BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 3233

MARK HOWARD BRAUN

3848 Mentone avenue, #407 Culver City, CA 90232

Pharmacist License No. RPH 43806

Respondent.

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on May 11, 2011.

It is so ORDERED on April 11, 2011.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

STANLEY C. WEISSER Board President

1	Kamala D. Harris		
2	Attorney General of California FRANK H. PACOE		
3	Supervising Deputy Attorney General JOSHUA A. ROOM		
4	Deputy Attorney General State Bar No. 214663		
5	455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004		
6	Telephone: (415) 703-1299 Facsimile: (415) 703-5480		
7	Attorneys for Complainant		
8	BEFORE THE BOARD OF PHARMACY		
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
11	In the Matter of the Accusation Against:	Case No. 3233	
12	MARK HOWARD BRAUN 3848 Mentone Avenue, # 407	OAH No. 2010080079	
13	Culver City, California 90232	STIPULATED SETTLEMENT AND	
14	Pharmacist License No. RPH 43806	DISCIPLINARY ORDER	
15	Respondent.		
16			
17	In the interest of a prompt and speedy settlement of this matter, consistent with the public		
18	interest and the responsibility of the Board of Pharmacy of the Department of Consumer Affairs,		
19	the parties hereby agree to the following Stipulated Settlement and Disciplinary Order which will		
20	be submitted to the Board for approval and adoption as the final disposition of the Accusation.		
21		•	
22	PAR	. <u>TIES</u>	
23	1. Virginia Herold (Complainant), Executive Officer of the Board of Pharmacy, brought		
24	this action solely in her official capacity and is represented in this matter by Edmund G. Brown		
25	Jr., Attorney General of the State of California, by Joshua A. Room, Deputy Attorney General.		
26	2. Respondent Mark Howard Braun (Respondent) is represented in this proceeding by		
27	attorney Fredrick M. Ray, whose address is: Fredrick M. Ray, A Professional Corporation, 1100		
28	W. Town & Country Road, Suite 1010, Orange, CA 92868-4651.		
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3. On or about September 7, 1990, the Board of Pharmacy issued Pharmacist License No. RPH 43806 to Respondent. The License was in full force and effect at all times relevant to the charges in Accusation No. 3233 and will expire on September 30, 2012, unless renewed.

JURISDICTION

4. Accusation No. 3233 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on June 22, 2010. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 3233 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 3233. Respondent has also carefully read, fully discussed with counsel, and understands this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent admits that the charges and allegations in Accusation No. 3233, if proven at hearing, constitute cause for discipline against his Pharmacist License.

9. Respondent agrees that his Pharmacist License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

RESERVATION

10. Admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Pharmacy or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that facsimile copies of this stipulation, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 14. In consideration of the foregoing, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

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DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 43806, issued to Mark Howard Braun (Respondent), is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the
 Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws;
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment;
- a conviction of any crime; and/or
- discipline, citation, or other administrative action filed by any state or federal agency
 which involves respondent's pharmacist license or which is related to the practice of
 pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging
 for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

2. Report to the Board

Respondent shall report to the board quarterly, on a schedule as directed by the board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall automatically be extended until such time as the final report is made and accepted by the board.

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3. Interview with the Board

Upon receipt of reasonable prior notice, respondent shall appear in person for interviews with the board or its designee, at such intervals and locations as are determined by the board or its designee. Failure to appear for any scheduled interview without prior notification to board staff, or failure to appear for two (2) or more scheduled interviews with the board or its designee during the period of probation, shall be considered a violation of probation.

4. Cooperate with Board Staff

Respondent shall cooperate with the board's inspection program and with the board's monitoring and investigation of respondent's compliance with the terms and conditions of his probation. Failure to cooperate shall be considered a violation of probation.

5. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the board or its designee.

6. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

If respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the board, nor-serve as a consultant, unless authorized in writing by the board or its designee. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

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8. Suspension Pending Mental Health Status Determination

Respondent shall not engage in and shall be suspended from the practice of pharmacy until notified in writing by the board or its designee that respondent has been deemed psychologically fit to practice pharmacy safely, and the board or its designee has approved said finding. The process for securing this return to pharmacy practice shall be as follows.

Respondent shall undergo, at his own expense, psychiatric evaluation(s) by one or more board-appointed or board-approved licensed mental health practitioner(s). The evaluator(s) shall be provided with a copy of the board's Accusation and decision, as well as a copy of the report of the prior psychological status examination of respondent dated January 29, 2010. Respondent shall cooperate with the evaluator(s) and shall comply with all requirements for the evaluation(s). Respondent shall sign a release authorizing the evaluator(s) to furnish the board or its designee with a written report regarding respondent's ability to function independently as a pharmacist with safety to the public.

That report shall address at least the following questions:

- Whether and to what extent respondent has a mental illness or psychiatric disorder;
- Respondent's mental history, diagnosis, severity of illness, disorder, and symptoms;
- Whether and to what extent respondent's ability to safely practice pharmacy is or has the potential to be impaired by his mental illness or psychiatric disorder;
- Under what conditions, if any, respondent may safely practice pharmacy, including but not limited to suggested requirements for practice supervision, psychotherapy, and prescription monitoring and coordination, beyond those listed in this decision.

If the report of the evaluator(s) concludes that respondent is safe to return to practice, and if that conclusion is accepted by the board or its designee, respondent shall be so notified in writing. Upon receipt of such written notification, respondent may return to practice as a pharmacist under the terms and conditions of this decision and any additional requirements or terms and conditions recommended by the evaluator(s) and approved in writing by the board or its designee. Nothing in the report of the evaluator(s) may reduce the terms and conditions of this decision; it may only augment those terms and conditions with recommendations adopted by the board or its designee.

If the report of the evaluator(s) concludes that respondent is not safe to return to practice, under any circumstances, respondent shall remain suspended. Respondent shall then be able to undergo further evaluation(s) by one or more board-appointed or board-approved licensed mental health practitioner(s), at his own expense, every ninety (90) days. Each such evaluation must be conducted according to the process described above. If any such subsequent evaluation report concludes that respondent is safe to return to practice, the preceding paragraph shall apply.

It shall be considered a violation of probation if respondent: fails to complete an evaluation under this term within one (1) year of the effective date of this decision; is the subject of five (5) or more reports concluding he is not safe to return to practice, under any circumstances; is not deemed safe to return to practice within three (3) years of the effective date of this decision; or does not return to practice within four (4) years of the effective date of this decision.

During any suspension under this term, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

9. Supervised Practice

During the period of probation, respondent shall practice only under the supervision of a licensed pharmacist not on probation with the board. Respondent shall not practice pharmacy and his license shall be automatically suspended until a supervisor is approved by the board or its designee. The supervision shall be, as required by the board or its designee, either:

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Continuous – At least 75% of a work week

Substantial – At least 50% of a work week

Partial – At least 25% of a work week

Daily Review - Supervisor's review of probationer's daily activities within 24 hours

Within thirty (30) days of a supervisor being approved, respondent shall have his approved supervisor submit notification to the board in writing stating that the supervisor has read the decision in case number 3233 and is familiar with the required level of supervision as determined by the board or its designee. Failure to cause the supervisor to submit a timely acknowledgment to the board shall be considered a violation of probation.

If respondent changes employment or supervisor, Respondent shall not practice pharmacy and his license shall be automatically suspended until the board or its designee approves a new supervisor. Within fifteen (15) days after new employment or supervision begins, Respondent shall have his new supervisor submit notification to the board in writing stating the supervisor has read the decision in case number 3233 and is familiar with the required level of supervision as determined by the board or its designee. Failure to cause the new supervisor to submit a timely acknowledgment to the board shall be considered a violation of probation.

During any suspension under this term, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties-of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

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10. Psychotherapy

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, the name and qualifications of a licensed mental health practitioner. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board demonstrating commencement of psychotherapy with the approved practitioner. If respondent ceases treatment with the approved practitioner, respondent shall notify the board immediately and, within thirty (30) days of ceasing treatment, submit the name of a replacement psychotherapist or licensed mental health practitioner to the board for its prior approval. Within thirty (30) days of approval thereof, respondent shall submit documentation to the board showing commencement of psychotherapy with the approved replacement. Failure to comply with any requirement or deadline stated by this paragraph shall be considered a violation of probation.

Upon approval of the initial or subsequent licensed mental health practitioner, respondent shall sign a release authorizing the practitioner to communicate with the board about respondent's treatment(s). Respondent shall undergo and continue treatment with that therapist, at respondent's own expense, until the therapist recommends in writing to the board, and the board or its designee agrees in a written notification to respondent, that no further therapy is necessary. Upon receipt of such recommendation from the treating therapist, and before determining whether to accept or reject said recommendation, the board or its designee may require respondent to undergo, at respondent's own expense, a mental health evaluation by a board-appointed or board-approved psychiatrist or psychologist. If the approved evaluator recommends that respondent continue psychotherapy, the board or its designee may require respondent to continue psychotherapy.

Psychotherapy shall be at least once a week unless otherwise approved by the board or its designee. Respondent shall provide the therapist with a copy of the board's Accusation and decision, and a copy of the report dated January 29, 2010, no later than the first therapy session. A record of this notification must be provided to the board upon request. Respondent shall cause the therapist to submit written quarterly reports to the board-concerning respondent's fitness to practice, progress in treatment, and such other information required by the board or its designee.

Failure to comply with any of the foregoing shall be considered a violation of probation.

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If at any time the treating therapist determines that respondent cannot practice safely or independently, the therapist shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board that practice may be resumed.

During any suspension under this term, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

11. Prescription Coordination and Monitoring of Prescription Use

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board, for its prior approval, the name and qualifications of a single physician, nurse practitioner, physician assistant, or psychiatrist of respondent's choice, who shall be aware of the respondent's history of mental illness and/or treatment, and who will coordinate and monitor any prescriptions for respondent for dangerous drugs, controlled substances, or mood-altering drugs. The approved practitioner shall be provided with a copy of the board's Accusation and decision, and a copy of the report dated January 29, 2010. A record of this notification must be provided to the board upon request. Respondent shall sign a release authorizing the practitioner to communicate with the board about respondent's treatment(s). The coordinating physician, nurse practitioner, physician assistant, or psychiatrist shall report to the board on a quarterly basis for the duration of

probation regarding respondent's compliance with this condition. If any substances considered addictive have been prescribed, the report shall identify a program for the time limited use of any such substances. The board may require that the single coordinating practitioner be a specialist in addictive medicine, or consult a specialist in addictive medicine. Should respondent, for any reason, cease supervision by the approved practitioner, respondent shall notify the board immediately and, within thirty (30) days of ceasing supervision, submit the name of a replacement physician, nurse practitioner, physician assistant, or psychiatrist of respondent's choice to the board or its designee for its prior approval. Failure to timely submit the selected practitioner or replacement practitioner to the board for approval, or to ensure the required reporting thereby on the quarterly reports, shall be considered a violation of probation.

If at any time an approved practitioner determines that respondent is unable to practice safely or independently as a pharmacist, the practitioner shall notify the board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the board or its designee of this determination, respondent shall be automatically suspended and shall not resume practice until notified by the board that practice may be resumed.

During any suspension under this term, respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs licensed by the board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall respondent manage, administer, or be a consultant to any licensee of the board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the board.

Failure to comply with any such suspension shall be considered a violation of probation.

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12. Notice to Employers

During the period of probation, respondent shall notify all present and prospective employers of the decision in case number 3233 and the terms, conditions and restrictions imposed on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment, respondent shall cause his direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's tenure of employment) and owner to report to the board in writing acknowledging that the listed individual(s) has/have read the decision in case number 3233, and terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

If respondent works for or is employed by or through a pharmacy employment service, respondent must notify his direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the board of the terms and conditions of the decision in case number 3233 in advance of the respondent commencing work at each licensed entity. A record of this notification must be provided to the board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of respondent undertaking any new employment by or through a pharmacy employment service, respondent shall cause his direct supervisor with the pharmacy employment service to report to the board in writing acknowledging that he has read the decision in case number 3233 and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure that his employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.

Failure to timely notify employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the respondent is an employee, independent contractor or volunteer.

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13. Notification of Change in Employment, Name, Address(es), or Phone Number(s)

Respondent shall notify the board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

14. Tolling of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, respondent must notify the board in writing within ten (10) days of the cessation of practice, and must further notify the board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

Except during periods of suspension, it is a violation of probation for respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist as defined by Business and Professions Code section

4000 et seq for at least forty (40) hours. "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist as defined by Business and Professions Code section 4000 et seq. for at least forty (40) hours.

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15. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, respondent shall pay to the board its costs of investigation and prosecution in the amount of \$9,000.00. Respondent shall be permitted to pay these costs in a payment plan approved by the board or its designee, so long as payment is completed no later than four (4) years after the effective date of this decision. There shall be no deviation from this schedule absent prior written approval by the board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy by respondent shall not relieve respondent of his responsibility to reimburse the board its costs of investigation and prosecution.

16. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the board each and every year of probation. Such costs shall be payable to the board on a schedule as directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

17. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender his license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license history with the board.

Upon acceptance of the surrender, respondent shall relinquish his pocket and wall license to the board within ten (10) days of notification by the board that surrender is accepted. Respondent may not reapply for any license from the board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date—the application for that license is submitted to the board, including any outstanding costs.

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18. Violation of Probation

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If a respondent has not complied with any term or condition of probation, the board shall have continuing jurisdiction over respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

19. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of probation, respondent's license will be fully restored.

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ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Fredrick M. Ray. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 1-17-2011

MARK HOWARD BRAUN Respondent

усезронден

I have read and fully discussed with Respondent Mark Howard Braun the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED: 1/22/11

FREDRICK M. RAY
Attorney for Respondent

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs.

Dated: 1/24/2011

Respectfully Submitted, KAMALA D. HARRIS Attorney General of California FRANK H. PACOE Supervising Deputy Attorney General

JØSHUA A. ROOM
Deputy Attorney General
Attorneys for Complainant

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STIPULATED SETTLEMENT (Case No. 3233)

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ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Fredrick M. Ray. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 1-17-2011

MARK HOWARD BRAUN

Respondent

I have read and fully discussed with Respondent Mark Howard Braun the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order.

I approve its form and content.

DATED: 1/17/11

FREDRICK M. RAY
Attorney for Respondent

,

ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs.

Dated: 1/24/2011

Respectfully Submitted,

KAMALA D. HARRIS
Attorney General of California
FRANK H. PACCE
Supervising Deputy Attorney Gene

Supervising Deputy Attorney General

OSHUA A. ROOM

Deputy Attorney General Attorneys for Complainant

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STIPULATED SETTLEMENT (Case No. 3233)

Exhibit A

Accusation No. 3233

	·		
1 2	EDMUND G. BROWN JR. Attorney General of California FRANK H. PACOE		
	Supervising Deputy Attorney General		
3	JOSHUA A. ROOM Deputy Attorney General		
4	State Bar No. 214663 455 Golden Gate Avenue, Suite 11000		
. 5	San Francisco, CA 94102-7004 Telephone: (415) 703-1299		
6	Facsimile: (415) 703-5480 Attorneys for Complainant		
7	BEFORE THE		
8	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS		
9	STATE OF CALIFORNIA		
10	In the Matter of the Accusation Against: Case No. 3233		
11	MARK HOWARD BRAUN		
12	3848 Mentone Ave, #407 Culver City, California 90232 A C C U S A T I O N		
13	Pharmacist License No. RPH 43806		
14			
15	Respondent.		
16	Complainant alleges:		
17	<u>PARTIES</u>		
18	1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity		
19	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.		
20	2. On or about September 7, 1990, the Board of Pharmacy issued Pharmacist License		
21	No. RPH 43806 to Mark Howard Braun (Respondent). The License was in full force and effect at		
22	all times relevant to the charges herein and will expire on September 30, 2010, unless renewed.		
23			
24	<u>JURISDICTION</u>		
25	3. This Accusation is brought before the Board of Pharmacy (Board), Department of		
26	Consumer Affairs, under the authority of the following laws. All section references are to the		
27	Business and Professions Code (Code) unless otherwise indicated.		
28	///		

- 4. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].
- 5. Section 4300(a) of the Code provides that every license issued by the Board may be suspended or revoked.
- 6. Section 118(b) of the Code provides, in pertinent part, that the suspension, expiration, surrender, or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated. Section 4402(a) of the Code provides that any pharmacist license that is not renewed within three years following its expiration may not be renewed, restored, or reinstated and shall be canceled by operation of law at the end of the three-year period. Section 4402(e) of the Code provides that any other license issued by the Board may be canceled by the Board if not renewed within 60 days after its expiration, and any license canceled in this fashion may not be reissued but will instead require a new application to seek reissuance.

STATUTORY AND REGULATORY PROVISIONS

7. Section 820 of the Code states:

"Whenever it appears that any person holding a license, certificate or permit under this division or under any initiative act referred to in this division may be unable to practice his or her profession safely because the licentiate's ability to practice is impaired due to mental illness, or physical illness affecting competency, the licensing agency may order the licentiate to be examined by one or more physicians and surgeons or psychologists designated by the agency. The report of the examiners shall be made available to the licentiate and may be received as direct evidence in proceedings conducted pursuant to Section 822."

8. Section 822 of the Code states:

"If a licensing agency determines that its licentiate's ability to practice his or her profession safely is impaired because the licentiate is mentally ill, or physically ill affecting competency, the licensing agency may take action by any one of the following methods:

19.

- "(a) Revoking the licentiate's certificate or license.
- "(b) Suspending the licentiate's right to practice.
- "(c) Placing the licentiate on probation.
- "(d) Taking such other action in relation to the licentiate as the licensing agency in its discretion deems proper.

"The licensing agency shall not reinstate a revoked or suspended certificate or license until it has received competent evidence of the absence or control of the condition which caused its action and until it is satisfied that with due regard for the public health and safety the person's right to practice his or her profession may be safely reinstated."

9. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation of the licensing act to pay a sum not to exceed reasonable costs of investigation and enforcement.

FACTUAL/PROCEDURAL BACKGROUND

- 10. On or about October 14, 2008, based on incidents or events detailed therein between 1996 and 2008 that led to concerns about Respondent's mental state, Complainant filed a Petition for an Order to Compel Psychological Examination (Bus. & Prof. Code, § 820) before the Board of Pharmacy. On or about September 25, 2009, pursuant to the Petition, the Board entered an Order Compelling Psychological Examination, commanding Respondent to undergo an evaluation to determine mental fitness to practice safely within thirty (30) days.
- 11. On or about October 9, October 14, and October 23, 2009, Respondent met with and was evaluated by mental health professionals in the USC Institute of Psychiatry and Law, Keck School of Medicine, University of Southern California. In a report dated January 29, 2010, Philip C. O'Donnell, M.J., Ph.D., Timothy Botello, M.D., M.P.H., and Shoba Sreenivasan, Ph.D., after having evaluated Respondent by way of clinical interview, psychological testing, and review and consideration of other sources including Board investigation reports, reported to the Board on the fitness of Respondent to practice safely as a Pharmacist, and concluded, inter alia:

- a. That Respondent's history and presentation are consistent with a mood disorder and anxiety disorder, as well as an underlying personality disorder; he meets DSM-IV-TR diagnostic criteria for Bipolar I Disorder, Social Phobia, and Personality Disorder Not Otherwise Specified;
- b. That Respondent's mental illnesses impair his ability to safely practice pharmacy in that they contribute to hostile interactions with coworkers and patients, in which he is perceived as unstable, threatening, and potentially dangerous;
- c. That several clinical (e.g., active symptoms of his mental illnesses) and historical (e.g., past episodes of hostile and verbally threatening behavior) factors elevate his risk for danger to himself or others, and during periods of heightened stress his mental functioning is likely to deteriorate, resulting in the expression of anger towards himself and others;
- d. That psychotherapy is an important component of treatment for his mental disorders, that combined with psychotropic medications could improve his ability to practice safely; and
- e. That it may also be appropriate to restrict Respondent's work environment(s) based on potential stressors that could exacerbate his mental illnesses, e.g., the nature and extent of his contact with patients and coworkers, and/or the degree of stress associated with the position.

CAUSE FOR DISCIPLINE

(Mental Impairment)

12. Respondent is subject to disciplinary action and/or to suspension, revocation, or probation of/on his Pharmacist License Number RPH 43806, pursuant to section 822 of the Code, because his ability to practice safely under his Pharmacist License is impaired due to his mental illness or disorder, as detailed in paragraph 11, above.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

1. Revoking or suspending Pharmacist License Number RPH 43806, issued to Mark Howard Braun (Respondent);