

California State Board of Pharmacy

1625 N. Market Blvd, N219, Sacramento, CA 95834 Phone: (916) 574-7900 Fax: (916) 574-8618 www.pharmacy.ca.gov BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY
DEPARTMENT OF CONSUMER AFFAIRS
GOVERNOR EDMUND G. BROWN JR.

APPLICATION FOR VOLUNTARY SURRENDER OF PHARMACIST / INTERN LICENSE

PLEASE PRINT IN BEACK ON BEUE INK OR TIPE TOOK RESPONSES	
Name: CAN VUONG	Case No. AC 4971
Address of Record: 1208 (JENNIFER LN. GARDEN GROVE CA 92840	× ×
GARDEN GROVE CA 92840	
	.
Pursuant to the terms and conditions of my probation with the Ca	alifornia State Board of Pharmacy (Board)
in Case No. AC 4971 , I hereby request t	o surrender my license,
License No. 226 44286 . The Board or i	ts designee shall have the discretion
whether to grant the request for surrender or take any other action	on it deems appropriate and reasonable.
Upon formal acceptance of the surrender of the license, I will no	longer be subject to the terms and
conditions of probation. I understand that this surrender constitu	utes a record of discipline and shall
become a part of my license history with the Board.	
Upon the acceptance of the surrender, I shall relinquish my pocl	ket and wall license to the Board within
ten (10) days of notification by the Board that the surrender is ac	ccepted. I understand that I may not
reapply for any license from the board for three (3) years from the	ne effective date of the surrender. I
further understand that I shall meet all requirements applicable t	to the license sought as of the date the
application for that license is submitted to the Board, including a	iny outstanding costs.
PLEASE BE ADVISED THAT YOU ARE NOT RELIEVED OF T	HE REQUIREMENTS OF YOUR
PROBATION UNLESS THE BOARD NOTIFIES YOU THAT YO	OUR REQUEST TO SURRENDER YOUR
LICENSE HAS BEEN ACCEPTED.	
Caulley	8-10-21
Applicant's Signature	8 - 10 - 20 / 「 Date
	0 (1
U. Herold	8/18/13
Executive Officer's Approval	Date

All items on this application are mandatory in accordance with your probationary order and the Board's Disciplinary Guidelines as authorized by Title 16, California Code of Regulations section 1760. Failure to provide any of the requested information or providing unreadable information will result in the application being rejected as incomplete. The information provided on this form will be used to determine eligibility for surrender. The official responsible for information maintenance is the Executive Officer, telephone (916) 574-7900, 1625 N. Market Blvd., Suite N-219, Sacramento, CA 95834. The information you provide may also be disclosed in the following circumstances: (1) in response to a Public Records Act request; (2) to another government agency as required by state or federal law; or, (3) in response to a court or administrative order, a subpoena, or a search warrant. Each individual has the right to review the files or records maintained on them by our agency, unless the records are identified as confidential information and exempted by Section 1798.40 of the Civil Code.

BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 4971

THANH TAM PHARMACY, INC. DBA PACIFIC PHARMACY OAH No. 2014100518

and

STIPULATED SETTLEMTN AND DISCIPLINARY ORDER AS TO CAN VINH VOUNG ONLY

LINDA OANH NGUYEN

and

CAN VINH VOUNG 12081 Jennifer Lane Garden Grove, CA 92640

Pharmacist License No. RPH 44286

Respondents.

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is here by adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on July 2, 2015.

It is so ORDERED on June 18, 2015.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

Amarylis Gutierrez Board President

		•	
1	KAMALA D. HARRIS	•	
2	Attorney General of California GREGORY J. SALUTE		
3	Supervising Deputy Attorney General NICOLE R. TRAMA		
4	Deputy Attorney General State Bar No. 263607		
5	600 West Broadway, Suite 1800 San Diego, CA 92101		
6	P.O. Box 85266 San Diego, CA 92186-5266		
7	Telephone: (619) 645-2143 Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9	BEFORE THE BOARD OF PHARMACY		
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11			
12	In the Matter of the Accusation Against:	Case No. 4971	
13	THANH TAM PHARMACY, INC. DBA PACIFIC PHARMACY	OAH No. 2014100518	
14	and	STIPULATED SETTLEMENT AND	
15	LINDA OANH NGUYEN	DISCIPLINARY ORDER AS TO CAN VINH VOUNG ONLY	
16	and		
17	CAN VINH VOUNG		
18	12081 Jennifer Lane Garden Grove, CA 92640		
19			
20	Pharmacist License No. RPH 44286		
21	Respondents.		
22	·	,	
23	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-		
24	entitled proceedings that the following matters are true:		
25	<u>PARTIES</u>		
26	1. Virginia Herold ("Complainant") is the Executive Officer of the Board of Pharmacy.		
27	She brought this action solely in her official capacity and is represented in this matter by Kamala		
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- D. Harris, Attorney General of the State of California, by Nicole R. Trama, Deputy Attorney General.
- 2. Respondent Can Vinh Voung ("Respondent") is represented in this proceeding by attorney Tony J. Park, Esq., whose address is: California Pharmacy Lawyers, 2855 Michelle Drive, Suite 180, Irvine, CA 92606-1027.
- 3. On or about June 21, 1991, the Board of Pharmacy issued Pharmacist License No. RPH 44286 to Can Vinh Vuong (Respondent). The Pharmacist License was in full force and effect at all times relevant to the charges brought in Accusation No. 4971 and will expire on June 30, 2015, unless renewed.

JURISDICTION

- 4. Accusation No. 4971 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 27, 2014.

 Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 4971 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 4971. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse Decision; and all of other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 4971, if proven at a hearing, constitute cause for imposing discipline upon his Pharmacist License.
- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up his right to contest those charges.
- 11. Respondent agrees that his Pharmacist License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 12. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions,

negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 44286 issued to Respondent Can Vinh Vuong (Respondent) is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1. Suspension

As part of probation, Respondent is suspended from the practice of pharmacy until he provides proof of completion of either of the following: (1) a minimum 6-hour continuing education course on the topic of corresponding responsibility presented by the Drug Enforcement Agency; or, (2) a minimum 6-hour, in-person, pre-approved, continuing education course on the topic of corresponding responsibility.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances.

Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

Subject to the above restrictions, respondent may continue to own or hold an interest in any licensed premises in which they holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

2. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the Board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the

 Pharmacy Law, state and federal food and drug laws, or state and federal controlled
 substances laws
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency which involves Respondent's pharmacist license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

3. Report to the Board

Respondent shall report to the Board quarterly, on a schedule as directed by the Board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, Respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the Board.

4. Interview with the Board

Upon receipt of reasonable prior notice, Respondent shall appear in person for interviews with the Board or its designee, at such intervals and locations as are determined by the Board or its designee. Failure to appear for any scheduled interview without prior notification to Board staff, or failure to appear for two (2) or more scheduled interviews with the Board or its designee during the period of probation, shall be considered a violation of probation.

5. Cooperate with Board Staff

Respondent shall cooperate with the Board's inspection program and with the Board's monitoring and investigation of Respondent's compliance with the terms and conditions of his probation. Failure to cooperate shall be considered a violation of probation.

6. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the Board or its designee.

7. Notice to Employers

During the period of probation, Respondent shall notify all present and prospective employers of the Decision in case number 4971 and the terms, conditions and restrictions imposed on Respondent by the Decision, as follows:

Within thirty (30) days of the effective date of this Decision, and within fifteen (15) days of Respondent undertaking any new employment, Respondent shall cause his direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during Respondent's tenure of employment) and owner to report to the Board in writing acknowledging that the listed individual(s) has/have read the Decision in case number 4971, and terms and conditions imposed thereby. It shall be Respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the Board.

If Respondent works for or is employed by or through a pharmacy employment service,
Respondent must notify his direct supervisor, pharmacist-in-charge, and owner at every entity
licensed by the Board of the terms and conditions of the Decision in case number 4971 in advance

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of the Respondent commencing work at each licensed entity. A record of this notification must be provided to the Board upon request.

Furthermore, within thirty (30) days of the effective date of this Decision, and within fifteen (15) days of Respondent undertaking any new employment by or through a pharmacy employment service, Respondent shall cause his direct supervisor with the pharmacy employment service to report to the Board in writing acknowledging that he has read the Decision in case number 4971 and the terms and conditions imposed thereby. It shall be Respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the Board.

Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the Board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the Respondent is an employee, independent contractor or volunteer.

8. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, Respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the Board nor serve as a consultant unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

9. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the Board each and every year of probation. Such costs shall be payable to the Board on a schedule as directed by the Board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

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Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the Board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

If Respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication Respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

License Surrender While on Probation/Suspension

Following the effective date of this Decision, should Respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, Respondent may tender his license to the Board for surrender. The Board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the Respondent's license history with the Board.

Upon acceptance of the surrender, Respondent shall relinquish his pocket and wall license to the Board within ten (10) days of notification by the Board that the surrender is accepted. Respondent may not reapply for any license from the Board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board, including any outstanding costs.

Notification of a Change in Name, Residence Address, Mailing Address or **Employment**

Respondent shall notify the Board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent

shall further notify the Board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the Board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

13. Tolling of Probation

Except during periods of suspension, Respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of thirty (30) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, Respondent must nonetheless comply with all terms and conditions of probation.

Should Respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of thirty (30) hours per calendar month in California, Respondent must notify the Board in writing within ten (10) days of the cessation of practice, and must further notify the Board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for Respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which Respondent is not practicing as a pharmacist for at least thirty (30) hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which Respondent is practicing as a pharmacist for at least thirty (30) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

14. Violation of Probation

If a Respondent has not complied with any term or condition of probation, the Board shall have continuing jurisdiction over Respondent, and probation shall automatically be extended,

· 28 until all terms and conditions have been satisfied or the Board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If Respondent violates probation in any respect, the Board, after giving Respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against Respondent during probation, the Board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

15. Completion of Probation

Upon written notice by the Board or its designee indicating successful completion of probation, Respondent's license will be fully restored.

16. Community Services Program

Within sixty (60) days of the effective date of this Decision, Respondent shall submit to the Board or its designee, for prior approval, a community service program in which Respondent shall provide free health-care related services on a regular basis to a community or charitable facility or agency for at least one hundred (100) hours during the period of probation. Within thirty (30) days of Board approval thereof, Respondent shall submit documentation to the Board demonstrating commencement of the community service program. A record of this notification must be provided to the Board upon request. Respondent shall report on progress with the community service program in the quarterly reports. Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

17. Remedial Education

Within sixty (60) days of the effective date of this Decision, Respondent shall submit to the Board or its designee, for prior approval, an appropriate program of remedial education related to corresponding responsibility, pharmacy law, and pharmacy operations. The program of remedial education shall be in addition to the education required under Term 1 of this Stipulated Settlement

and Disciplinary Order and shall consist of at least six (6) hours per year, at least 50% of which shall be in person, for each year of the five (5) years of probation, and shall be completed at Respondent 's own expense. All remedial education shall be in addition to, and shall not be credited toward, continuing education (CE) courses used for license renewal purposes.

Failure to timely submit or complete the approved remedial education shall be considered a violation of probation. The period of probation will be automatically extended until such remedial education is successfully completed and written proof, in a form acceptable to the Board, is provided to the Board or its designee.

Following the completion of each course, the Board or its designee may require the Respondent, at his own expense, to take an approved examination to test the Respondent's knowledge of the course. If the Respondent does not achieve a passing score on the examination, this failure shall be considered a violation of probation. Any such examination failure shall require Respondent to take another course approved by the Board in the same subject area.

18. Supervised Practice

During the period of probation, Respondent shall practice only under the supervision of a licensed pharmacist not on probation with the Board. Upon and after the effective date of this Decision, Respondent shall not practice pharmacy and his license shall be automatically suspended until a supervisor is approved by the Board or its designee. The supervision shall be, as required by the Board or its designee, either:

Continuous – At least 75% of a work week

Substantial - At least 50% of a work week

Partial - At least 25% of a work week

Daily Review - Supervisor's review of probationer's daily activities within 24 hours

Within thirty (30) days of the effective date of this Decision, Respondent shall have his supervisor submit notification to the Board in writing stating that the supervisor has read the Decision in case number 4971 and is familiar with the required level of supervision as determined by the Board or its designee. It shall be the Respondent's responsibility to ensure that his employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the

Board. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the Board shall be considered a violation of probation.

If Respondent changes employment, it shall be the Respondent's responsibility to ensure that his employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the Board. Respondent shall have his new supervisor, within fifteen (15) days after employment commences, submit notification to the Board in writing stating the direct supervisor and pharmacist-in-charge have read the Decision in case number 4971 and is familiar with the level of supervision as determined by the Board. Respondent shall not practice pharmacy and his license shall be automatically suspended until the Board or its designee approves a new supervisor. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the Board shall be considered a violation of probation.

Within ten (10) days of leaving employment, Respondent shall notify the Board in writing.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not resume practice until notified by the Board.

During suspension, Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

Subject to the above restrictions, Respondent may continue to own or hold an interest in any licensed premises in which he holds an interest at the time this Decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

19. No Ownership of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the Board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the Board within ninety (90) days following the effective date of this Decision and shall immediately thereafter provide written proof thereof to the Board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

20. Ethics Course

Within sixty (60) calendar days of the effective date of this Decision, Respondent shall enroll in a course in ethics, at Respondent's expense, approved in advance by the Board or its designee. Failure to initiate the course during the first year of probation, and complete it within the second year of probation, is a violation of probation.

Respondent shall submit a certificate of completion to the Board or its designee within five days after completing the course.

21. Enroll in PDMP

Within thirty (30) calendar days of the effective date of this Decision, Respondent shall register in the California Prescription Drug Monitoring Program (PDMP).

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Tony J. Park, Esq.. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED:		
-	· · · · · · · · · · · · · · · · · · ·	CAN VINH VUONG
		Respondent

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evillandes (Ca) calendar days of the effective date of this Decision, Respondent shall a supplicate of this Decision, Respondent shall a supplicate of this Decision, Respondent shall a supplicate of the Decision, Respondent shall a supplicate of probation, and complete as within the course diameter for the Language of probation, and complete as within the course diameter for the Language of probation.

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ACCIPIANCE

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CAN VINIFALIGNO
Responsibilities

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1	I have read and fully discussed with Respondent Can Vinh Voung, RPH the terms and		
2	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order		
3	I approve its form and content.		
4	DATED: 06/01/2015	Imy Tark	
5	Tony J. Park, Esq. Attorney for Respondent		
6			
7	ENDORSEMENT		
8	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
9	submitted for consideration by the B	oard of Pharmacy.	
10	Dated: 6/2/15	The second of the second of the second	
11	Dated: 6/2/13	Respectfully submitted,	
12		KAMALA D. HARRIS Attorney General of California GREGORY J. SALUTE	
13	·	Supervising Deputy Attorney General	
14			
15		NICOLE R. TRAMA	
16		Deputy(Attorney General Attorneys for Completinant	
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Exhibit A

Accusation No. 4971

	·		
1	KAMALA D. HARRIS		
2	Attorney General of California LINDA K. SCHNEIDER		
3	Supervising Deputy Attorney General DESTREE I. KELLOGG		
4	Deputy Attorney General State Bar No. 126461		
5	110 West "A" Street, Suite 1100 San Diego, CA 92101		
}	P.O. Box 85266		
6	San Diego, CA 92186-5266 Telephone: (619) 645-2996	·	
7	Facsimile: (619) 645-2061 Attorneys for Complainant		
8	BEFORE THE		
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CALIFOR		
11	In the Matter of the Accusation Against:	Case No. 4971	
12	THANH TAM PHARMACY, INC.,		
13	DBA PACIFIC PHARMACY	ACCUEATION	
14	Westminster, CA 92683	ACCUSATION	
15	Pharmacy Permit No. PHY 50504	•	
16	LINDA OANH NGUYEN		
17	9246 Gardenia Avenue Fountain Valley, CA 92708		
18	Pharmacist License No. RPH 92708		
19	CAN VINH VOUNG		
20	12081 Jennifer Lane Garden Grove, CA 92640		
21	Pharmacist License No. RPH 44286		
22	Respondents.		
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Accusation

 Complainant alleges:

PARTIES

- 1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.
- 2. On or about October 21, 2009, the Board issued Pharmacy Permit Number PHY 48979 to Thanh Tam Pharmacy, Inc., dba Pacific Pharmacy with Kimdzung Nguyen designated as the Pharmacist-in-Charge. On or about January 24, 2011, there was a change in ownership of that pharmacy which resulted in the Board issuing Pharmacy Permit Number PHY 50504 to Thanh Tam Pharmacy, Inc., dba Pacific Pharmacy (Respondent Pacific Pharmacy). The Pharmacy Permit was in full force and effect at all times relevant to the charges brought herein and will expire on January 1, 2015, unless renewed.
- 3. On or about March 8, 1996, the Board of Pharmacy issued Pharmacist License Number RPH 48563 to Linda Oanh Nguyen (Respondent Linda Nguyen). The Pharmacist License was in full force and effect at all times relevant to the charges brought herein and will expire on August 31, 2015, unless renewed.
- 4. On or about June 21, 1991, the Board of Pharmacy issued Pharmacist License Number RPH 44286 to Can Vinh Voung (Respondent Can Vuong). The Pharmacist License was in full force and effect at all times relevant to the charges brought herein and will expire on June 30, 2015, unless renewed.

JURISDICTION

- 5. This Accusation is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 6. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].
- 7. Section 4300(a) of the Code provides that every license issued by the Board may be suspended or revoked.

8. Section 4300.1 of the Code states:

The expiration, cancellation, forfeiture, or suspension of a board-issued license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

STATUTORY AND REGULATORY PROVISIONS

9. Section 4301 of the Code states in pertinent part:

The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

(j) The violation of any of the statutes of this state, or any other state, or of the United States regulating controlled substances and dangerous drugs....

- (o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or any other state or federal regulatory agency.
- (q) Engaging in any conduct that subverts or attempts to subvert an investigation of the board.

10. Section 4113(c) of the Code states:

The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy.

11. Section 4125(a) of the Code states:

Every pharmacy shall establish a quality assurance program that shall, at a minimum, document medication errors attributable, in whole or part, to the pharmacy or its personnel. The purpose of the quality assurance program shall be to assess errors that occur in the pharmacy in dispensing or furnishing prescription medications so that the pharmacy may take appropriate action to prevent a reoccurrence.

12. Section 4306.5 of the Code states, in pertinent part:

Unprofessional conduct for a pharmacist may include any of the following:

Acts or omissions that involve, in whole or in part, the inappropriate exercise of his or her education, training, or experience as a pharmacist, whether or not the act or omission arises in the course of the practice of pharmacy or the ownership, management, administration, or operation of a pharmacy or other entity licensed by the board.

Acts or omissions that involve, in whole or in part, the failure to consult appropriate patient, prescription, and other records pertaining to the performance of any pharmacy function.

13. Health and Safety Code section 11153(a) states:

A prescription for a controlled substance shall only be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of his or her professional practice. The responsibility for the proper prescribing and dispensing of controlled substances is upon the prescribing practitioner, but a corresponding responsibility rests with the pharmacist who fills the prescription. Except as authorized by this division, the following are not legal prescriptions: (1) an order purporting to be a prescription which is issued not in the usual course of professional treatment or in legitimate and authorized research; or (2) an order for an addict or habitual user of controlled substances, which is issued not in the course of professional treatment or as part of an authorized narcotic treatment program, for the purpose of providing the user with controlled substances, sufficient to keep him or her comfortable by maintaining customary use.

14. Health and Safety Code section 11165(d) states, in pertinent part:

To assist law enforcement and regulatory agencies in their efforts to control the diversion and resultant abuse of Schedule II, Schedule III, and Schedule IV controlled substances, and for statistical analysis, education, and research, the Department of Justice shall, contingent upon the availability of adequate funds from the Contingent Fund of the Medical Board of California, the Pharmacy Board Contingent Fund, the State Dentistry Fund, the Board of Registered Nursing Fund, and the Osteopathic Medical Board of California Contingent Fund, maintain the Controlled Substance Utilization Review and Evaluation System (CURES) for the electronic monitoring of, and Internet access to information regarding, the prescribing and dispensing of Schedule II, Schedule III and Schedule IV controlled substances by all practitioners authorized to prescribe or dispense these controlled substances.

For each prescription for a Schedule II, Schedule III, or Schedule IV controlled substance, as defined in the controlled substances schedule in federal law and regulations, specifically Sections 1308.12, 1308.13, and 1308.14, respectively, of Title 21 of the Code of Federal Regulations, the dispensing pharmacy or clinic shall provide the following information to the Department of Justice on a weekly basis and in a format specified by the Department of Justice:

15. Section 1715(a) of title 16, California Code of Regulations states:

The pharmacist-in-charge of each pharmacy as defined under section 4029 or section 4037 of the Business and Professions Code shall complete a self-assessment of the pharmacy's compliance with federal and state pharmacy law. The assessment shall be performed before July 1 of every odd-numbered year. The primary purpose of the self-assessment is to promote compliance through self-examination and education.

16. Section 1761 of title 16, California Code of Regulations states:

- (a) No pharmacist shall compound or dispense any prescription which contains any significant error, omission, irregularity, uncertainty, ambiguity or alteration. Upon receipt of any such prescription, the pharmacist shall contact the prescriber to obtain the information needed to validate the prescription.
- (b) Even after conferring with the prescriber, a pharmacist shall not compound or dispense a controlled substance prescription where the pharmacist knows or has objective reason to know that said prescription was not issued for a legitimate medical purpose.

17. Section 1707.3 of title 16, California Code of Regulations states:

Prior to consultation as set forth in section 1707.2, a pharmacist shall review a patient's drug therapy and medication record before each prescription drug is delivered. The review shall include screening for severe potential drug therapy problems.

18. Section 1304.04 of title 21, Code of Federal Regulations states in pertinent part:

(f) Each registered manufacturer, distributor, importer, exporter, narcotic treatment program and compounder for narcotic treatment program shall maintain inventories and records of controlled substances as follows:

Inventories and records of controlled substances listed in Schedules III, IV and V shall be maintained either separately from all other records of the registrant or in such form that the information required is readily retrievable from the ordinary business records of the registrant.

19. Section 1304.11(a) of title 21, Code of Federal Regulations states:

General requirements. Each inventory shall contain a complete and accurate record of all controlled substances on hand on the date the inventory is taken, and shall be maintained in written, typewritten, or printed form at the registered location. An inventory taken by use of an oral recording device must be promptly transcribed. Controlled substances shall be deemed to be "on hand" if they are in the possession of or under the control of the registrant, including substances returned by a customer, ordered by a customer but not yet invoiced, stored in a warehouse on behalf of the registrant, and substances in the possession of employees or the registrant and

intended for distribution as complimentary samples. A separate inventory shall be made for each registered location and each independent activity registered, except as provided in paragraph (e)(4) of this section. In the event controlled substance is in the possession or under the control of the registrant are stored at a location of which he/she is not registered, the substances shall be included in the inventory of the registered location to which they are subject to control or to which the person possessing the substance is responsible. The inventory may be taken either as of opening of business or as of the close of business on the inventory date and it shall be indicated on the inventory.

COST RECOVERY

20. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

DRUG

21. <u>Phenergan with Codeine</u> is the brand name for promethazine with codeine, a Schedule V controlled substance pursuant to Health and Safety Code section 111058(c)(1) and is a dangerous drug pursuant to Business and Professions Code section 4022.

FACTUAL ALLEGATIONS

- 22. From March 26, 2011 through May 26, 2012, Respondent Linda Nguyen was the Pharmacist-in-Charge (PIC) of Respondent Pacific Pharmacy. From July 1, 2012 and at all times thereafter, Respondent Can Vuong was the PIC of Respondent Pacific Pharmacy.
- 23. In 2011, Respondents Pacific Pharmacy and Linda Nguyen co-mingled records and inventories for Schedule III, Schedule IV and Schedule V controlled substances with the records for other dangerous drugs.
- 24. In 2013, Respondents Pacific Pharmacy and Can Vuong did not fully complete a self-assessment detailing Respondent Pacific Pharmacy's compliance with federal and state pharmacy law. Respondent Pacific Pharmacy and Can Vuong also did not have a quality assurance program which documented and assessed medication errors to determine the cause and to make an appropriate response. Respondent Can Vuong admitted to the Board inspector that they had failed to implement this program.

- 25. From 2011 through 2013, Respondents did not provide any information for each prescription dispensed for a Schedule II, Schedule III or Schedule IV controlled substance to the Department of Justice on a weekly basis for inclusion in the Controlled Substance Utilization Review and Evaluation System ("CURES").
- 26. On or about September 19, 2012, Respondents Pacific Pharmacy and Can Vuong did not accurately document their biennial inventory of the pharmacy's controlled substances in that they did not indicate if the inventory was taken at the opening or close of business on the inventory date.
- 27. In 2013, Respondents Pacific Pharmacy and Can Vuong did not provide requested records to the Board on multiple occasions, including patient profiles and physician profiles or only provided incomplete records.
- 28. Respondents carried only the generic promethazine with codeine which tastes better than other brands, and ordered promethazine with codeine from multiple wholesalers. The volume of promethazine with codeine dispensed by Respondents was much higher than the volume dispensed from neighboring pharmacies.
- 29. From March 26, 2011 to June 6, 2011, Respondents Pacific Pharmacy and Linda Nguyen dispensed 308 prescriptions for approximately 308 pints (145,684mls) of promethazine with codeine after the receipt of prescriptions issued by Dr. T.R., who subsequently surrendered his DEA registration on July 8, 2011 for cause.
- 30. Patients with prescriptions ordered by Dr. T.R., paid for the above-referenced prescriptions in cash, without seeking reimbursement from their insurance carriers. Most of the prescriptions had the patient's names handwritten on the back of the prescriptions. The majority of these prescriptions were written for the same large quantity (one pint) with the same directions for use (one teaspoon, four times a day) and the same estimated days' supply (twenty four). The patients' residences were located far away from Dr. T.R.'s office and an average of twenty six miles from Respondent Pacific Pharmacy. Patients received prescriptions from Dr. T.R. for the same duplicative drug therapy, promethazine with codeine, during successive months.

Respondents Pacific Pharmacy and Linda Nguyen did not contact Dr. T.R. or review CURES prior to filling the prescriptions.

- 31. From January 24, 2012 through May 13, 2013, Respondents Pacific Pharmacy and Can Vuong or Linda Nguyen dispensed 299 prescriptions of approximately 141,328mls of promethazine with codeine after the receipt of prescriptions issued by Dr. H.S. or his physician assistant, H.T.M. Some of these patients were former patients of Dr. T.R. Patients received prescriptions for duplicative drug therapies consisting of promethazine with codeine and antibiotics during successive months.
- 32. Patients paid for the above-referenced prescriptions in cash ordered by Dr. H.S. or his physician assistant, H.T.M. without seeking reimbursement from their insurance carriers. The patients' residences were located far away from Dr. H.S. or his physician assistant, H.T.M.'s office and an average of twenty eight miles away from Respondent Pacific Pharmacy. Respondents Pacific Pharmacy and Can Vuong did not contact Dr. H.S. or his physician assistant, H.T.M. or review CURES prior to filling the prescriptions.

FIRST CAUSE FOR DISCIPLINE

(Failure to Report Dispensing of Controlled Prescriptions to CURES against Respondents)

33. Respondents are subject to disciplinary action under Code section 4301(j), for violating Health and Safety Code section 11165(d), in that they failed to provide any information for each prescription dispensed of a Schedule II, Schedule III or Schedule IV controlled substance to the Department of Justice on a weekly basis for inclusion in CURES, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

SECOND CAUSE FOR DISCIPLINE

(Failure to Properly Conduct Biennial Inventory of Controlled Substances against Respondent Pacific Pharmacy and Can Vuong)

34. Respondents Pacific Pharmacy and Can Vuong are subject to disciplinary action under Code section 4301(o), for violating title 21, Code of Federal Regulations, section 1304.11(a), in that they failed to indicate whether the biennial inventory of controlled substances

conducted on September 19, 2012, had been done at either the opening or closing of business, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

THIRD CAUSE FOR DISCIPLINE

(Failure to Complete a Self-Assessment of Compliance with Federal and State Pharmacy Law against Respondents Pacific Pharmacy and Can Vuong)

35. Respondents Pacific Pharmacy and Can Vuong are subject to disciplinary action under Code section 4301(o), for violating title 16, California Code of Regulations, section 1715(a) in that they failed to have or fully complete a self-assessment of Respondent Pacific Pharmacy's compliance with federal and state pharmacy laws, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

FOURTH CAUSE FOR DISCIPLINE

(Failure to Institute a Quality Assurance Program against Respondents Pacific Pharmacy and Can Vuong)

36. Respondents Pacific Pharmacy and Can Vuong are subject to disciplinary action under Code section 4301(o), for violating Business and Professions Code section 4125(a), in that they failed to institute a quality assurance program designed to assess medication errors, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

FIFTH CAUSE FOR DISCIPLINE

(Failure to Maintain Segregated Records of Controlled Substances against Respondents Pacific Pharmacy and Linda Nguyen)

37. Respondents Pacific Pharmacy and Linda Nguyen are subject to disciplinary action under Code section 4301(o), for violating title 21, Code of Federal Regulations, section 1304.4(f), in that they co-mingled the inventories and records for Schedule III, Schedule IV and Schedule V controlled substances with the records for other dangerous drugs, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

SIXTH CAUSE FOR DISCIPLINE

(Subverting Investigation of Board against Respondent Pacific Pharmacy and Can Vuong)

38. Respondents Pacific Pharmacy and Can Vuong are subject to disciplinary action under Code section 4301(q), for unprofessional conduct in that they subverted an investigation of the Board by failing to produce requested records in a timely manner, produced incomplete records or did not produce the requested records at all, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

SEVENTH CAUSE FOR DISCIPLINE

(Failing to Comply with Corresponding Responsibility for Legitimate Controlled Substance Prescriptions against Respondents)

39. Respondents are subject to disciplinary action under Code section 4301(j), for violating Health and Safety Code section 11153(a), in that they failed to comply with their corresponding responsibility to ensure that controlled substances were dispensed for a legitimate medical purpose when Respondents furnished prescriptions for controlled substances even though "red flags" were present, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

EIGHTH CAUSE FOR DISCIPLINE

(Dispensing Controlled Substance Prescriptions with Significant Errors, Omissions, Irregularities, Uncertainties, Ambiguities or Alterations against Respondents)

40. Respondents are subject to disciplinary action under Code section 4301(o), for violating title 16, California Code of Regulations, sections 1761(a) and (b) in that they dispensed prescriptions for a controlled substance, promethazine with codeine, which contained significant errors, omissions, irregularities, uncertainties, ambiguities or alterations, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

NINTH CAUSE FOR DISCIPLINE

(Failure to Review Patients' Medication Record Before Prescription Drugs Delivered against Respondents)

41. Respondents are subject to disciplinary action under Code section 4301(o), for violating title 16, California Code of Regulations, section 1707.3, in that they dispensed prescriptions for drugs, without review of patients' medication records before each prescription drug was delivered. Such a review would have revealed numerous "red flags," as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

TENTH CAUSE FOR DISCIPLINE

(Failure to Exercise or Implement Best Professional Judgment or Corresponding Responsibility when Dispensing Controlled Substances against Respondents Linda Nguyen and Can Vuong)

42. Respondents Linda Nguyen and Can Vuong are subject to disciplinary action under Code section 4301(o), for violating Business and Professions Code section 4306.5(a) and (b), in that they failed to exercise or implement his or her best professional judgment or corresponding responsibility when dispensing controlled substances, as set forth in paragraphs 22 through 32 above, which are incorporated herein by reference.

ELEVENTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct against Respondents Linda Nguyen and Can Vuong)

43. Respondents are subject to disciplinary action under Code section 4301 for unprofessional conduct in that they engaged in the activities described in paragraphs 22 through 32 above, which are incorporated herein by reference.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

Revoking or suspending Pharmacy Permit Number PHY 50504, issued to Thanh Tam Pharmacy, Inc. dba Pacific Pharmacy;