BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

OAKDALE PHARMACY; CHRISTEN YUNAH KIM, OWNER/PHARMACIST-IN-CHARGE 1390 West H Street, Suite F Oakdale, CA 95361

Pharmacy Permit No. PHY 50734

and

CHRISTEN YUNAH KIM 1390 West H Street, Suite F Oakdale, CA 95361

Pharmacist License No. RPH 62576

Respondents.

Case No. 5666

OAH No. 2017021145

STIPULATED SETTLEMENT OF LICENSE AND ORDER AS TO OAKDALE PHARMACY ONLY

DECISION AND ORDER

The attached Stipulated Settlement of License and Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on September 7, 2017.

It is so ORDERED on August 8, 2017.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

Amy Gutierrez, Pharm.D. Board President

1	XAVIER BECERRA				
	Attorney General of California				
2	JANICE K. LACHMAN				
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3					
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'	Attorneys for Complainant				
8	California State Board of Pharmacy				
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		PHARMACY			
10		CONSUMER AFFAIRS			
	STATE OF (CALIFORNIA			
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13	OAKDALE PHARMACY; CHRISTEN	OAH No. 2017021145			
14	YUNAH KIM, OWNER/PHARMACIST-				
14	IN-CHARGE	STIPULATED SETTLEMENT AND			
15	1390 West H Street, Suite F	DISCIPLINARY ORDER AS TO			
1.7	Oakdale, CA 95361	OAKDALE PHARMACY ONLY ¹			
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00	entitled proceedings that the following matters a	ra tura.			
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24	PAR	TIES			
24	1734				
25	1. Virginia Herold (Complainant) is	the Executive Officer of the Board of Pharmacy			
2.5		and Emboured Officer of the Board of I halfingey			
26					
	1 This Stimulated Sattlement and Disco	inlineary Orden applicate D			
27	¹ This Stipulated Settlement and Disciplinary Order applies to Respondent Oakdale Pharmacy, Pharmacy Permit No. PHY 50734 only. A separate Stipulated Settlement and				
	Disciplinary Order is being entered into with Respondent Christen Yunah Kim.				
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	STIPULATED SETTLEMENT AS TO OAKDALE PHARMACY (Case No. 5666)				
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the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondent Oakdale Pharmacy voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent Oakdale Pharmacy agrees that the charges and allegations in Accusation No. 5666, if proven at a hearing, constitute cause for imposing discipline upon Pharmacy Permit No. PHY 50734. Respondent Oakdale Pharmacy hereby gives up its right to contest those charges.
- 10. Respondent Oakdale Pharmacy agrees that its Pharmacy Permit is subject to discipline and agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- Respondent Oakdale Pharmacy understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent Oakdale Pharmacy or its counsel. By signing the stipulation, Respondent Oakdale Pharmacy understands and agrees that it may not withdraw its agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.

13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacy Permit No. PHY 50734 issued to Respondent Oakdale Pharmacy; Christen Yunah Kim, owner/pharmacist-in-charge is revoked. However, the revocation is stayed and Respondent Oakdale Pharmacy is placed on probation for five (5) years on the following terms and conditions.

1. Obey All Laws

Respondent Oakdale Pharmacy shall obey all state and federal laws and regulations.

Respondent Oakdale Pharmacy shall report any of the following occurrences to the Board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the
 Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances
 laws
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment
 - a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency which involves Respondent's pharmacy permit or which is related to the practice of pharmacy or the manufacturing, obtaining, handling or distributing, billing, or charging for any drug, device or controlled substance.

Failure to timely report any such occurrence shall be considered a violation of probation.

2. Report to the Board

Respondent Oakdale Pharmacy shall report to the Board quarterly, on a schedule as directed by the Board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, Respondent Oakdale Pharmacy shall state in each report under penalty of perjury whether there has been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the Board.

3. Interview with the Board

Upon receipt of reasonable prior notice, Respondent Oakdale Pharmacy shall appear in person through its owner for interviews with the Board or its designee, at such intervals and locations as are determined by the Board or its designee. Failure to appear for any scheduled interview without prior notification to Board staff, or failure to appear for two (2) or more scheduled interviews with the Board or its designee during the period of probation, shall be considered a violation of probation.

4. Cooperate with Board Staff

Respondent Oakdale Pharmacy shall cooperate with the Board's inspection program and with the Board's monitoring and investigation of Respondent Oakdale Pharmacy's compliance with the terms and conditions of its probation. Failure to cooperate shall be considered a violation of probation.

5. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, Respondent Oakdale Pharmacy, jointly and severally with Christen Yunah Kim, shall pay to the Board its costs of investigation and prosecution in the amount of \$17,500.00.

Respondent Oakdale Pharmacy shall be permitted to pay these costs in a payment plan

approved by the Board or its designee. There shall be no deviation from this schedule absent prior written approval by the Board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy by Respondent Oakdale Pharmacy or its owner shall not relieve Respondent Oakdale Pharmacy of its responsibility to reimburse the Board its costs of investigation and prosecution.

6. Probation Monitoring Costs

Respondent Oakdale Pharmacy shall pay any costs associated with probation monitoring as determined by the Board each and every year of probation. Such costs shall be payable to the Board on a schedule as directed by the Board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

7. Status of License

Respondent Oakdale Pharmacy shall, at all times while on probation, maintain current licensure with the Board. If Respondent Oakdale Pharmacy submits an application to the Board, and the application is approved, for a change of location, change of permit or change of ownership, the Board shall retain continuing jurisdiction over the license, and Respondent Oakdale Pharmacy shall remain on probation as determined by the Board. Failure to maintain current licensure shall be considered a violation of probation.

If Respondent Oakdale Pharmacy's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof or otherwise, upon renewal or reapplication Respondent Oakdale Pharmacy's license shall be subject to all terms and conditions of this probation not previously satisfied.

8. License Surrender While on Probation/Suspension

Following the effective date of this decision, should Respondent Oakdale Pharmacy discontinue business, Respondent Oakdale Pharmacy may tender the premises license to the Board for surrender. The Board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent Oakdale Pharmacy will no longer be

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subject to the terms and conditions of probation.

Upon acceptance of the surrender, Respondent Oakdale Pharmacy shall relinquish the premises wall and renewal license to the Board within ten (10) days of notification by the Board that the surrender is accepted. Respondent Oakdale Pharmacy shall further submit a completed Discontinuance of Business form according to Board guidelines and shall notify the Board of the records inventory transfer. Respondent Oakdale Pharmacy shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five days of its provision to the pharmacy's ongoing patients, Respondent Oakdale Pharmacy shall provide a copy of the written notice to the Board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.

Respondent Oakdale Pharmacy may not apply for any new licensure from the Board for three (3) years from the effective date of the surrender. Respondent Oakdale Pharmacy shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board.

Respondent Oakdale Pharmacy further stipulates that he or she shall reimburse the Board for its costs of investigation and prosecution prior to the acceptance of the surrender.

9. Notice to Employees

Respondent Oakdale Pharmacy shall, upon or before the effective date of this decision, ensure that all employees involved in permit operations are made aware of all the terms and conditions of probation, either by posting a notice of the terms and conditions, circulating such notice, or both. If the notice required by this provision is posted, it shall be posted in a prominent place and shall remain posted throughout the probation period. Respondent Oakdale Pharmacy shall ensure that any employees hired or used after the effective date of this decision are made

 aware of the terms and conditions of probation by posting a notice, circulating a notice, or both. Additionally, Respondent Oakdale Pharmacy shall submit written notification to the Board, within fifteen (15) days of the effective date of this decision, that this term has been satisfied. Failure to submit such notification to the Board shall be considered a violation of probation.

"Employees" as used in this provision includes all full-time, part-time, volunteer, temporary and relief employees and independent contractors employed or hired at any time during probation.

10. Owners and Officers: Knowledge of the Law

Respondent Oakdale Pharmacy shall provide, within thirty (30) days after the effective date of this decision, signed and dated statements from its owners, including any owner or holder of ten percent (10%) or more of the interest in Respondent Oakdale Pharmacy or Respondent Oakdale Pharmacy's stock, and any officer, stating under penalty of perjury that said individuals have read and are familiar with state and federal laws and regulations governing the practice of pharmacy. The failure to timely provide said statements under penalty of perjury shall be considered a violation of probation.

11. Posted Notice of Probation

Respondent Oakdale Pharmacy shall prominently post a probation notice provided by the Board in a place conspicuous and readable to the public. The probation notice shall remain posted during the entire period of probation.

Respondent Oakdale Pharmacy shall not, directly or indirectly, engage in any conduct or make any statement which is intended to mislead or is likely to have the effect of misleading any patient, customer, member of the public, or other person(s) as to the nature of and reason for the probation of the licensed entity.

Failure to post such notice shall be considered a violation of probation.

12. Violation of Probation

If a Respondent Oakdale Pharmacy has not complied with any term or condition of probation, the Board shall have continuing jurisdiction over Respondent Oakdale Pharmacy's license, and probation shall be automatically extended until all terms and conditions have been

 satisfied or the Board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If Respondent Oakdale Pharmacy violates probation in any respect, the Board, after giving Respondent Oakdale Pharmacy notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against Respondent Oakdale Pharmacy during probation, the Board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided, and the charges and allegations in Accusation No. 5666 shall be deemed true and correct.

13. Completion of Probation

Upon written notice by the Board or its designee indicating successful completion of probation, Respondent Oakdale Pharmacy's license will be fully restored.

14. Employment of New Pharmacist-In-Charge

During the period of probation, Respondent Oakdale Pharmacy may not employ Christen Yunah Kim, Pharmacist License No. RPH 62576, as a pharmacist-in-charge. Instead, Respondent Oakdale Pharmacy owner shall at all times during probation employ a different pharmacist-in-charge. This pharmacist-in-charge shall be a pharmacist licensed by and in good standing with the Board. Respondent owner's failure in this regard shall be considered a violation of probation.

15. Consultant Pharmacist Review of Pharmacy Operations

During the period of probation, Respondent Oakdale Pharmacy shall retain an independent consultant at its own expense who shall be responsible for reviewing pharmacy operations on a monthly basis for compliance by Respondent Oakdale Pharmacy with state and federal laws and regulations governing the practice of pharmacy. The consultant shall be a pharmacist licensed by and not on probation with the Board and whose name shall be submitted to the board or its designee, for prior approval, within thirty (30) days of the effective date of this decision. During the period of probation, the Board or its designee retains the discretion to

reduce the frequency of the pharmacist consultant's review of Respondent Oakdale Pharmacy's operations. Failure to timely retain, seek approval of, or ensure timely reporting by the consultant shall be considered a violation of probation.

16. Remedial Education

Within thirty (30) days of the effective date of this Decision, Respondent Oakdale Pharmacy shall submit to the Board or its designee, for prior approval, an appropriate program of remedial education related to corresponding responsibility, to be completed by all of the Respondent Oakdale Pharmacy's pharmacist staff. The program of remedial education shall consist of at least six (6) hours, which shall be completed within six (6) months of the effective date of this Decision, and which shall be completed at Respondent Oakdale Pharmacy's own expense. All remedial education shall be in addition to, and shall not be credited toward, continuing education (CE) courses used for license renewal purposes.

Failure to timely submit or complete the approved remedial education shall be considered a violation of probation. The period of probation will be automatically extended until such remedial education is successfully completed and written proof, in a form acceptable to the Board, is provided to the Board of its designee.

Following the completion of the course, the Board or its designee may require Respondent Oakdale Pharmacy's pharmacist staff, at Respondent Oakdale Pharmacy's own expense, to take an approved examination to test Respondent Oakdale Pharmacy's pharmacist staff's knowledge of the course. If Respondent Oakdale Pharmacy's pharmacist staff do not achieve a passing score on the examination, this failure shall be considered a violation of probation. Any such examination failure shall require Respondent Oakdale Pharmacy's pharmacist staff to take another course approved by the Board in the same subject area.

Respondent Oakdale Pharmacy will be permitted to commence this remedial education before the effective date of the Decision.

17. Community Services Program

Within sixty (60) days of the effective date of this Decision, Respondent Oakdale Pharmacy shall submit to the Board or its designee, for prior approval, a community service program in which Oakdale Pharmacy shall provide, over the five-year course of its probation, free health-care related goods and/or services to a community or chartable facility or agency equivalent to \$50,000. Said goods and/or services must directly relate to the causes for discipline in Accusation No. 5666 and, in particular, to the issue of prescription drug abuse.

Within thirty (30) days of Board approval thereof, Respondent Oakdale Pharmacy shall submit documentation to the Board demonstrating commencement of the community service program. Respondent Oakdale Pharmacy shall report on progress with the community service program in the quarterly reports.

Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

18. Separate File of Records

Respondent Oakdale Pharmacy shall maintain and make available for inspection a separate file of all records pertaining to the acquisition or disposition of all controlled substances. Failure to maintain such file or make it available for inspection shall be considered a violation of probation.

ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Jonathan Turner. I understand the stipulation and the effect it will have on my Pharmacy Permit. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED:

ACY: CHRISTEN YUNAH KIM. NER/PHARMACIST-IN-CHARGE

Respondent

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1	I have read and fully discussed with Respondent Oakdale Pharmacy; Christen Yunah Kin			
2	owner/pharmacist-in-charge the terms and conditions and other matters contained in the above			
3	Stipulated Settlement and Disciplinary Order. I approve its form and content.			
4				
5	DATED: 7-14-17 6./			
б	IONATHAN TURNER Attorney for Respondent			
7				
8	ENDORSEMENT			
9	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully			
10	submitted for consideration by the Board of Pharmacy.			
11	Dated: 7/14/2017 Respectfully submitted,			
12	XAVIER BECERRA			
13	Attorney General of California JANICE K. LACHMAN			
14	Supervising Deputy Attorney General			
15 16	DANIEL D. MCGEE Deputy Attorney General			
17	Attorneys for Complainant			
18	SA2015105797/Stipulation.rtf			
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Exhibit A

Accusation No. 5666

1 BEFORE THE 2 **BOARD OF PHARMACY** DEPARTMENT OF CONSUMER AFFAIRS 3 STATE OF CALIFORNIA 4 In the Matter of the Accusation Against: Case No. 5666 5 OAKDALE PHARMACY; CHRISTEN OAH No. 2017021145 6 YUNAH KIM, OWNER/PHARMACIST-IN-CHARGE 7 1390 West H Street, Suite F Oakdale, CA 95361 8 Pharmacy Permit No. PHY 50734 9 and 10 CHRISTEN YUNAH KIM 11 1390 West H Street, Suite F Oakdale, CA 95361 12 Pharmacist License No. RPH 62576 13 Respondents, 14 15 16 DECISION AND ORDER 17 The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board 18 of Pharmacy, Department of Consumer Affairs, as its Decision in this matter as to Respondent 19 Oakdale Pharmacy, Christen Yunah Kim, Owner, Pharmacy Permit PHY 50734 20 21 This Decision shall become effective on ____ 22 It is so ORDERED ______. 23 FOR THE BOARD OF PHARMACY 24 DEPARTMENT OF CONSUMER AFFAIRS 25 26 27 28

Exhibit A

Accusation No. 5666

. 1	KAMALA D. HARRIS Attorney General of California KENT D. HARRIS					
	Supervising Deputy Attorney General					
, (Deputy Attorney General					
4	1300 I Street, Suite 125					
5	P.O. Box 944255 Sacramento, CA 94244-2550					
6	Telephone: (916) 327-6819 Facsimile: (916) 327-8643					
7.	Attorneys for Complainant	·				
. 8	BEFORE THE BOARD OF PHARMACY					
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA					
10		1				
. 11	In the Matter of the Accusation Against:	Case No. 5666				
12	OAKDALE PHARMACY CHRISTEN YUNAH KIM,	·				
13	OWNER/PHARMACIST-IN-CHARGE 1390 West H Street, Suite F	ACCUSATION				
14	Oakdale, CA 95361					
15	Pharmacy Permit No. PHY 50734					
16	and					
17	CHRISTEN YUNAH KIM 1390 West H Street, Suite F					
18	Oakdale, CA 95361					
19	Pharmacist License No. RPH 62576	,				
20	Respondents.					
21		J				
22	Complainant alleges:					
23 24 25	PARTIES					
24	1. Virginia Herold ("Complainant") brings this Accusation solely in her official capacit					
	as the Executive Officer of the Board of Pharmacy ("Board"), Department of Consumer Affairs.					
26	2. On or about October 4, 2011, the Board issued Pharmacy Permit Number PHY 50734					
27	to Christen Yunah Kim ("Respondent"), owner and pharmacist-in-charge of Oakdale Pharmacy.					
28	<i>\\\\</i>					
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The pharmacy permit was in full force and effect at all times relevant to the charges brought herein and will expire on October 1, 2016, unless renewed.

3. On or about July 27, 2009, the Board issued Pharmacist License Number RPH 62576 to Respondent. The pharmacist license was in full force and effect at all times relevant to the charges brought herein and will expire on June 30, 2017, unless renewed.

JURISDICTION/STATUTORY AND REGULATORY PROVISIONS

- 4. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code ("Code") unless otherwise indicated.
 - 5. Code section 4300 states, in pertinent part:
 - (a) Every license issued may be suspended or revoked.
 - (b) The board shall discipline the holder of any license issued by the board, whose default has been entered or whose case has been heard by the board and found guilty, by any of the following methods:
 - (1) Suspending judgment.
 - (2) Placing him or her upon probation.
 - (3) Suspending his or her right to practice for a period not exceeding one year.
 - (4) Revoking his or her license.
 - (5) Taking any other action in relation to disciplining him or her as the board in its discretion may deem proper . . .
 - 6. Code section 4300.1 states:

The expiration, cancellation, forfeiture, or suspension of a board-issued license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

7. Code section 4301 states, in pertinent part:

The board shall take action against any holder of a license who is guilty of unprofessional conduct . . . Unprofessional conduct shall include, but is not limited to, any of the following:

1	(d) The clearly excessive furnishing of controlled substances in violation of subdivision (a) of Section 11153 of the Health and Safety Code.		
2	•		
3	(j) The violation of any of the statutes of this state, or any other state, or of the United States regulating controlled substances and dangerous drugs.		
5	.,,,		
6	(o) Violating or attempting to violate, directly or indirectly, or assisting or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency		
9	8. Code section 4306.5 states, in pertinent part:		
10	Unprofessional conduct for a pharmacist may include any of the following:		
11 12 13	(a) Acts or omissions that involve, in whole or in part, the inappropriate exercise of his or her education, training, or experience as a pharmacist, whether or not the act or omission arises in the course of the practice of pharmacy or the ownership, management, administration, or operation of a pharmacy or other entity licensed by the board.		
14 15	(b) Acts or omissions that involve, in whole or in part, the failure to exercise or implement his or her best professional judgment or corresponding responsibility with regard to the dispensing or furnishing of controlled substances, dangerous drugs, or dangerous devices, or with regard to the provision of services.		
17			
18 19	9. Code section 4307, subdivision (a) states:		
20 21 22 23 24 25 26	Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner, member, officer, director, associate, or partner of any partnership, corporation, firm, or association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manager, administrator, owner, member, officer, director, associate, or partner had knowledge of or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a		
25	licensee as follows:		
26 27	(1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.		
27 28	(2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated.		
	3		
	(OAKDALE PHARMACY) ACCUSA		

- 10. Code section 4113, subdivision (e), states that "[t]he pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy."
 - 11. Health and Safety Code section 11153, subdivision (a), states:

A prescription for a controlled substance shall only be issued for a legitimate medical purpose by an individual practitioner acting in the usual course of his or her professional practice. The responsibility for the proper prescribing and dispensing of controlled substances is upon the prescribing practitioner, but a corresponding responsibility rests with the pharmacist who fills the prescription. Except as authorized by this division, the following are not legal prescriptions: (1) an order purporting to be a prescription which is issued not in the usual course of professional treatment or in legitimate and authorized research; or (2) an order for an addict or habitual user of controlled substances, which is issued not in the course of professional treatment or as part of an authorized narcotic treatment program, for the purpose of providing the user with controlled substances, sufficient to keep him or her comfortable by maintaining customary use.

- 12. Health and Safety Code section 11162.1 states, in pertinent part:
- (a) The prescription forms for controlled substances shall be printed with the following features:
- (1) A latent, repetitive "void" pattern shall be printed across the entire front of the prescription blank; if a prescription is scanned or photocopied, the word "void" shall appear in a pattern across the entire front of the prescription.
- (2) A watermark shall be printed on the backside of the prescription blank; the watermark shall consist of the words "California Security Prescription."
 - (4) A feature printed in thermochromic ink.
- (8) Prescription blanks shall contain a statement printed on the bottom of the prescription blank that the "Prescription is void if the number of drugs prescribed is not noted."
- (13) An identifying number assigned to the approved security printer by the Department of Justice.
- (14)(A) A check box by the name of each prescriber when a prescription form lists multiple prescribers,
 - (b) Each batch of controlled substance prescription forms shall have the

lot number printed on the form and each form within that batch shall be numbered

Health and Safety Code section 11164 states, in pertinent part:

Except as provided in Section 11167, no person shall prescribe a controlled substance, nor shall any person fill, compound, or dispense a prescription for a controlled substance, unless it complies with the requirements of this section.

- (a) Each prescription for a controlled substance classified in Schedule II, III, IV, or V, except as authorized by subdivision (b), shall be made on a controlled
- Title 21, Code of Federal Regulations ("CFR"), section 1301.75, subdivision (b), states that "[c]ontrolled substances listed in Schedules II, III, IV, and V shall be stored in a securely locked, substantially constructed cabinet. However, pharmacies and institutional practitioners may disperse such substances throughout the stock of noncontrolled substances in
- Title 16, California Code of Regulations ("CCR"), section 1711 states, in pertinent
 - (a) Each pharmacy shall establish or participate in an established quality assurance program which documents and assesses medication errors to determine cause and an appropriate response as part of a mission to improve the quality of
 - (c)(1) Each quality assurance program shall be managed in accordance with written policies and procedures maintained in the pharmacy in an immediately
 - (e) The primary purpose of the quality assurance review shall be to advance error prevention by analyzing, individually and collectively, investigative and other pertinent data collected in response to a medication error to assess the cause and any contributing factors such as system or process failures. A record of the quality assurance review shall be immediately retrievable in the pharmacy . . .
 - (f) The record of the quality assurance review, as provided in subdivision (e) shall be immediately retrievable in the pharmacy for at least one year from the
 - (d) Each pharmacist while on duty shall be responsible for the security of

the prescription department, including provisions for effective control against theft or diversion of dangerous drugs and devices, and records for such drugs and devices . . . 2 CCR section 1761 states: 3 (a) No pharmacist shall compound or dispense any prescription which contains any significant error, omission, irregularity, uncertainty, ambiguity or alteration. Upon receipt of any such prescription, the pharmacist shall contact the prescriber to obtain the information needed to validate the prescription. 4 5 (b) Even after conferring with the prescriber, a pharmacist shall not compound or dispense a controlled substance prescription where the pharmacist б knows or has objective reason to know that said prescription was not issued for a legitimate medical purpose. 8 COSTRECOVERY 9 Code section 125.3 provides, in pertinent part, that a Board may request the 18. administrative law judge to direct a licentiate found to have committed a violation or violations of 10 the licensing act to pay a sum not to exceed the reasonable costs of the investigation and 11 12 enforcement of the case. 13 DRUG CLASSIFICATIONS 19. "Norco" is the brand name for a compound consisting of hydrocodone and 14 acetaminophen and is used to treat pain. Norco is a Schedule II controlled substance pursuant to 15. Code of Federal Regulations, section 1308.12, subdivision (b)(1)(vi). 16 "Roxicodone" is a brand name for oxycodone. Oxycodone is a Schedule II controlled 17 substance pursuant to Health and Safety Code section 11055, subdivision (b)(1)(M), and is used ii 18 : 19 to treat pain. 21. "Phenergan with codeine" is a brand name for promethazine with codeine. 20 Promethazine with codeine is a Schedule V controlled substance pursuant to Health and Safety 21 22 Code section 11058, subdivision (c)(1), and is used to treat cough. 22. All of the above controlled substances are dangerous drugs pursuant to Code section 23 24 4022. 25 FACTUAL ALLEGATIONS On or about March 11, 2015, a Board Inspector conducted a complaint investigation 26 at Oakdale Pharmacy and determined that Respondent and her husband, technician Steve Kim ("Kim"), had violated the Pharmacy Law. An audit revealed that Kim stole 545 dosage units of (OAKDALE PHARMACY) ACCUSATION

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Adderall 30 mg immediate-release (IR) tablets and 600 dosage units of Adderall 30 mg extendedrelease (XR) capsules. The inspector found that Schedule II controlled substances had been dispersed among the stock of non-controlled substances in the pharmacy and that Kim had access to the alarm system code and Respondent's keys, allowing him to enter the facility in the middle of the night and steal the drugs. The inspector also found questionable practices as to the dispensing of controlled substances, and an internal complaint was opened against Oakdale Pharmacy by the Board.

- Board Inspector T. requested and analyzed CURES data for Oakdale Pharmacy and identified irregularities or "red flags" pertaining to certain prescriptions, all of which were written by Dr. Terrill Eugene Brown. Dr. Brown surrendered his physician's and surgeon's certificate to the Medical Board of California effective June 24, 2013.1
- 25. On or about May 20, 2015, Board Inspectors T. and K. conducted an inspection at Oakdale Pharmacy and were assisted by Respondent. The inspectors obtained prescription hard copies that were written by Dr. Brown and identified during the review of the CURES data. Inspector T. found, among other things, that the majority of prescriptions written by Dr. Brown were assigned consecutive prescription numbers, indicating that the pharmacy typed the prescriptions sequentially and that a customer dropped off multiple prescriptions or multiple individuals came in groups to pick up their medications. Inspector T. asked Respondent to explain the circumstances surrounding the consecutive prescription numbers. Respondent stated that at first, one person came in with a prescription from Dr. Brown, then "all these people started coming." Initially, one person would bring in three or four prescriptions for several people. Later, groups of people began arriving with prescriptions from Dr. Brown. Respondent stated that she had the ability to access the PDMP (Prescription Drug Monitoring Program)2.

¹ Dr. Brown was charged in a grand jury indictment with illegally distributing and dispensing Schedule II controlled substances and structuring transactions to evade reporting requirements. Dr. Brown pled guilty to the charges and was sentenced to 57 months in prison.

² The PDMP is a component of CURES and is accessible to pharmacists and prescribers. The data from the PDMP may be used to aid in determining if a patient see multiple prescribers, goes to multiple pharmacies to have controlled substance prescriptions filled and/or has (continued...)

- 26. During the inspection, Inspector K. found the pharmacy's Schedule II controlled substances sequestered in various drawers. Each of the drawers had hasps; however, the padlocks were unlocked and several of the drawers did not have a lock. Inspector T. also found that Quality Assurance reports were not immediately retrievable in the pharmacy.
- 27. Inspector T. requested that Respondent provide her with all electronic pharmacy dispensing records (controlled and non-controlled substances) for the period from March 1, 2012 to March 12, 2015, and gave her a blank CD. Respondent inserted the CD into the pharmacy computer, burned the requested files onto the CD, and provided it to the inspector.
- 28. Inspector T. selected several of Dr. Brown's patients who had prescriptions filled at Oakdale Pharmacy and obtained CURES Patient Activity Reports for each patient from the Board. The reports indicated that the patients were "doctor shopping" in that they would obtain prescriptions for the same controlled substance from different physicians, including Dr. Brown. The patients would then have the prescriptions filled at different pharmacies, including Oakdale Pharmacy ("pharmacy shopping"). The reports also showed that the patients were receiving early refills of the controlled substances, oftentimes many days in advance of the previously dispensed supply being exhausted.
- 29. Inspector T. determined based on her analysis of the CURES data, the prescription hard copies, Oakdale Pharmacy's dispensing data and the CURES Patient Activity Reports that from March 1, 2012 to March 12, 2015, the pharmacy dispensed numerous prescriptions for controlled substances without regard to the following factors:
- a. Dr. Brown practiced General Preventative Medicine, but only wrote prescriptions for hydrocodone with acetaminophen 10/325 mg tablets, oxycodone 30 mg tablets, and promethazine with codeine syrup. Dr. Brown did not prescribe non-controlled substances to his patients.
- b. 100% of the prescriptions written by Dr. Brown and dispensed by the pharmacy were paid for with cash.

- c. Multiple patients of Dr. Brown, with prescriptions for identical controlled substances, presented to the pharmacy around the same time:
- d. Dr. Brown's patients paid cash for high retail cost medications without the benefit of insurance.
- e. All of Dr. Brown's patients received the highest tablet strength of oxycodone (most patients received two tablets per dose) with no evidence of upward titration from a lower dose.
- f. Dr. Brown had two medical offices, one located in Fresno, approximately 98 miles from Oakdale Pharmacy, and the other located in Visalia, approximately 143 miles from the pharmacy.
- g. Dr. Brown's patients traveled far distances in order to obtain controlled substance prescriptions from Dr. Brown and to have those prescriptions filled at Oakdale pharmacy. Several of his patients travelled from Victorville (approximately 343 miles from Oakdale pharmacy) and Long Beach (approximately 345 miles from Oakdale pharmacy). In some instances, his patients provided a Northern California address, however, their license listed an address in Long Beach or nearby city.
- h. Multiple patients of Dr. Brown resided at the same address and received very similar prescriptions for controlled substances.
- Multiple prescriptions were written on forms that were not in compliance with Health and Safety Code section 11162.1, as set forth in paragraph 30 below.

FIRST CAUSE FOR DISCIPLINE

(Excessive Furnishing of Controlled Substances)

30. Respondent's pharmacy permit is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (d), in that Respondent, as owner of Oakdale Pharmacy, clearly excessively furnished the controlled substances hydrocodone with acetaminophen 10/325 mg tablets, oxycodone 30 mg tablets, and promethazine with codeine syrup, in violation of Health and Safety Code section 11153, subdivision (a), as follows: On and between March 1, 2012 and March 12, 2015, Respondent dispensed numerous prescriptions for the above controlled substances when she knew or had objective reason to know that said

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prescriptions were not issued for a legitimate medical purpose based on the irregularities or "red flags" set forth in paragraph 28 above. Further, Respondent failed to assume her corresponding responsibility when she failed to appropriately scrutinize patients' drug therapies with readily available tools, such as the PDMP and her own pharmacy records, resulting in the repeated early dispensing of controlled substances due to patients who engaged in "doctor shopping" and polypharmacy activity.

SECOND CAUSE FOR DISCIPLINE

(Dispensing of Controlled Substances Based on Prescription Forms Not in Compliance with the Law)

- 31. Respondent's pharmacy permit is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (j), in that Respondent, as owner of Oakdale Pharmacy, violated Health and Safety Code section 11164 when she filled, compounded, or dispensed approximately 31 prescriptions for hydrocodone with acetaminophen 10/325 mg tablets, oxycodone 30 mg tablets, and/or promethazine with codeine syrup based on controlled substance prescription forms, written by Dr. Brown (obtained during the Board's inspection of May 20, 2015), that were not in compliance with Health and Section 11162.1, as follows:
- a. The prescription forms did not have a latent, repetitive "void" pattern printed across the entire front of the prescription blank.
- b. The prescription forms did not have a watermark printed on the backside of the prescription blank consisting of the words "California Security Prescription."
 - c. The prescription forms did not have a feature printed in thermochromic ink.
- d. The prescription forms did not contain a statement printed on the bottom of the prescription blank that the "Prescription is void if the number of drugs prescribed is not noted."
- e. The prescription forms did not have an identifying number assigned to the approved security printer by the Department of Justice.
- f. The prescription forms did not have a check box by the name of each prescriber when the prescription formed listed multiple prescribers.

g. Each batch of controlled substance prescription forms did not have the lot number printed on the form and/or each form within the batch was not numbered sequentially beginning with the numeral one.

THIRD CAUSE FOR DISCIPLINE

(Failure to Comply with Quality Assurance Program)

32. Respondent's pharmacy permit is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (o), in that Respondent, as owner of Oakdale Pharmacy, failed to have available at the pharmacy any quality assurance review records or reports to provide to the inspector during the inspection, in violation of CCR section 1711.

FOURTH CAUSE FOR DISCIPLINE

(Failure to Maintain Pharmacy, Fixtures, and Equipment so that Drugs Were Safely and Properly Secured)

33. Respondent's pharmacy permit is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivisions (o) and (j), in that Respondent, as owner of Oakdale Pharmacy, failed to maintain the pharmacy and its facilities, space, fixtures and/or equipment so that drugs were safely and properly secured, in violation of CCR section 1714, subdivision (d), and failed to store Schedule II, III, IV, and/or V Controlled Substances in securely locked, substantially constructed cabinets, in violation of CFR section 1301.75, subdivision (b), as follows: On or about May 20, 2015, Respondent failed to ensure that Schedule II Controlled Substances were stored in locked cabinets or dispersed throughout the pharmacy's stock of noncontrolled substances.

FIFTH CAUSE FOR DISCIPLINE

(Excessive Furnishing of Controlled Substances)

34. Respondent's pharmacist license is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (d), in that Respondent, while acting as the pharmacist-in-charge at Oakdale Pharmacy, clearly excessively furnished the controlled substances hydrocodone with acetaminophen 10/325 mg tablets, oxycodone 30 mg tablets, and promethazine with codeine syrup, in violation of Health and Safety Code section 11153,

subdivision (a), as follows: On and between March 1, 2012 and March 12, 2015, Respondent dispensed numerous prescriptions for the above controlled substances when she knew or had objective reason to know that said prescriptions were not issued for a legitimate medical purpose based on the irregularities or "red flags" set forth in paragraph 28 above. Further, Respondent failed to assume her corresponding responsibility when she failed to appropriately scrutinize patients' drug therapies with readily available tools, such as the PDMP and her own pharmacy records, resulting in the repeated early dispensing of controlled substances due to patients who engaged in "doctor shopping" and poly-pharmacy activity.

SIXTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

35. Respondent's pharmacist license is subject to disciplinary action for unprofessional conduct pursuant to Code sections 4301 and 4306.5, subdivisions (a) through (c), in that Respondent, while acting as the pharmacist-in-charge at Oakdale Pharmacy, failed to appropriately exercise her education, training, or experience as a pharmacist, failed to exercise or implement her best professional judgment or corresponding responsibility with regard to the dispensing or furnishing of controlled substances and dangerous drugs, and failed to consult appropriate patient, prescription, and other records pertaining to the performance of her pharmacy function, as set forth in paragraph 33 above.

SEVENTH CAUSE FOR DISCIPLINE

(Dispensing of Controlled Substances Based on Prescription

Forms Not in Compliance with the Law)

36. Respondent's pharmacist license is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (j), in that Respondent, while acting as the pharmacist-in-charge at Oakdale Pharmacy, violated Health and Safety Code section 11164 when she filled, compounded, or dispensed approximately 31 prescriptions for hydrocodone with acetaminophen 10/325 mg tablets, oxycodone 30 mg tablets, and/or promethazine with codeine syrup based on controlled substance prescription forms, written by Dr. Brown (obtained during

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the Board's inspection of May 20, 2015), that were not in compliance with Health and Section 11162.1, as follows:

- a. The prescription forms did not have a latent, repetitive "void" pattern printed across the entire front of the prescription blank.
- b. The prescription forms did not have a watermark printed on the backside of the prescription blank consisting of the words "California Security Prescription."
 - c. The prescription forms did not have a feature printed in thermochromic ink.
- d. The prescription forms did not contain a statement printed on the bottom of the prescription blank that the "Prescription is void if the number of drugs prescribed is not noted."
- e. The prescription forms did not have an identifying number assigned to the approved security printer by the Department of Justice.
- f. The prescription forms did not have a check box by the name of each prescriber when the prescription formed listed multiple prescribers.
- g. Each batch of controlled substance prescription forms did not have the lot number printed on the form and/or each form within the batch was not numbered sequentially beginning with the numeral one.

EIGHTH CAUSE FOR DISCIPLINE

(Failure to Comply with Quality Assurance Program)

37. Respondent's pharmacist license is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivision (o), in that Respondent, while acting as the pharmacist-in-charge at Oakdale Pharmacy, failed to have available at the pharmacy any quality assurance review records or reports, in violation of CCR section 1711.

NINTH CAUSE FOR DISCIPLINE

(Failure to Maintain Pharmacy, Fixtures, and Equipment so that Drugs Were Safely and Properly Secured)

38. Respondent's pharmacist license is subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, subdivisions (o) and (j), in that Respondent, while acting as the pharmacist-in-charge at Oakdale Pharmacy, failed to maintain the pharmacy and its

 facilities, space, fixtures and/or equipment so that drugs were safely and properly secured, in violation of CCR section 1714, subdivision (d), and failed to store Schedule II, III, IV, and/or V Controlled Substances in securely locked, substantially constructed cabinets, in violation of CFR section 1301.75, subdivision (b), as follows: On or about May 20, 2015, Respondent failed to ensure that Schedule II Controlled Substances were stored in locked cabinets or dispersed throughout the pharmacy's stock of noncontrolled substances.

OTHER MATTERS

- 39. Pursuant to Code section 4307, if discipline is imposed on Pharmacy Permit Number PHY 50734 issued to Oakdale Pharmacy, Oakdale Pharmacy shall be prohibited from serving as a manager; administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50734 is placed on probation or until Pharmacy Permit Number PHY 50734 is reinstated if it is revoked.
- 40. Pursuant to Code section 4307, if discipline is imposed on Pharmacy Permit Number PHY 50734 issued to Oakdale Pharmacy while Christen Yunah Kim has been an officer and/or owner and had knowledge of or knowingly participated in any conduct for which the licensee was disciplined, Christen Yunah Kim shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50434 is placed on probation or until Pharmacy Permit Number PHY 50734 is reinstated if it is revoked.

MATTERS IN AGGRAVATION

- 41. To determine the degree of discipline to be assessed against Respondent, if any, Complainant alleges as follows:
- a. On or about March 11, 2015, a Board Inspector conducted a complaint investigation at Oakdale Pharmacy, as set forth in paragraph 22 above. On or about May 21, 2015, the Board issued Citation and Fine No. CI 2014 65415 against Respondent's pharmacy permit for violating CCR section 1714, subdivision (d) (failure to maintain adequate security of the prescription department). The Board ordered Respondent to pay a fine of \$750 by June 20, 2015. Respondent paid the citation in full on November 4, 2015.

On or about May 21, 2015, the Board issued Citation and Fine No. CI 2014 65416 b. against Respondent's pharmacist license for violating CCR section 1714, subdivision (d) (failure to maintain adequate security of the prescription department), following the complaint investigation referenced in subparagraph (a) above. The Board ordered Respondent to pay a fine of \$500 by June 20, 2015. Respondent paid the citation in full on November 4, 2015.

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,

- Revoking or suspending Pharmacy Permit Number PHY 50734, issued to Christen
- Revoking or suspending Pharmacist License Number RPH 62576, issued to Christen
- Prohibiting Oakdale Pharmacy from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50734 is placed on probation or until Pharmacy Permit Number PHY 50734 is reinstated if Pharmacy Permit Number 50734 issued to Oakdale Pharmacy is revoked;
- 4. Prohibiting Christen Yunah Kim from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 50734 is placed on probation or until Pharmacy Permit Number PHY 50734 is reinstated if Pharmacy Permit Number 50734 issued to Oakdale Pharmacy is revoked;
- Ordering Christen Yunah Kim, individually, and as owner of Oakdale Pharmacy, to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case,

5.	Taking such other a	nd further action as	deemed necessary and proper
	6/13/16		deemed necessary and proper
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Department of Consumer Affairs