# BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 5856

WICKLIFFE PHARMACEUTICALS INC.
JACQUELINE S. BERNARD, PRESIDENT
4340 Georgetown Rd.
Lexington, KY 40511

Non-Resident Pharmacy Permit No. NRP 1145

Non- Resident Pharmacy Sterile Compounding Permit No. NSC 99710

Respondents.

#### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on April 20, 2017.

It is so ORDERED on March 21, 2017.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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Amy Gutierrez, Pharm.D. Board President

	XAVIER BECERRA				
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6	Telephone: (916) 327-7855				
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8	BEFORE THE				
	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS				
9	STATE OF (	CALIFORNIA			
10	In the Matter of the Accusation Against:	Case No. 5856			
11	WICKLIFFE PHARMACEUTICALS INC.				
12	JACQUELINE S. BERNARD, PRESIDENT 4340 Georgetown Rd.	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER			
13	Lexington, KY 40511	DISCIPLINARY ORDER			
14	Non-Resident Pharmacy Permit No. NRP 1145				
15	·				
1.6	Non-Resident Pharmacy Sterile Compounding Permit No. NSC 99710	·			
17	Respondent.				
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19	IT IS HEREBY STIPULATED AND AGI	REED by and between the parties to the above-			
20	entitled proceedings that the following matters are true:				
21	<u>PAR</u>	TIES			
22	1. Virginia Herold ("Complainant") is the Executive Officer of the Board of Pharmacy				
23	("Board"). She brought this action solely in her official capacity and is represented in this matter				
24	by Kathleen A. Kenealy, Acting Attorney General of the State of California, by Malissa N.				
25	Siemantel, Deputy Attorney General.				
26	2. Respondent Wickliffe Pharmaceutica	ds Inc. ("Respondent") is representing itself in			
27	2. Respondent Wickliffe Pharmaceuticals Inc. ("Respondent") is representing itself in this proceeding and has chosen not to exercise its right to be represented by counsel.				
28	///				
-::		STIPULATED SETTLEMENT (5856)			
		(0000)			

- 3. On or about January 27, 2012, the Board of Pharmacy issued Non-Resident Pharmacy Permit Number NRP 1145 to Wickliffe Pharmaceuticals Inc., with Jacqueline S. Bernard ("Bernard") as president ("Respondent"). The pharmacy permit was in full force and effect at all times relevant to the charges brought herein and will expire on January 1, 2018, unless renewed.
- 4. On or about March 27, 2012, the Board of Pharmacy issued Non-Resident Pharmacy Sterile Compounding Permit Number NSC 99710 to Respondent. The sterile compounding license was in full force and effect at all times relevant to the charges brought herein and will expire on January 1, 2018, unless renewed.

#### **JURISDICTION**

- 5. Accusation No. 5856 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 26, 2016. Respondent timely filed its Notice of Defense contesting the Accusation.
- 6. A copy of Accusation No. 5856 is attached as exhibit A and incorporated herein by reference.

#### **ADVISEMENT AND WAIVERS**

- 7. Respondent has carefully read, and understands the charges and allegations in Accusation No. 5856. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 8. Respondent is fully aware of its legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at its own expense; the right to confront and cross-examine the witnesses against it; the right to present evidence and to testify on its own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 9. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

- 10. Respondent admits the truth of each and every charge and allegation in Accusation No. 5856.
- 11. Respondent agrees that its Non-Resident Pharmacy Permit and Non-Resident Pharmacy Sterile Compounding Permit are subject to discipline and Respondent agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

#### CONTINGENCY

- 12. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that it may not withdraw its agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

#### **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Non-Resident Pharmacy Permit Number NRP 1145 and Non-Resident Pharmacy Sterile Compounding Permit Number NSC 99710 issued to Respondent are revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

#### 1. Obey All Laws

Respondent shall obey all state and federal laws and regulations.

Respondent shall report any of the following occurrences to the Board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the

  Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment
- □ a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency which involves Respondent's Non-Resident Pharmacy Permit or Non-Resident Pharmacy Sterile Compounding Permit license or which is related to the practice of pharmacy or the manufacturing, obtaining, handling or distributing, billing, or charging for any drug, device or controlled substance.

Failure to timely report any such occurrence shall be considered a violation of probation.

#### 2. Report to the Board

Respondent shall report to the Board quarterly, on a schedule as directed by the Board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, Respondent shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if

the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the Board.

#### 3. Interview with the Board

Upon receipt of reasonable prior notice, Respondent shall appear in person for interviews with the Board or its designee, at such intervals and locations as are determined by the Board or its designee. Failure to appear for any scheduled interview without prior notification to Board staff, or failure to appear for two (2) or more scheduled interviews with the Board or its designee during the period of probation, shall be considered a violation of probation.

#### 4. Cooperate with Board Staff

Respondent shall cooperate with the Board's inspection program and with the Board's monitoring and investigation of Respondent's compliance with the terms and conditions of its probation. Failure to cooperate shall be considered a violation of probation.

#### 5. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, Respondent shall pay to the Board its costs of investigation and prosecution in the amount of \$5,627.50. Respondent shall be permitted to pay costs in a payment plan approved by the Board or its designee. There shall be no deviation from this schedule absent prior written approval by the Board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy by Respondent shall not relieve Respondent of its responsibility to reimburse the Board its costs of investigation and prosecution.

#### 6. Probation Monitoring and Inspection Fees

Respondent shall pay any costs associated with probation monitoring as determined by the Board each and every year of probation. Respondent shall reimburse the Board for all actual and necessary costs incurred by the Board in conducting an inspection of the non-resident pharmacy. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

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#### 7. Status of License

Respondent shall, at all times while on probation, maintain current licensure with the Board. If Respondent submits an application to the Board, and the application is approved, for a change of location, change of permit or change of ownership, the Board shall retain continuing jurisdiction over the license, and the Respondent shall remain on probation as determined by the Board. Failure to maintain current licensure shall be considered a violation of probation.

If Respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof or otherwise, upon renewal or reapplication Respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

#### 8. License Surrender While on Probation

Following the effective date of this decision, should Respondent discontinue business, Respondent may tender the premises license to the Board for surrender. The Board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent will no longer be subject to the terms and conditions of probation.

Upon acceptance of the surrender, Respondent shall relinquish the premises wall and renewal license to the Board within ten (10) days of notification by the Board that the surrender is accepted. Respondent shall further submit a completed Discontinuance of Business form according to Board guidelines and shall notify the Board of the records inventory transfer.

Respondent shall also, by the effective date of the decision accepting the surrender, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five (5) days of its provision to the pharmacy's ongoing patients, Respondent shall provide a copy of the written notice to the Board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills

 outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.

Respondent may not apply for any new licensure from the Board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board.

Respondent further stipulates that he or she shall reimburse the Board for its costs of investigation and prosecution prior to the acceptance of the surrender.

#### 9. Notice to Employees

Respondent shall, upon or before the effective date of this decision, ensure that all employees involved in permit operations are made aware of all the terms and conditions of probation, either by posting a notice of the terms and conditions, circulating such notice, or both. If the notice required by this provision is posted, it shall be posted in a prominent place and shall remain posted throughout the probation period. Respondent shall ensure that any employees hired or used after the effective date of this decision are made aware of the terms and conditions of probation by posting a notice, circulating a notice, or both. Additionally, Respondent shall submit written notification to the Board, within fifteen (15) days of the effective date of this decision, that this term has been satisfied. Failure to submit such notification to the Board shall be considered a violation of probation.

"Employees" as used in this provision includes all full-time, part-time, volunteer, temporary and relief employees and independent contractors employed or hired at any time during probation.

#### 10. Owners and Officers: Knowledge of the Law

Respondent shall provide, within thirty (30) days after the effective date of this decision, signed and dated statements from its owners, including any owner or holder of ten percent (10%) or more of the interest in Respondent or Respondent's stock, and any officer, stating under penalty of perjury that said individuals have read and are familiar with state and federal laws and regulations governing the practice of pharmacy. The failure to timely provide said statements under penalty of perjury shall be considered a violation of probation.

#### 11. Posted Notice of Probation

Respondent shall prominently post a probation notice provided by the Board in a place conspicuous and readable to the public. The probation notice shall remain posted during the entire period of probation.

Respondent shall not, directly or indirectly, engage in any conduct or make any statement which is intended to mislead or is likely to have the effect of misleading any patient, customer, member of the public, or other person(s) as to the nature of and reason for the probation of the licensed entity.

Failure to post such notice shall be considered a violation of probation.

#### 12. Violation of Probation

If a Respondent has not complied with any term or condition of probation, the Board shall have continuing jurisdiction over Respondent's license, and probation shall be automatically extended until all terms and conditions have been satisfied or the Board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If Respondent violates probation in any respect, the Board, after giving Respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against Respondent during probation, the Board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

#### 13. Completion of Probation

Upon written notice by the Board or its designee indicating successful completion of probation, Respondent's license will be fully restored.

#### 14. Inspection Reports

Within sixty (60) days of the effective date of this Decision, Respondent shall provide to the Board copies of all inspection reports conducted of the pharmacy's premises and any reports

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from a private accrediting agency documenting the pharmacy's operations that were conducted in the prior twelve (12) months of the effective date of this Decision.

During the period of probation, Respondent shall provide to the Board copies of all inspection reports conducted of the pharmacy's premises and any reports from a private accrediting agency documenting the pharmacy's operations within seventy-two (72) hours of Respondent's receipt of the reports. If Respondent has not received a report(s) within seven (7) days after the occurrence of an inspection conducted of the pharmacy's premises and/or a private accrediting agency documenting the pharmacy's operations, Respondent shall immediately notify the Board in writing of the inspection and/or review of the pharmacy's operations and the absence of a report.

Failure to submit any report(s) and/or notification(s) to the Board shall be considered a violation of probation.

#### **ACCEPTANCE**

I, Jacqueline S. Bernard, as president of Wickliffe Pharmaceuticals Inc., have carefully read the Stipulated Settlement and Disciplinary Order. I, on behalf of Wickliffe Pharmaceuticals Inc., understand the stipulation and the effect it will have on the Non-Resident Pharmacy Permit and Non-Resident Pharmacy Sterile Compounding Permit. I am authorized to enter into this Stipulated Settlement and Disciplinary Order on behalf of Wickliffe Pharmaceuticals Inc. Wickliffe Pharmaceuticals Inc. enters into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agrees to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 2/13/17

WICKLIEFF PHARMACEUTICALS INC. JACQUELINE S. BERNARD, PRESIDENT Respondent

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#### **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy. Respectfully submitted, XAVIER BECERRA Attorney General of California JANICE K. LACHMAN Supervising Deputy Attorney General MALISSA N. SIEMANTEL Deputy Attorney General Attorneys for Complainant SA2016102323 32741662.doc

Exhibit A

Accusation No. 5856

1	KAMALA D. FIARRIS Attorney General of California	'		
2	JANICE K. LACHMAN			
3	Supervising Deputy Attorney General MALISSA N. SIBMANTEL			
4	Deputy Attorney General State Bar No. 240157			
5	P.O. Box 944255	1300 I Street, Suite 125 P.O. Box 944255		
6	Sacramento, CA 94244-2550 Telephone: (916) 327-7855			
7	Telephone: (916) 327-7855 Facsimile: (916) 324-5567 Attorneys for Complainant			
8	BEFORE THE			
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
10	STATE OF C	ALIFURNIA		
11	In the Matter of the Accusation Against:	Case No. 5856		
12	WICKLIFFE PHARMACEUTICALS INC.			
13	JACQUELINE S. BERNARD, PRESIDENT 4340 Georgetown Rd.	ACCUSATION		
14	Lexington, KY 40511			
15	Non-Resident Pharmacy Permit No. NRP 1145			
16		• .		
1	Non-Resident Pharmacy Sterile Compounding Permit No. NSC 99710			
17	Respondent.			
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19	,			
20	Complainant alleges:			
21	PAR	TIES		
22	1. Virginia Herold ("Complainant") brings this Accusation solely in her official capacity			
23	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.			
24	2. On or about January 27, 2012, the Board of Pharmacy issued Non-Resident Pharmacy			
25	Permit Number NRP 1145 to Wickliffe Pharmaceuticals Inc., with Jacqueline S. Bernard			
26	("Bernard") as president ("Respondent"). The pharmacy permit was in full force and effect at all			
27	times relevant to the charges brought herein and will expire on January 1, 2017, unless renewed.			
28	<i>III</i>			
		1		
	(	WICKLIFFE PHARMACEUTICALS INC.) ACCUSATION		

- (n) The revocation, suspension, or other discipline by another state of a license to practice pharmacy, operate a pharmacy, or do any other act for which a license is required by this chapter.
- (o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.

#### 8. Section 4307(a) of the Code states:

Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner, member, officer, director, associate, or partner of any partnership, corporation, firm, or association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manager, administrator, owner, member, officer, director, associate, or partner had knowledge of or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee as follows:

- (1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.
- (2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated.
- 9. Code section 4156 states that "[a] pharmacy corporation shall not do, or fail to do, any act where doing or failing to do the act would constitute unprofessional conduct under any statute or regulation. In conduct of its practice, a pharmacy corporation shall observe and be bound by the laws and regulations that apply to a person licensed under this chapter."

#### COST RECOVERY

10. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

#### DRUG CLASSIFICATIONS

11. Pyrimethamine is an anti-infective used for protozoal infections that requires a prescription.

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- 12. Toltrazuril is an anti-infective veterinary drug that requires a prescription.
- 13. The above substances are dangerous drugs pursuant to Code section 4022.

#### KENTUCKY CASE NO. 14-0250A

- 14. On or about March 24, 2014, Respondent dispensed toltrazuril 227mg/pyrimethamine 340mg/ml paste. Two horses in Kentucky died after being administered a paste compounded by Respondent. The Food and Drug Administration ("FDA") determined that the dose of paste administered to the horses resulted in an overdose of pyrimethamine. Samples of the active pharmaceutical ingredients ("APIs") were tested for potency as well as two tubes of the paste, with the results being for Tube 1 was pyrimethamine was 82% of declared potency and toltrazuril was 83% of declared potency, and Tube 2 was pyrimethamine was 80% of declared potency and toltrazuril was 82% of declared potency. The potency testing indicated that the compounded preparation was adulterated, in violation of Kentucky Revised Statutes ("KRS") 217.055 and KRS 315.121(1)(h).
- 15. On or about May 8, 2014, Bernard advised the FDA and an inspector for the Kentucky Board of Pharmacy that a horse in Florida died after being administered toltrazuril 416mg/pyrimethamine 17 mg/ml suspension compounded by Respondent. The suspension was compounded by Respondent on or about March 28, 2014. A total of two horses died and six additional horses were hospitalized after they received this compounded suspension. The FDA lab testing indicated the pyrimethamine was 2380% of the declared potency and the toltrazuril was 3.74% of the declared potency. The potency testing indicated that the compounded preparation was adulterated, in violation of KRS 217.055 and KRS 315.121(1)(h).
- 16. On or about November 5, 2014, an inspection by the Kentucky Board of Pharmacy discovered the following:
- a. Technicians performed functions without the immediate supervision of a pharmacist, in violation of 201 Kentucky Administrative Regulations ("KAR") 2:045, Section 3 and KRS 315.020(1).

( WICKLIFFE PHARMACEUTICALS INC.) ACCUSATION

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17. On our about and between March 31, 2103, and May 27, 2015, Respondent employed a person not appropriately registered with the Kentucky Board of Pharmacy, in violation of KRS 315.121(1)(g).

#### FIRST CAUSE FOR DISCIPLINE

#### (Discipline By the Board of Another State)

- 18. Respondent's pharmacy permit is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (n), for revocation, suspension, or other discipline by another state of a license to practice pharmacy, operate a pharmacy, or do any other act for which a license is required by Code sections 4000, et seq., as follows:
- a. On or about February 13, 2013, the Michigan Board of Pharmacy, in Case Number 53-12-123396, imposed discipline on Respondent's Michigan pharmacy license when it ordered Respondent, doing business as Wickliffe Veterinary Pharmacy, fined in the amount of \$250. The circumstances are that Respondent violated section 17768(2)(d) of the Michigan Public Health Code based upon Respondent's discipline by the Kentucky Board of Pharmacy in Kentucky Board of Pharmacy Case Number 11-0196A, as set forth below in paragraph 21, subparagraph b.
- b. On or about October 23, 2015, the Kentucky Board of Pharmacy, in an order for Case Number 14-0250A and 15-0279A, imposed discipline on Respondent's Kentucky pharmacy license when it ordered Respondent fined in the amount of \$100,000 and placed on probation for a minimum of three years or until the last installment payment of the fine is made should Respondent choose to make the fourth and final installment payment as late as December 1, 2018. The circumstances are as set forth in paragraphs 14 through 17, above.
- c. On or about February 10, 2016, the Oregon Board of Pharmacy, in Case Number 2015-0594, imposed discipline on Respondent's Oregon pharmacy license when it ordered Respondent placed on probation for the duration of their probation with the Kentucky Board of Pharmacy. The circumstances are that Respondent committed unprofessional conduct as defined by Oregon Administrative Rules ("OAR") and Oregon Revised Statutes ("ORS") based upon the Kentucky Board of Pharmacy Agreed Order Case No. 14-0250A and 15-0279A.

- d. On or about March 8, 2016, the Iowa Board of Pharmacy, in Case Number 2014-181, imposed discipline on Respondent's Iowa pharmacy license when it ordered Respondent, doing business as Wickliffe Veterinary Pharmacy, placed on probation for the entirety of Respondent's probationary period in Kentucky and to pay a civil penalty in the amount of \$1,000. The circumstances are that Respondent violated Iowa Code section 155A.13A(3), 657 Iowa Administrative Code ("IAC") 19.10 and 657 IAC 36.1(4)"ad" by violating the pharmacy or drug laws or rules of another state while under the jurisdiction of that state based upon the Kentucky Board of Pharmacy Agreed Order Case No. 14-0250A and 15-0279A.
- e. On or about May 3, 2016, the Texas Board of Pharmacy, in Case Number L-14-055, imposed discipline on Respondent's Texas pharmacy license when it ordered Respondent placed on probation for a period of three years and to pay a probation fee of \$1,200 based upon the Kentucky Board of Pharmacy Agreed Order Case No. 14-0250A and 15-0279A.
- f. On or about July 1 2016, the Florida Board of Pharmacy, in Case Number 2014-07670, imposed discipline on Respondent's Florida pharmacy license when it ordered Respondent placed on probation for the entirety of Respondent's probationary period in Kentucky and to pay a administrative fine in the amount of \$10,000 based upon the Kentucky Board of Pharmacy Agreed Order Case No. 14-0250A and 15-0279A.

#### SECOND CAUSE FOR DISCIPLINE

#### (Violation of Statutes Regulating Controlled Substances and Dangerous Drugs)

- 19. Respondent is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (j), for violating statutes regulating controlled substances and dangerous drugs, as follows:
- a. On or about February 10, 2016, Respondent was found in violation of Oregon Revised Statutes and rules adopted pursuant to Oregon Revised Statutes when Respondent improperly stored and handled hazardous drugs, did not perform drug utilization review by a pharmacist on all prescriptions and dispensed CSPs (Controlled Substance Prescriptions) utilizing a prescriber whose license and/or DEA number was/were not current in violation of ORS 689.405(e)(B), (i) and OAR 855-041-1105(1) and 855-045-0260(5).

#### THIRD CAUSE FOR DISCIPLINE

(Violation of Laws and Regulations Governing Pharmacy)

- 20. Respondent is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (o), for violating or attempting to violate, directly or indirectly, assisted in or abetted the violation of, or conspired to violate provisions or terms of the Pharmacy Law (Code sections 4000, et seq.), and federal and state laws and regulations governing pharmacy, as follows:
- a. On or about February 13, 2013, Respondent violated the laws and regulations governing pharmacy of the State of Michigan, as set forth above in paragraph 18, subparagraph a.
- b. On our about October 23, 2014, violated the laws and regulations governing pharmacy of the State of Kentucky, as set forth in paragraphs 14 through 17, above.
- c. On or about February 10, 2016, Respondent violated the laws and regulations governing pharmacy of the State of Oregon, as set forth above in paragraph 18, subparagraph c, and paragraph 19, subparagraph a.
- d. On or about March 8, 2016, Respondent violated the laws and regulations governing pharmacy of the State of Iowa, as set forth above in paragraph 18, subparagraph d.
- e. On or about July 1, 2016, Respondent violated the laws and regulations governing pharmacy of the State of Florida, as set forth above in paragraph 18, subparagraph f.

#### **MATTERS IN AGGRAVATION**

- 21. To determine the degree of discipline to be assessed against Respondent, if any, Complainant alleges as follows:
- a. On or about July 9, 2003, the Kentucky Board of Pharmacy issued an Agreed order in Case No. 03-0036A against Respondent for violating section KRS 315.035. On or about and between March 1, 2003, and March 12, 2003, Respondent's pharmacist-in-charge practiced the profession of pharmacy in Kentucky without a current active license, and Respondent operated a pharmacy without a licensed pharmacist-in-charge of the operation of the pharmacy. The Kentucky Board of Pharmacy ordered Respondent to pay a fine of \$500 before the date the Agreed Order was entered by the Kentucky Board of Pharmacy.

b. On or about November 5, 2011, the Kentucky Board of Pharmacy issued an Agreed order in Case No. 11-0196A against Respondent for violating sections 201 KAR 2:045, Section 2 and KRS 217.065(a). On or about August 23, 2011, a pharmacy technician at Respondent's pharmacy initiated or received a telephonic communication regarding a new prescription that violated the requirements of 201 KAR 2:045, Section 2. On or about August 23, 2011, Respondent's pharmacy had a prescription incorrectly labeled in violation of KRS 217.065(1). The Kentucky Board of Pharmacy ordered Respondent to pay a fine of \$1,000 (\$500 fine for technician call violation and \$500 fine for misbranded prescription) by October 30, 2011.

#### OTHER MATTERS

- 22. Pursuant to Code section 4307, if discipline is imposed on Non-Resident Pharmacy
  Permit Number NRP 1145 issued to Wickliffe Pharmaceuticals Inc., Wickliffe Pharmaceuticals Inc.
  shall be prohibited from serving as a manager, administrator, owner, member, officer, director,
  associate, or partner of a licensee for five years if Non-Resident Pharmacy Permit Number NRP
  1145 is placed on probation or until Non-Resident Pharmacy Permit Number NRP 1145 is
  reinstated if it is revoked.
- 23. Pursuant to Code section 4307, if discipline is imposed on Non-Resident Pharmacy
  Permit Number NRP 1145 issued to Wickliffe Pharmaceuticals Inc., while Jacqueline S. Bernard
  has been an officer and had knowledge of or knowingly participated in any conduct for which the
  licensee was disciplined, Jacqueline S. Bernard shall be prohibited from serving as a manager,
  administrator, owner, member, officer, director, associate, or partner of a licensee for five years if
  Non-Resident Pharmacy Permit Number NRP 1145 is placed on probation or until Non-Resident
  Pharmacy Permit Number NRP 1145 is reinstated if it is revoked.

#### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

1. Revoking or suspending Non-Resident Pharmacy Permit Number NRP 1145, issued to Wickliffe Pharmaceuticals Inc.;

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## BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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Case No. 5856

WICKLIFFE PHARMACEUTICALS INC. JACQUELINE S. BERNARD, PRESIDENT 4340 Georgetown Rd. Lexington, KY 40511

Non-Resident Pharmacy Permit No. NRP 1145

Non-Resident Pharmacy Sterile Compounding Permit No. NSC 99710

Respondent,

#### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on	
It is so ORDERED	
FOR '	THE BOARD OF PHARMACY

DEPARTMENT OF CONSUMER AFFAIRS