surrender is accepted. Respondent may not reapply for any license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the board, including any outstanding costs.

# 13. Notification of a Change in Name, Residence Address, Mailing Address or Employment

Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

### 14. Tolling of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month.

Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, respondent must notify the board in writing within ten (10) days of the cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist for at least forty (40) hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

Respondent is required to practice as a pharmacist in a licensed pharmacy setting that dispenses medication for a minimum of one year prior to the completion of probation. After the first year of probation, the board or its designee may consider a modification of this requirement. If respondent fails to comply with this requirement or a subsequent modification thereto, such failure shall be considered a violation of probation.

### 15. Violation of Probation

If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed

 appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

### 16. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

### 17. Community Services Program

Within sixty (60) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, a community service program in which respondent shall provide free health-care related services on a regular basis to a community or charitable facility or agency for 300 hours. Within thirty (30) days of board approval thereof, respondent shall submit documentation to the board demonstrating commencement of the community service program. A record of this notification must be provided to the board upon request. Respondent shall report on progress with the community service program in the quarterly reports. Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

### 18. No Ownership of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the board. Failure to timely divest any legal or beneficial interest(s) or provide

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documentation thereof shall be considered a violation of probation.

### 19. Tolling of Suspension

During the period of suspension, respondent shall not leave California for any period exceeding ten (10) days, regardless of purpose (including vacation). Any such absence in excess of the (10) days during suspension shall be considered a violation of probation. Moreover, any absence from California during the period of suspension exceeding ten (10) days shall toll the suspension, i.e., the suspension shall be extended by one day for each day over ten (10) days respondent is absent from California. During any such period of tolling of suspension, respondent must nonetheless comply with all terms and conditions of probation.

Respondent must notify the board in writing within ten (10) days of departure, and must further notify the board in writing within ten (10) days of return. The failure to provide such notification(s) shall constitute a violation of probation. Upon such departure and return, respondent shall not resume the practice of pharmacy until notified by the board that the period of suspension has been satisfactorily completed.

### 20. Ethics Course

Within sixty (60) calendar days of the effective date of this decision, respondent shall enroll in a course in ethics, at respondent's expense, approved in advance by the board or its designee. Failure to initiate the course during the first year of probation, and complete it within the second year of probation, is a violation of probation.

Respondent shall submit a certificate of completion to the board or its designee within five days after completing the course.

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11/22/2010 16:46 826-441-5210

LUCKY METAL SUPPLY

PAGE 01/01

1	ACCEPTANCE				
2	I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully				
3	discussed it with my attorney, Herbert L. Weinberg, Esq. I understand the stipulation and the				
4	effect it will have on my Pharmacist Licensc. I enter into this Stipulated Settlement and				
5	Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the				
ē	Decision and Order of the Board of Pharmacy.				
7					
8	DATED: 1/27/10				
9	III AS SHU-HWA KUNG Respondent				
10	I have read and fully discussed with Respondent Julie Shu-Hwa Kung the terms and				
11	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.				
12	I approve its form and content.				
13	DATED: 1/23/10 // 1				
14	Herbert L. Weinbert 2, Esq. Attorney for Respondent				
15					
16	ENDORSEMENT				
17	The foregoing Stipulated Settlement and Disciplinary Order are hereby respectfully				
18-	submitted for consideration by the Board of Pharmacy of the Department of Consumer-Affairs.				
19	11/23/11/2				
20	Dated: Respectfully Submitted,				
21	Enmino G. Brown Jr. Attorney General of California				
22	MARC D. GREENBAUM Supervising Depity Attorney General				
23					
24	SHAWN P. COOK				
25	Deputy Attorney General Attorneys for Complainant				
26	And the second s				
27	4				
28	LA2009603508 Stipulation.rtf				
	12				
	STIPULATED SETTLEMENT Accusation #3410				

Exhibit A

Accusation No. 3410

1 2 3 4 5 6	EDMUND G. BROWN JR. Attorney General of California MARC D. GREENBAUM Supervising Deputy Attorney General JENNIFER S. CADY Deputy Attorney General State Bar No. 100437 300 So. Spring Street, Suite 1702 Los Angeles, CA 90013 Telephone: (213) 897-2442 Facsimile: (213) 897-2804 Attorneys for Complainant				
8	BEFORE THE BOARD OF PHARMACY				
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA				
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11	In the Matter of the Accusation Against:  Case No. 3410				
12	JULIE SHU-HWA KUNG 535 Fairview Avenue				
13	Arcadia, CA 91007 ACCUSATION				
14	Pharmacist License No. RPH 49994				
15	Respondent.				
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17	Complainant alleges:				
18	<u>PARTIES</u>				
19	I. Virginia Herold (Complainant) brings this Accusation solely in her official capacity				
20	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.				
21	2. On or about April 21, 1998, the Board of Pharmacy (Board) issued Pharmacist				
22	License No. RPH 49994 to Julie Shu-Hwa Kung (Respondent). The Pharmacist License was in				
23	full force and effect at all times relevant to the charges brought herein and will expire on				
24	September 30, 2011, unless renewed.				
25	JURISDICTION				
26	3. This Accusation is brought before the Board, Department of Consumer Affairs, under				
27	the authority of the following laws. All section references are to the Business and Professions				
28	Code unless otherwise indicated.				

### STATUTORY PROVISIONS

- 4. Section 118, subdivision (b), provides that the suspension, expiration, surrender or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.
- 5. Section 4022 [added by Stats.1996, c 890 (A.B. 2802), former § 4211], provides that a drug that can be lawfully dispensed only on prescription is a dangerous drug.
- 6. Section 4059, subdivision (a), states, in pertinent part, that "[a] person may not furnish any dangerous drug, except upon the prescription of a physician, dentist, podiatrist, optometrist, veterinarian, or naturopathic doctor pursuant to Section 3640.7..."
  - 7. Section 4063 states:

"No prescription for any dangerous drug or dangerous device may be refilled except upon authorization of the prescriber. The authorization may be given orally or at the time of giving the original prescription. No prescription for any dangerous drug that is a controlled substance may be designated refillable as needed."

- 8. Section 4300, subdivision (a), states that "[e] very license issued may be suspended or revoked."
  - 9. Section 4301 states, in pertinent part:

"The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

- "(f) The commission of any act involving moral turpitude, dishonesty, fraud, deceit, or corruption, whether the act is committed in the course of relations as a licensee or otherwise, and whether the act is a felony or misdemeanor or not.
- "(g) Knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts.

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	"(j)	The violation of any of the statutes of this state, or any other state, or of the United
States	regul	ating controlled substances and dangerous drugs.

- "(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency."
- 10. Section 4324, subdivision (a), states, in pertinent part, that "[e]very person who signs the name of another, or of a fictitious person, or falsely makes, alters, forges, utters, publishes, passes, or attempts to pass, as genuine, any prescription for any drugs is guilty of forgery..."

### REGULATORY PROVISIONS

- 11. California Code of Regulations, title 16, section 1716 states, in pertinent part, that "[p]harmacists shall not deviate from the requirements of a prescription except upon the prior consent of the prescriber . . ."
  - 12. California Code of Regulations, title 16, section 1717, subdivision (c), states:
- "Promptly upon receipt of an orally transmitted prescription, the pharmacist shall reduce it to writing, and initial it, and identify it as an orally transmitted prescription. If the prescription is then dispensed by another pharmacist, the dispensing pharmacist shall also initial the prescription to identify him or herself."
  - 13. California Code of Regulations, title 16, section 1761 states:
- "(a) No pharmacist shall compound or dispense any prescription which contains any significant error, omission, irregularity, uncertainty, ambiguity or alteration. Upon receipt of any such prescription, the pharmacist shall contact the prescriber to obtain the information needed to validate the prescription.
- "(b) Even after conferring with the prescriber, a pharmacist shall not compound or dispense a controlled substance prescription where the pharmacist knows or has objective reason to know that said prescription was not issued for a legitimate medical purpose."

### COST RECOVERY

14. Section 125.3 states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

### DANGEROUS DRUGS

- 15. Allopurinol is used for treating acute attacks of gout, erosive destructive gouty joint disease, uric acid deposits in tissues (tophi), gouty kidney disease, and uric acid stones. It is categorized as a dangerous drug pursuant to section 4022.
- 16. Atenolol is prescribed for patients with high blood pressure (hypertension) and is categorized as a dangerous drug pursuant to section 4022.
- 17. Cozaar, a brand name of losartan, is used for treating hypertension, left ventricular hypertrophy (increase in muscle) and diabetic nephropathy (kidney disease). It is categorized as a dangerous drug pursuant to section 4022.
- 18. Evista, a brand name for raloxifene, is prescribed for the prevention and treatment of osteoporosis in post-menopausal women, and is a dangerous drug within the meaning of section-4022.
- 19. Lipitor, a brand name for atorvastatin, is an oral drug that lowers the level of cholesterol in the blood. It is categorized as a dangerous drug pursuant to section 4022.

### FIRST CAUSE FOR DISCIPLINE

### (Falsified Prescriptions)

20. Respondent is subject to disciplinary action under sections 4300 and 4301, subdivisions (g) and / or (o), for unprofessional conduct, in conjunction with section 4324, subdivision (a), in that in and between March 2006 and February 2008, Respondent falsified prescriptions to obtain dangerous drugs, to wit, 300 Evista 60mg, 550 total tablets of Lipitor 10mg & 40mg, 100 Allopurinol 300mg, 200 Cozaar 25mg, and 200 Atenolol 50mg. The circumstances are as follows:

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- a. In and between March 2006 and February 2008, while employed as a licensed pharmacist at Kaiser Permanente Pharmacy, Baldwin Park, California, using her scope of practice, Respondent obtained prescription medications for her parents use using her own name, medical record number and health insurance benefits.
- b. Respondent produced false oral, telephone and / or transfer, prescription orders for herself, filled the prescriptions, and gave the medications to her parents for their use. Respondent issued the prescriptions to herself when she had no legitimate medical purpose for the medications.
- c. Respondent dispensed (filled and refilled) prescriptions from providers who did not issued or authorize the prescriptions.
  - d. Respondent received prescription medications as follows:

						Oral
	Count	Medication	Rx No.	Date	M.D.	Instrument
	100	Allopurinol 300mg	192278396	7/14/2006	Chiu .	Telephone Rx
	100	Atenolol 50mg	154528045	3/19/2007	Chiu	Telephone Rx
	100	Atenolol 50mg	154572486	10/11/2007	Chiu	Telephone Rx
	100	Cozaar 25mg	154528044	3/19/2007	Chiu	Telephone Rx
	_ 100	Cozaar-25mg	- 154572485	10/4-1/2007	- Chiu	Telephone Rx
	100	Evista 60mg	192269007	3/11/2006	Chiu	Telephone Rx
	100	Evista 60mg	154546749	6/14/2007	Chiu	Telephone Rx
	100	Evista 60mg	154546749	2/28/2008	Chiu	Refill
	100	Lipitor 20mg	192269681	3/20/2006	Chiu	Telephone Rx
	100	Lipitor 20mg	1922 <u>6</u> 9681	9/12/2006	Chiu	Refill
	100	Lipitor 20mg	192269681	9/14/2006	Chiu	Refill
	50	Lipitor	291225179	1/30/2007	Chu	Telephone Rx
	50	Lipitor 40mg	154529877	3/26/2007	Chu	Telephone Rx
	50	Lipitor 40mg	154529877	6/14/2007	Chu	Refill
	50	Lipitor 40mg	154529877	9/18/2007	Chu	Refill
·	50	Lipitor 40mg	154529877	1/22/2008	Chu	Refill
						•

e. On or about May 14, 2008, based upon her own admissions, Respondent was terminated from her employment at Kaiser Permanente for filling prescriptions under her own name which were intended for her parents in order to take advantage of the health plan benefits for employees.

### SECOND CAUSE FOR DISCIPLINE

# (Knowingly Filled, Refilled and Dispensed Altered Prescriptions)

21. Respondent is subject to disciplinary action under sections 4300 and 4301, subdivisions (j) and / or (o), on the grounds of unprofessional conduct, for violating section 4063 and California Code of Regulations, title 16, section 1761, in that in and between March 2006 and February 2008, Respondent knowingly filled, refilled, and dispensed altered prescriptions for medications intended for her parents to her own name. Complainant refers to and by this reference incorporates the allegations set forth above in paragraphs 20, inclusive, as though set forth fully.

### THIRD CAUSE FOR DISCIPLINE

# (Furnishing Drugs Without Valid Prescriptions)

22. Respondent is subject to disciplinary action under sections 4300 and 4301, subdivisions (j) and / or (o), on the grounds of unprofessional conduct, for violating section 4059, subdivision (a), in that in and between March 2006 and February 2008, Respondent furnished dangerous drugs without legitimate prescriptions. Complainant refers to and by this reference incorporates the allegations set forth above in paragraphs 20 and 21, inclusive, as though set forth fully.

### FOURTH CAUSE FOR DISCIPLINE

# (Acts Involving Moral Turpitude, Dishonesty, Fraud, Deceit or Corruption)

23. Respondent is subject to disciplinary action under sections 4300 and 4301, subdivision (f), on the grounds of unprofessional conduct, in that in and between March 2006 and February 2008, Respondent committed acts involving moral turpitude, dishonesty, fraud, deceit, or corruption. Complainant refers to and by this reference incorporates the allegations set forth above in paragraphs 20 - 22, inclusive, as though set forth fully.

# **PRAYER** WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, 2 and that following the hearing, the Board issue a decision: 3 Revoking or suspending Pharmacist License No. RPH 49994, issued to Respondent; 1. 4 2. Ordering Respondent to pay the Board the reasonable costs of the investigation and 5 enforcement of this case, pursuant to section 125.3; and 6 Taking such other and further action as deemed necessary and proper. 7 Executive Officer Board of Pharmacy Department of Consumer Affairs State of California Complainant LA2009603608 11/20/09dmm; 11/30/09jsc; 1/12/10dmm 60510741.doc

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