#### BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

SIERRA COMPOUNDING PHARMACY ROBERT HILBERT SEIWERT, OWNER ANGALINE MARIE WUSSTIG, PIC 1101 Maidu Drive, #200 Auburn, CA 95603 Pharmacy Permit No. PHY 49228.

ANGALINE MARIE WUSSTIG 3813 Rogue River Circle West Sacramento, CA 95691 Pharmacist License No. RPH 69944,

and

KRISTEN R. GORSKI 12188 Colfax Highway Grass Valley, CA 95945 Pharmacist License No. RPH 67057

Respondents.

Case No. 5534

OAH No. 2016061130

# STIPULATED SETTLEMENT AND DISCIPLINARY ORDER

**DECISION AND ORDER** 

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of

Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on March 1, 2017.

It is so ORDERED on January 30, 2017.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

NC

By

Amy Gutierrez, Pharm.D. Board President

| 1        | KAMALA D, HARRIS  |   |  |  |
|----------|---|---|--|--|
| 2        | Attorney General of California<br>JANICE K. LACHMAN                         |   |  |  |
| 3        | Supervising Deputy Attorney General<br>ANAHITA S. CRAWFORD                  |   |  |  |
| 4        | Deputy Attorney General<br>State Bar No. 209545                             |   |  |  |
| 5        | 1300 I Street, Suite 125<br>P.O. Box 944255                                 |   |  |  |
| 6        | Sacramento, CA 94244-2550   |   |  |  |
| 7        | Telephone: (916) 322-8311<br>Facsimile: (916) 327-8643                      |   |  |  |
| 8        | Attorneys for Complainant   |   |  |  |
|          | BEFORE THE<br>BOARD OF PHARMACY   |   |  |  |
| 9        | DEPARTMENT OF CONSUMER AFFAIRS<br>STATE OF CALIFORNIA                       |   |  |  |
| 10       |   | 1   |  |  |
| 11       | In the Matter of the Accusation Against:                                    | Case No. 5534                                   |  |  |
| 12       | SIERRA COMPOUNDING PHARMACY<br>ROBERT HILBERT SEIWERT, OWNER                | OAH No. 2016061130                              |  |  |
| 13<br>14 | ANGALINE MARIE WUSSTIG, PIC<br>1101 Maidu Drive, #200<br>Auburn, CA 95603   | STIPULATED SETTLEMENT AND<br>DISCIPLINARY ORDER |  |  |
| 15       | Pharmacy Permit No. PHY 49228,  |   |  |  |
| 16       | ANGALINE MARIE WUSSTIG  |   |  |  |
| 17       | 3813 Rogue River Circle<br>West Sacramento, CA 95691                        |   |  |  |
| 18       | Pharmacist License No. RPH 69944,   |   |  |  |
| 19       | and   |   |  |  |
| 20       | KRISTEN R. GORSKI   |   |  |  |
| 21       | 12188 Colfax Highway<br>Grass Valley, CA 95945                              |   |  |  |
| 22       | Pharmacist License No. RPH 67057  |   |  |  |
| 23       | Respondents.  |   |  |  |
| 24       |   |   |  |  |
| 25       |   | 4 M M M M M M M M M M M M M M M M M M M         |  |  |
| 26       | IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above- |   |  |  |
| 27       | entitled proceedings that the following matters a                           | re true:  |  |  |
| 28       | //  |   |  |  |
|          |   | 1   |  |  |
|          |   | STIPULATED SETTLEMENT (5534)                    |  |  |

| 1  | PARTIES   |  |
|----|---|--|
| 2  | 1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy                    |  |
| 3  | (Board). She brought this action solely in her official capacity and is represented in this matter by |  |
| 4  | Kamala D. Harris, Attorney General of the State of California, by Anahita S. Crawford, Deputy         |  |
| 5  | Attorney General.   |  |
| 6  | 2. Respondent Kirsten R. Gorski, PIC (Respondent) is represented in this proceeding by                |  |
| 7  | attorney Natalia Mazina of Kelly, Hocket, & Klein, P.C. whose address is: 44 Montgmery Street,        |  |
| 8  | Suite 1500, San Francisco, CA 94104.  |  |
| 9  | 3. On or about April 19, 2012, the Board issued Pharmacist License Number RPH                         |  |
| 10 | 67057 to Kristen R. Gorski ("Respondent Gorski"). The pharmacist license was in full force and        |  |
| 11 | effect at all times relevant to the charges brought herein and will expire on September 30, 2017,     |  |
| 12 | unless renewed.   |  |
| 13 | JURISDICTION  |  |
| 14 | 4. Accusation No. 5534 was filed before the Board, and is currently pending against                   |  |
| 15 | Respondent. The Accusation and all other statutorily required documents were properly served          |  |
| 16 | on Respondent on May 24, 2016. Respondent timely filed her Notice of Defense contesting the           |  |
| 17 | Accusation.   |  |
| 18 | 5. A copy of Accusation No. 5534 is attached as exhibit A and incorporated herein by                  |  |
| 19 | reference.  |  |
| 20 | ADVISEMENT AND WAIVERS  |  |
| 21 | 6. Respondent has carefully read, fully discussed with counsel, and understands the                   |  |
| 22 | charges and allegations in Accusation No. 5534. Respondent has also carefully read, fully             |  |
| 23 | discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary    |  |
| 24 | Órder.  |  |
| 25 | 7. Respondent is fully aware of her legal rights in this matter, including the right to a             |  |
| 26 | hearing on the charges and allegations in the Accusation; the right to confront and cross-examine     |  |
| 27 | the witnesses against her; the right to present evidence and to testify on her own behalf; the right  |  |
| 28 | to the issuance of subpoenas to compel the attendance of witnesses and the production of              |  |
|    | 2   |  |
|    | STIPULATED SETTLEMENT (5534)  |  |

STIPULATED SETTLEMENT (5534)

| 1  | documents; the right to reconsideration and court review of an adverse decision; and all other       |
|----|--|
| 2  | rights accorded by the California Administrative Procedure Act and other applicable laws.            |
| 3  | 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and                 |
| 4  | every right set forth above.   |
| 5  | CULPABILITY  |
| б  | 9. Respondent admits the truth of each and every charge and allegation in Accusation                 |
| 7  | No. 5534.  |
| 8  | 10. Respondent agrees that her Pharmacist License is subject to discipline and she agrees            |
| 9  | to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.          |
| 10 | CONTINGENCY  |
| 11 | 11. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent               |
| 12 | understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may       |
| 13 | communicate directly with the Board regarding this stipulation and settlement, without notice to     |
| 14 | or participation by Respondent or her counsel. By signing the stipulation, Respondent                |
| 15 | understands and agrees that he may not withdraw her agreement or seek to rescind the stipulation     |
| 16 | prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation |
| 17 | as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or  |
| 18 | effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, |
| 19 | and the Board shall not be disqualified from further action by having considered this matter.        |
| 20 | 12. The parties understand and agree that Portable Document Format (PDF) and facsimile               |
| 21 | copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile             |
| 22 | signatures thereto, shall have the same force and effect as the originals.                           |
| 23 | 13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an            |
| 24 | integrated writing representing the complete, final, and exclusive embodiment of their agreement.    |
| 25 | It supersedes any and all prior or contemporaneous agreements, understandings, discussions,          |
| 26 | negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary         |
| 27 | Order may not be altered, amended, modified, supplemented, or otherwise changed except by a          |
| 28 | writing executed by an authorized representative of each of the parties.                             |
|    | 3  |
| l  | STIPULATED SETTLEMENT (5534)   |

| 1  | 14. In consideration of the foregoing admissions and stipulations, the parties agree that        |  |
|----|--|--|
| 2  | the Board may, without further notice or formal proceeding, issue and enter the following        |  |
| 3  | Disciplinary Order:  |  |
| 4  | DISCIPLINARY ORDER   |  |
| 5  | IT IS HEREBY ORDERED that Pharmacist License Number RPH 67057 issued to Kristen                  |  |
| 6  | R. Gorski is revoked. However, the revocation is stayed and Respondent is placed on probation    |  |
| 7  | for two (2) years on the following terms and conditions.   |  |
| 8  | 1. Obey All Laws   |  |
| 9  | Respondent shall obey all state and federal laws and regulations.                                |  |
| 10 | Respondent shall report any of the following occurrences to the board, in writing, within        |  |
| 11 | seventy-two (72) hours of such occurrence:   |  |
| 12 | • an arrest or issuance of a criminal complaint for violation of any provision of the            |  |
| 13 | Pharmacy Law, state and federal food and drug laws, or state and federal controlled              |  |
| 14 | substances laws  |  |
| 15 | • a plea of guilty or nolo contendre in any state or federal criminal proceeding to any          |  |
| 16 | criminal complaint, information or indictment  |  |
| 17 | a conviction of any crime  |  |
| 18 | • discipline, citation, or other administrative action filed by any state or federal agency      |  |
| 19 | which involves respondent's Pharmacist License or which is related to the practice of            |  |
| 20 | pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging           |  |
| 21 | for any drug, device or controlled substance.  |  |
| 22 | Failure to timely report such occurrence shall be considered a violation of probation.           |  |
| 23 | 2. Report to the Board   |  |
| 24 | Respondent shall report to the board quarterly, on a schedule as directed by the board or its    |  |
| 25 | designee. The report shall be made either in person or in writing, as directed. Among other      |  |
| 26 | requirements, respondent shall state in each report under penalty of perjury whether there has   |  |
| 27 | been compliance with all the terms and conditions of probation. Failure to submit timely reports |  |
| 28 | in a form as directed shall be considered a violation of probation. Any period(s) of delinquency |  |
|    | 4  |  |

in submission of reports as directed may be added to the total period of probation. Moreover, if
 the final probation report is not made as directed, probation shall be automatically extended until
 such time as the final report is made and accepted by the board.

4

# 3. Interview with the Board

5 Upon receipt of reasonable prior notice, respondent shall appear in person for interviews 6 with the board or its designee, at such intervals and locations as are determined by the board or its 7 designee. Failure to appear for any scheduled interview without prior notification to board staff, 8 or failure to appear for two (2) or more scheduled interviews with the board or its designee during 9 the period of probation, shall be considered a violation of probation.

10

# 4. **Cooperate with Board Staff**

Respondent shall cooperate with the board's inspection program and with the board's
monitoring and investigation of respondent's compliance with the terms and conditions of her
probation. Failure to cooperate shall be considered a violation of probation.

14

5.

# **Continuing Education**

Respondent shall provide evidence of efforts to maintain skill and knowledge as a
pharmacist as directed by the board or its designee.

17

# 6. Notice to Employers

During the period of probation, respondent shall notify all present and prospective
employers of the decision in case number 5534 and the terms, conditions and restrictions imposed
on respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of
respondent undertaking any new employment, respondent shall cause her direct supervisor,
pharmacist-in-charge (including each new pharmacist-in-charge employed during respondent's
tenure of employment) and owner to report to the board in writing acknowledging that the listed
individual(s) has/have read the decision in case number 5534, and terms and conditions imposed
thereby. It shall be respondent's responsibility to ensure that her employer(s) and/or supervisor(s)
submit timely acknowledgment(s) to the board.

5

| 1          | If respondent works for or is employed by or through a pharmacy employment service,               |  |
|------------|---|--|
| 2          | respondent must notify her direct supervisor, pharmacist-in-charge, and owner at every entity     |  |
| 3          | licensed by the board of the terms and conditions of the decision in case number 5534 in advance  |  |
| 4          | of the respondent commencing work at each licensed entity. A record of this notification must be  |  |
| 5          | provided to the board upon request.   |  |
| 6          | Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen   |  |
| 7          | (15) days of respondent undertaking any new employment by or through a pharmacy employment        |  |
| 8          | service, respondent shall cause her direct supervisor with the pharmacy employment service to     |  |
| 9          | report to the board in writing acknowledging that he has read the decision in case number 5534    |  |
| 10         | and the terms and conditions imposed thereby. It shall be respondent's responsibility to ensure   |  |
| 11         | that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the board.           |  |
| 12         | Failure to timely notify present or prospective employer(s) or to cause that/those                |  |
| 13         | employer(s) to submit timely acknowledgments to the board shall be considered a violation of      |  |
| 14         | probation.  |  |
| 15         | "Employment" within the meaning of this provision shall include any full-time,                    |  |
| 16         | part-time, temporary, relief or pharmacy management service as a pharmacist or any                |  |
| 17         | position for which a pharmacist license is a requirement or criterion for employment,             |  |
| 18         | whether the respondent is an employee, independent contractor or volunteer.                       |  |
| 19         | 7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as                   |  |
| 20         | Designated Representative-in-Charge, or Serving as a Consultant                                   |  |
| 21         | During the period of probation, respondent shall not supervise any intern pharmacist, be the      |  |
| <b>2</b> 2 | pharmacist-in-charge or designated representative-in-charge of any entity licensed by the board   |  |
| 23         | nor serve as a consultant unless otherwise specified in this order. Assumption of any such        |  |
| 24         | unauthorized supervision responsibilities shall be considered a violation of probation.           |  |
| 25         | 8. Probation Monitoring Costs   |  |
| 26         | Respondent shall pay any costs associated with probation monitoring as determined by the          |  |
| 27         | board each and every year of probation. Such costs shall be payable to the board on a schedule as |  |
| 28         |   |  |
|            | 6   |  |
|            | STIPULATED SETTLEMENT (5534)  |  |

directed by the board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

# 9. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

7 If respondent's license expires or is cancelled by operation of law or otherwise at any time
8 during the period of probation, including any extensions thereof due to tolling or otherwise, upon
9 renewal or reapplication respondent's license shall be subject to all terms and conditions of this
10 probation not previously satisfied.

11

1

2

3

4

5

6

# 10. License Surrender While on Probation/Suspension

Following the effective date of this decision, should respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, respondent may tender her license to the board for surrender. The board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the respondent's license hertory with the board.

Upon acceptance of the surrender, respondent shall relinquish her pocket and wall license to
the board within ten (10) days of notification by the board that the surrender is accepted.
Respondent may not reapply for any license from the board for three (3) years from the effective
date of the surrender. Respondent shall meet all requirements applicable to the license sought as
of the date the application for that license is submitted to the board, including any outstanding
costs.

25

26

11. Notification of a Change in Name, Residence Address, Mailing Address or Employment

27 Respondent shall notify the board in writing within ten (10) days of any change of
28 employment. Said notification shall include the reasons for leaving, the address of the new

employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

12.

1

2

3

4

5

6

7

8

ġ

10

11

12

# 2. Tolling of Probation

Except during periods of suspension, respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of 40 hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, respondent must nonetheless comply with all terms and conditions of probation.

Should respondent, regardless of residency, for any reason (including vacation) cease
practicing as a pharmacist for a minimum of 40 hours per calendar month in California,
respondent must notify the board in writing within ten (10) days of the cessation of practice, and
must further notify the board in writing within ten (10) days of the resumption of practice. Any
failure to provide such notification(s) shall be considered a violation of probation.

18 It is a violation of probation for respondent's probation to remain tolled pursuant to the
19 provisions of this condition for a total period, counting consecutive and non-consecutive months,
20 exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which respondent is not practicing as a pharmacist for at least 40 hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which respondent is practicing as a pharmacist for at least 40 hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

26

21

22

23

24

25

# 13. Violation of Probation

27 If a respondent has not complied with any term or condition of probation, the board shall
28 have continuing jurisdiction over respondent, and probation shall automatically be extended, until

all terms and conditions have been satisfied or the board has taken other action as deemed
 appropriate to treat the failure to comply as a violation of probation, to terminate probation, and
 to impose the penalty that was stayed.

If respondent violates probation in any respect, the board, after giving respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against respondent during probation, the board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

11

10

4

5

6

7

8

ġ

# 14. Completion of Probation

Upon written notice by the board or its designee indicating successful completion of
probation, respondent's license will be fully restored.

14

# 15. Remedial Education

Within thirty (30) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, an appropriate program of remedial education related to compounding drugs. The program of remedial education shall consist of at least 10 hours, which shall be completed within 30 months at respondent's own expense. All remedial education shall be in addition to, and shall not be credited toward, continuing education (CE) courses used for license renewal purposes.

Failure to timely submit or complete the approved remedial education shall be considered a
violation of probation. The period of probation will be automatically extended until such
remedial education is successfully completed and written proof, in a form acceptable to the board,
is provided to the board or its designee.

Following the completion of each course, the board or its designee may require the respondent, at her own expense, to take an approved examination to test the respondent's knowledge of the course. If the respondent does not achieve a passing score on the examination, //

| 1  | this failure shall b  | e considered a viola   | ation of probation. Any such examination failure shal  | 1                                      |
|--|---|------------------------|--|--|
| 2  | require responden   | t to take another co   | urse approved by the board in the same subject area.   |  |
| 3  |   |                        | ACCEPTANCE   |  |
| 4  | I have caref  | ully read the above    | Stipulated Settlement and Disciplinary Order and have  | e fully                                |
| 5  | discussed it with r   | ny attorney, Natalia   | a Mazina. I understand the stipulation and the effect i  | t will                                 |
| 6  | have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order     |                        |  |  |
| 7  | voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the |                        | of the   |  |
| 8  | Board of Pharmac  | cy.                    |  |  |
| 9  |   |                        | · · · · · · · · · · · · · · · · · · ·  |  |
| 10   | DATED: <u>]]</u> .  | 17.2016                | Know John  | terry inner ingen ingen finder,        |
| 11   | · · · · ·   |                        | KRISTEN R. GORŠKI<br>Respondent  |  |
| 12   | I have read   | and fully discussed    | l with Respondent Sierra Compounding Pharmacy, Ro  | bert                                   |
| 13   | Hilbert Seiwert, C  | Owner, Angaline M      | arie Wusstig, PIC the terms and conditions and other   | matters                                |
| 14   | contained in the a  | bove Stipulated Se     | ttlement and Disciplinary Order. I approve its form a  | nd                                     |
| 15   | content.  |                        | and the second   |  |
|  | 1 · · ·   |                        | at a set of the set of |  |
| 16   | DATED;N   | ov. 17, 2016           | NATALEA MARTINA  | <del>m - 4<sup>1</sup> - 41</del> - 10 |
| 16<br>17   | DATED;N   | v. <u>17, 2016</u>     | NATALIA MAZINA<br>KELLY, HOCKEL, & KLEIN P.C.  | <del></del>                            |
|  | DATED; <u>N</u> o   | <u>v. 17, 2016</u>     | NATALIA MAZINA<br>KELLY, HOCKEL, & KLEIN P.C.<br>Attorney for Respondent   |  |
| 17   | DATED; <u>N</u>   | ov. 17, 2016           |  |  |
| 17<br>18   | DATED; <u>N</u>   | ov. 17, 2016           |  |  |
| 17<br>18<br>19   | DATED; <u>N</u>   | DV. 17, 2016           |  |  |
| 17<br>18<br>19<br>20<br>21<br>22   | DATED; <u>N</u>   | <b>2√. 17, 2016</b>    |  |  |
| 17<br>18<br>19<br>20<br>21   | DATED; <u>N</u>   | <b>&gt;v. 17, 2016</b> |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> </ol>                                     | DATED; <u>N</u>   | <b>X. 17, 2016</b>     |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> </ol>                         | DATED; <u>N</u>   | DV. 17, 2016           |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> </ol>                                     | DATED; <u>N</u>   | <b>X. 17, 2016</b>     |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> </ol> | ¥   | <b>X. 17, 2016</b>     |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> </ol>             | ¥   | <b>N. 17, 2016</b>     |  |  |
| <ol> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> </ol> | ¥   | <b>&gt;√. 17, 2016</b> |  |  |

| 1   | ENDORSEMENT  |  |
|-----|--|--|
| 2   | The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully          |  |
| 3   | submitted for consideration by the Board of Pharmacy.                                      |  |
| 4   |  |  |
| 5   | Dated: //. / 7.16 Respectfully submitted,  |  |
| 6   | KAMALA D. HARRIS<br>Attorney General of California   |  |
| 7   | Attorney General of California<br>JANICE K. LACHMAN<br>Supervising Deputy Attorney General |  |
| 8   | all  |  |
| 9.  | ANALIERA CONAUTODO   |  |
| 10  | ANAHITA/S. CRAWFORD<br>Deputy Attorney General<br>Attorneys for Complainant                |  |
| 11  |  |  |
| 12  |  |  |
| 13  | SA2015104447   |  |
| 14  | 12484921.doc   |  |
| 15  |  |  |
| 16  |  |  |
| 17  |  |  |
| .18 |  |  |
| 19  |  |  |
| 20  | •  |  |
| 21  |  |  |
| 22  |  |  |
| 23  |  |  |
| 24  |  |  |
| 25  |  |  |
| 26  |  |  |
| 27  |  |  |
| 28  |  |  |
|     | 11   |  |
| ŀ   | STIPULATED SETTLEMENT (5534)   |  |

# Exhibit A

Accusation No. 5534

KAMALA D. HARRIS Attorney General of California JANICE K. LACHMAN Supervising Deputy Attorney General ANAHITA S. CRAWFORD Deputy Attorney General State Bar No. 209545 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 322-8311 Facsimile: (916) 327-8643 Attorneys for Complainant

З

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

#### BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Case No. 5534

ACCUSATION

In the Matter of the Accusation Against:

SIERRA COMPOUNDING PHARMACY ROBERT HILBERT SEIWERT, OWNER ANGALINE MARIE WUSSTIG, PIC 1101 Maidu Drive, #200 Auburn, CA 95603

Pharmacy Permit No. PHY 49228,

ANGALINE MARIE WUSSTIG 3813 Rogue River Circle West Sacramento, CA 95691

Pharmacist License No. RPH 69944,

and

KRISTEN R. GORSKI 12188 Colfax Highway Grass Valley, CA 95945

Pharmacist License No. RPH 67057

Respondents.

Complainant alleges: .

#### PARTIES

1. Virginia Herold ("Complainant") brings this Accusation solely in her official capacity as the Executive Officer of the Board of Pharmacy ("Board"), Department of Consumer Affairs.

1

2. On or about December 29, 2008, the Board issued Pharmacy Permit Number PHY 49228 to Robert Hilbert Seiwert ("Respondent"), owner of Sierra Compounding Pharmacy. The pharmacy permit was in full force and effect at all times relevant to the charges brought herein and will expire on December 1, 2016, unless renewed.

3. On or about October 3, 2013, the Board issued Pharmacist License Number RPH 69944 to Angaline Marie Wusstig ("Respondent Wusstig"). The pharmacist license was in full force and effect at all times relevant to the charges brought herein and will expire on July 31, 2017, unless renewed.

4. On or about April 19, 2012, the Board issued Pharmacist License Number RPH 67057 to Kristen R. Gorski ("Respondent Gorski"). The pharmacist license was in full force and effect at all times relevant to the charges brought herein and will expire on September 30, 2017, unless renewed.

#### **JURISDICTION**

5. This Accusation is brought before the Board under the authority of the following laws. All section references are to the Business and Professions Code ("Code") unless otherwise indicated.

6. Code section 4300.1 states:

3

4

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

 $\overline{23}$ 

24

25

26

27

28

The expiration, cancellation, forfeiture, or suspension of a board-issued license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

7. Section 4011 of the Code provides that the Board shall administer and enforce both

the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].

8. Code section 4300 states, in pertinent part:

(a) Every license issued may be suspended or revoked.

(b) The board shall discipline the holder of any license issued by the board, whose default has been entered or whose case has been heard by the board and found guilty, by any of the following methods:

(1) Suspending judgment.

5

6

8

9

10

12

13

14

15

16

17

18

19

20

22

23

24

25

26

28

(2) Placing him or her upon probation.

(3) Suspending his or her right to practice for a period not exceeding one year.

(4) Revoking his or her license.

(5) Taking any other action in relation to disciplining him or her as the board in its discretion may deem proper . . .

#### STATUTORY PROVISIONS

#### Business and Professions Code (Disciplinary Provisions)

9. Code section 4301 states, in pertinent part:

The board shall take action against any holder of a license who is guilty of unprofessional conduct .... Unprofessional conduct shall include, but is not limited to, any of the following:

(j) The violation of any of the statutes of this state, of any other state, or of the United States regulating controlled substances and dangerous drugs.

(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.

10. Code section 4306.5 states, in pertinent part;

Unprofessional conduct for a pharmacist may include any of the following:

(b) Acts or omissions that involve, in whole or in part, the failure to exercise or implement his or her best professional judgment or corresponding responsibility with regard to the dispensing or furnishing of controlled substances, dangerous drugs, or dangerous devices, or with regard to the provision of services.

(c) Acts or omissions that involve, in whole or in part, the failure to consult appropriate patient, prescription, and other records pertaining to the performance of any pharmacy function . . .

11. Additionally, Code section 4113, subdivision (c), states that "[t]he pharmacist-in-

charge shall be responsible for a pharmacy's compliance with all state and federal laws and

regulations pertaining to the practice of pharmacy."

#### 12. Section 4013(a) of the Code states:

Any facility licensed by the board shall join the board's e-mail notification list within 60 days of obtaining a license or at the time of license renewal.

13. Section 4169 of the Code states:

ŝ

8

9

10

11

12

13

14

15

16

17

18

19

20

[2]

22

23

24

25

26

 $\mathbf{27}$ 

28

(a) A person or entity shall not do any of the following:

(3) Purchase, trade, sell, or transfer dangerous drugs that the person knew or reasonably should have known were misbranded, as defined in Section 111335 of the Health and Safety Code.

#### State and Federal Drug Codes (Misbranded Drugs)

14. Health and Safety Code section 111335 states that "[a]ny drug or device is misbranded if its labeling or packaging does not conform to the requirements of Chapter 4 (commencing with Section 110290)."

15. Health and Safety Code section 111400 provides that a drug is misbranded if it is dangerous to "health when used in the dosage, or with the frequency or duration prescribed, recommended, or suggested in its labeling."

16. Title 21, United States Code, section 352 states, in pertinent part:

A Drug or device shall be deemed to be misbranded ---

(f) Directions for use and warnings on label

Unless its labeling bears (1) adequate directions for use; and (2) such adequate warnings against use in those pathological conditions or by children where its use may be dangerous to health, or against unsafe dosage or methods or duration of administration or application, in such manner and form, as are necessary for the protection of users, except that where any requirement of clause (1) of this paragraph, as applied to any drug or device, is not necessary for the protection of the public health, the Secretary shall promulgate regulations exempting such drug or device from such requirement. Required labeling for prescription devices intended for use in health care facilities or by a health care professional and required labeling for in vitro diagnostic devices intended solely by electronic means, provided that the labeling complies with all applicable requirements of law, and that the manufacturer affords such users the opportunity to request the labeling in paper form, and after such request, promptly provides the requested information without additional cost...

Health and Safety Codes (Prohibition on Misbranded Drugs)

17. Health and Safety Code section 111440 states that "[i]t is unlawful for any person to manufacture, sell, deliver, hold, or offer for sale any drug or device that is this branded."

18. Health and Safety Code section 111450 provides that it is unlawful for any person to receive in commerce any drug or device that is misbranded or to deliver or proffer for delivery any drug or device.

## **REGULATORY PROVISIONS**

19. California Code of Regulations, title 16, section 1735, subdivision (a):

states in pertinent part:

3

4

5

6

8

9

10

11

12

13

14

ί5

16

17

18

19

20

21

22

 $\overline{23}$ 

24

25

26

27

28

"Compounding" means any of the following activities occurring in a licensed pharmacy, by or under the supervision of a licensed pharmacist, pursuant to a prescription:

(1) Altering the dosage form or delivery system of a drug

(2) Altering the strength of a drug

(3) Combining components or active ingredients

(4) Preparing a drug product from chemicals or bulk drug substances

20. California Code of Regulations, title 16, section 1735.2 states:

(d) A drug product shall not be compounded until the pharmacy has first prepared a written master formula record that includes at least the following elements:

(1) Active ingredients to be used.

(2) Equipment to be used.

(3) Expiration dating requirements.

(4) Inactive ingredients to be used.

(5) Process and/or procedure used to prepare the drug.

(6) Quality reviews required at each step in preparation of the drug.

(7) Post-compounding process or procedures required, if any.

5

(i) The pharmacist performing or supervising compounding is responsible for the proper preparation, labeling, storage, and delivery of the compounded drug product.

1

2

3

4

5

6

7

8

g

16

#### COST RECOVERY

21. Code section 125.3 provides, in pertinent part, that a Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

## <u>DRUG</u>

22. "Domperidone" is an anti-dopaminergic drug that acts as an antiemetic and a
prokinetic agent, and is used to relieve nausea and vomiting and to increase lactation. It is a
dangerous drug under Business and Professions Code section 4022. Domperidone is not
approved for use in humans in the United States by the Food and Drug Administration. Drug
products compounded using domperiodone are subject to the approval requirements of the federal
Food, Drug and Cosmetic Act.

## FACTUAL BACKGROUND

23. On and between October 16, 2012 and April 24, 2015, Kristen R. Gorski
("Respondent Gorski") was the pharmacist-in-charge at Sierra Compounding Pharmacy. On or
about April 27, 2015, Angaline Marie Wusstig ("Respondent Wusstig") replaced Respondent
Gorski as the pharmacist-in-charge.

21 24. On June 7, 2004, the U.S. Food and Drug Administration ("FDA") issued a talk -22 paper titled, "FDA Warns Against Women Using Unapproved Drug, Domperidone, to Increase Milk Production." The paper stated in pertinent part that domperidone is an "unapproved drug," 23 and that it is not approved in the US for human use. It also warned breast-feeding women not to 24 25 use the product because of safety concerns, and that FDA field personnel were alerted to be on 26 the lookout for attempts to import domperidone so it could be detained. The talk paper indicated 27 that the FDA issued six letters to pharmacies that compound products containing domperidone 28 and firms that supply domperidone for use in compounding. The paper stated, "[t]he letters

6

violate the Federal Food, Drug, and Cosmetic Act (the Act)<sup>1</sup> because they are unapproved new drugs and misbranded. In addition, distribution within the U.S., or importation of domperidone-containing products, violates the law." Over the next several years, the FDA continued to issue and publish warning letters to laboratories and pharmacies that it identified were distributing and compounding domperidone for human use, in violation of the Act.

25. On March 18, 2011, the FDA issued an import alert for domperidone indicating the agency learned domperidone was being imported as a bulk active pharmaceutical ingredient for pharmacy compounding, which presented a public health risk and violated the Act.

26. On March 12, 2012, the FDA issued a revised import alert for domperidone. This revised import alert stated that "... domperidone is not appropriate for pharmacy compounding use because this bulk active ingredient is not a component of an FDA approved drug, or is a component of a drug that was withdrawn or removed from the market for safety reasons."

27. On or about April 14, 2015, the Board of Pharmacy issued a "subscriber alert" to pharmacies and pharmacists stating, "Domperidone is not FDA-approved for any use in humans in the United States. Drug products compounded using domperidone are subject to the approval requirements of the Federal Food, Drug, and Cosmetic Act."

28. Respondents did not possess any FDA approval allowing them to receive or dispense domperidone.

29. On or between April 29, 2014 and April 29, 2015, Respondents compounded 5,191 capsules of various strengths of domperidone which were dispensed on over 50 prescriptions. Respondent pharmacy stated that the pharmacy compounded domperidone pursuant to prescriptions and that the drug had been purchased from PCCA.

20. Invoices from PCCA-showed that the pharmacy had purchased domperidone from PCCA on and between August 19, 2014 to April 29, 2015.

<sup>1</sup> 21 U.S.C. § 301 et seq.

З

 $\mathbf{24}$ 

H

#### FIRST CAUSE FOR DISCIPLINE

# (Failure to Exercise or Implement Best Professional Judgment

#### or Corresponding Responsibility)

31. Respondent Seiwert's pharmacy permit and Respondents Gorski's and Wusstig's pharmacist licenses are subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, as defined by Code section 4306.5 subdivision (b), in that Respondents failed to exercise or implement their best professional judgment or corresponding responsibility by compounding and dispensing domperidone, a drug that had not been approved for human use by the FDA and for which the FDA had issued a warning against its use, as further set forth in paragraphs 24-30, above and incorporated herein by reference.

# SECOND CAUSE FOR DISCIPLINE

# (Failing to Consult Appropriate Records)

32. Respondent Seiwert's pharmacy permit and Respondents Gorski's and Wusstig's pharmacist licenses are subject to disciplinary action for unprofessional conduct pursuant to Code section 4301, as defined by Code section 4306.5 subdivision (c), in that Respondents failed to consult appropriate FDA records pertaining to the performance of pharmacy functions when they compounded and dispensed domperidone, a drug that had not been approved for human use by the FDA and for which the FDA had issued warnings against its use, as further set forth in paragraphs 24-30, above and incorporated herein by reference.

#### THIRD CAUSE FOR DISCIPLINE

#### (Received, Delivered and/or Sold Misbranded Drugs)

33. Respondent Seiwert's pharmacy permit and Respondents Gorski's and Wusstig's pharmacist licenses are subject to disciplinary action for unprofessional conduct pursuant to Code section 4301 subdivision (j), for violating statutes regulating dangerous drugs, in that Respondents received, sold and/or delivered misbranded drugs, as defined by Health and Safety Code sections 111335, 111400, and United States Code, title 21, section 352, subdivision (f) and in violation of Health and Safety Code section 111440 and 111450, as further set forth in paragraphs 24-30, above and incorporated herein by reference.

- 8

#### FOURTH CAUSE FOR DISCIPLINE

#### (Commission of Prohibited Acts)

34. Respondents are subject to disciplinary action under Code sections 4301, subdivision (o), in that Respondents violated or attempted to violate provisions of the pharmacy laws and/or of the applicable federal and state laws and regulations governing pharmacy, when Respondent's received, compounded, delivered and/or sold domperidone without FDA approval in violation of section 4169, subdivision (a)(3), and as more fully set forth in paragraphs 24-33, above, which are incorporated herein by reference.

## MATTERS IN AGGRAVATION

35. To determine the degree of discipline to be assessed against Respondents Seiwert and Gorski, if any, Complainant alleges as follows:

#### Respondent Seiwert/Sierra Compounding Pharmacy

3

4

5

6

8

9

10

11

12

13

14

15

16

17

18

19

20

21

 $\overline{22}$ 

23

24

25

26

27

28

a. On or about June 30, 2011, the Board issued Citation and Fine No. CI 2010 46937 against Sierra Compounding Pharmacy for violating California Code of Regulations ("CCR"), title 16, section 1761, subdivision (a)/Health and Safety Code section 11170 (no pharmacist shall compound or dispense any prescription which contains any significant error or omission/prohibition of prescribing, etc. controlled substance for self); Health and Safety Code section 11165, subdivision (d) (for each prescription for a Schedule II or Schedule III controlled substance, the dispensing pharmacy shall report to the Department of Justice); and section 4081, subdivision (a)/section 4105, subdivision (a) (records of dangerous drugs kept open for inspection/retaining records of dangerous drugs and devices on licensed premises; temporary removal; waivers; access to electronically maintained records). The Board ordered Respondent to pay a fine of \$4,500 by July 30, 2011. The citation has been paid in full and is final.

b. On or about March 24, 2014, the Board issued Citation and Fine No. CI 2013 58024 against Sierra Compounding Pharmacy for violating Title 21, Code of Federal Regulations ("CFR"), section 1304.04, subdivisions (h)(1) and (2) (inventories and records of all controlled substances listed in Schedules I and II shall be maintained separately from all other records of the pharmacy/Schedules III, IV, and V shall be maintained either separately from all other records of

•

the pharmacy); Title 21, CFR, section 1301.75, subdivision (b) (controlled substances listed in Schedules II, III, IV, and V shall be stored in a securely locked, substantially constructed cabinet); and CCR, title 16, section 1707.5, subdivision (d) (patient-centered labels for prescription drug containers; requirements; pharmacy shall have policies and procedures in place to help patients with limited or no English proficiency understand the information on the label). The Board ordered Respondent to pay fines totaling \$1,250 by April 23, 2014. The citation has been paid in full and is final.

#### **Respondent Gorski**

in'

4

5

6

7

8

0

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

c. On or about March 24, 2014, the Board issued Citation and Fine No. CI 2013 60613 against Respondent Gorski for violating Title 21, CFR, section 1304.04, subdivisions (h)(1) and (2) (inventories and records of all controlled substances listed in Schedules I and II shall be maintained separately from all other records of the pharmacy/Schedules III, IV, and V shall be maintained either separately from all other records of the pharmacy); Title 21, CFR, section 1301.75, subdivision (b) (controlled substances listed in Schedules II, III, IV, and V shall be stored in a securely locked, substantially constructed cabinet); and CCR, title 16, section 1707.5, subdivision (d) (patient-centered labels for prescription drug containers; requirements; pharmacy shall have policies and procedures in place to help patients with limited or no English proficiency understand the information on the label) The Board ordered Respondent to pay fines totaling \$1,250 by April 23, 2014. The citation has been paid in full and is final.

#### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters alleged in this Accusation, and that following the hearing, the Board of Pharmacy issue a decision:

1. Revoking or suspending Pharmacy Permit No. PHY 49228, issued to Robert Hilbert. Seiwert, owner of Sierra Compounding Pharmacy;

2. Revoking or suspending Pharmacist License No. RPH 69944, issued to Angaline Marie Wusstig;

3. Revoking or suspending Pharmacist License No. RPH 67057, issued to Kristen R. Gorski;

10

4. Ordering Robert Hilbert Seiwert, owner of Sierra Compounding Pharmacy, Angaline Marie Wusstig, and Kristen R. Gorski to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; and

(SIERRA COMPOUNDING PHARMACY) ACCUSATION

5. Taking such other and further action as deemed necessary and proper.

5/4/16 DATED:

VIRGINIA HEROLD Executive Officer Board of Pharmacy Department of Consumer Affairs State of California Complainant

SA2015104447