'April 12, 2016

Christopher Tri Ho 5351 Tremaine Dr. Huntington Beach, CA 92659

Re: LETTER OF PUBLIC REPROVAL

In the Matter of the Accusation Against: Christopher Tri Ho, et al., Pharmacist License No. RPH 55450

Dear Mr. Ho:

On August 13, 2015, the Board of Pharmacy, Department of Consumer Affairs, State of California, filed an Accusation against your Pharmacist License. The Accusation alleged that you engaged in unprofessional conduct as follows:

- (1) When you permitted an individual to perform the functions of an intern pharmacist without being licensed by the Board in violation of Business and Professions Code section 4110(a).
- (2) When you committed gross negligence by dispensing and furnishing a used sharps disposal container which was filled with used syringes to a minor patient in violation of Business and Professions Code section 4301(c).
- (3) When you engaged in the activities set forth above in violation of Business and Professions Code section 4301.

Accordingly, in resolution of this matter under the authority provided under Business and Professions Code section 495, the Board of Pharmacy, Department of Consumer Affairs issues this letter of public reproval.

Sincerely,

VIRGINIA HEROLD

Executive Officer

Board of Pharmacy

Department of Consumer Affairs

# BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 5299

CVS VANGUARD, INC., DBA CVS PHARMACY NO. 5837 7065 La Palma Avenue Buena Park, CA 90620

Pharmacy Permit No. PHY 46780

CHRISTOPHER TRI HO 5351 Tremaine Dr. Huntington Beach, CA 92659

Pharmacist License No. RPH 55450

Respondents.

## **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order for Public Reproval is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective at 5:00 p.m. on May 12, 2016.

It is so ORDERED on April 12, 2016.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

By

Amy Gutierrez, Pharm.D. Board President

1	KAMALA D. HARRIS Attorney General of California				
2	GREGORY J. SALUTE Supervising Deputy Attorney General DESIREE I. KELLOGG Deputy Attorney General				
3					
4	State Bar No. 126461 600 West Broadway, Suite 1800				
5	San Diego, CA 92101 P.O. Box 85266				
6,	San Diego, CA 92186-5266 Telephone: (619) 645-2996				
7	Facsimile: (619) 645-2061 Attorneys for Complainant				
8	BEFOR	RE THE			
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS				
10	STATE OF C				
11	In the Matter of the Accusation Against:	Case No. 5299			
12	CVS VANGUARD, INC., DBA	STIPULATED SETTLEMENT AND			
13	CVS PHARMACY NO. 5837 7065 La Palma Avenue	DISCIPLINARY ORDER FOR PUBLIC REPROVAL			
14	Buena Park, CA 90620	[Bus. & Prof. Code § 495]			
15	Pharmacy Permit No. PHY 46780	[Bus. ce 110). Code y 473]			
16	CHRISTOPHER TRI HO 5351 Tremaine Dr.				
17	Huntington Beach, CA 92659				
18	Pharmacist License No. RPH 55450				
19	Respondents.				
20.					
21					
22	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-				
23	entitled proceedings that the following matters are true:				
24	<u>PARTIES</u>				
25	1. Virginia K. Herold (Complainant) is the Executive Officer of the Board of Pharmacy.				
26	She brought this action solely in her official capacity and is represented in this matter by Kamala				
27					
28					
	1 STIPULATED SETTLEMENT (5299)				
•		511 021112 02111201111(1 (5277)			

25.

- D. Harris, Attorney General of the State of California, by Desiree I. Kellogg, Deputy Attorney General.
- 2. Respondent CVS Vanguard, Inc., doing business as CVS Pharmacy No. 5837 (Respondent CVS Pharmacy No. 5837) and Christopher Tri Ho (Respondent Christopher Ho) are represented in this proceeding by attorney Harold B. Hilborn of Much Shelist, P.C., whose address is: 191 North Wacker Drive, Suite 1800, Chicago, Illinois 60606.
- 3. On or about July 22, 2004, the Board of Pharmacy issued Pharmacy Permit No. PHY 46780 to CVS Pharmacy No. 5837. The Pharmacy Permit was in full force and effect at all times relevant to the charges brought in Accusation No. 5299 and will expire on June 1, 2016, unless renewed.
- 4. On or about June 23, 2004, the Board of Pharmacy issued Pharmacist License No. RPH 55450 to Christopher Ho. The Pharmacist License was in full force and effect at all times relevant to the charges brought in Accusation No. 5299 and will expire on October 31, 2017, unless renewed.

#### JURISDICTION

5. Accusation No. 5299 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs and is currently pending against Respondents. The Accusation and all other statutorily required documents were properly served on Respondent on August 24, 2015. Respondents timely filed its Notice of Defense contesting the Accusation. A copy of Accusation No. 5299 is attached as exhibit A and incorporated herein by reference.

## **ADVISEMENT AND WAIVERS**

- 6. Respondents have carefully read, fully discussed with counsel, and understand the charges and allegations in Accusation No. 5299. Respondents have also carefully read, fully discussed with counsel, and understand the effects of this Stipulated Settlement and Disciplinary Order for Public Reproval.
- 7. Respondents are fully aware of their legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against them; the right to present evidence and to testify on their own behalf; the

right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

8. Respondents voluntarily, knowingly, and intelligently waive and give up each and every right set forth above.

## CULPABILITY

- Respondents understand and agree that the charges and allegations in Accusation No.
   f proven at a hearing, constitute cause for imposing discipline upon their respective
   Pharmacist License and Pharmacy Permit.
- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondents agree that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondents hereby give up their right to contest those charges.
- 11. Respondent CVS Pharmacy No. 5837 agrees that its Pharmacy Permit No. 46780 is subject to discipline and Respondent Christopher Ho agrees that his Pharmacist License No. 55450 is subject to discipline and they agree to be bound by the Disciplinary Order below.

#### CONTINGENCY

12. This stipulation shall be subject to approval by the Board of Pharmacy. Respondents understand and agree that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondents or their counsel. By signing the stipulation, Respondents understand and agree that they may not withdraw their agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order for Public Reproval shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

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- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order for Public Reproval, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order for Public Reproval is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order for Public Reproval may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

## DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacy Permit No. PHY 46780 issued to Respondent CVS Vanguard, Inc., doing business as CVS Pharmacy No. 5837 (Respondent CVS Pharmacy No. 5837) and Pharmacist License No. RPH 55450 issued to Christopher Tri Ho (Respondent Christopher Ho) shall, by way of letter from the Board's Executive Officer, be publicly reproved. The letter issued to CVS Pharmacy No. 5837 shall be in substantially the same form as the letter attached as Exhibit B to this stipulation. The letter issued to Christopher Ho shall be in substantially the same form as the letter attached as Exhibit C to this stipulation.

IT IS FURTHER ORDERED that Respondent CVS Pharmacy No. 5837 shall pay \$1124.00 and Respondent Christopher Ho shall pay \$2,623.00 to the Board for its costs associated with the investigation and enforcement of this matter. Respondents shall be permitted to pay these costs in a payment plan approved by the Board. If Respondents fail to pay the Board costs as ordered, Respondents shall not be allowed to renew their Pharmacy Permit and Pharmacist License until Respondents pay their respective costs in full.

IT IS FURTHER ORDERED that within sixty (60) days of the effective date of this 1 decision, Respondent CVS Pharmacy No. 5837 shall submit to the Board or its designee, for prior 2 approval, a community service program in which Respondent CVS Pharmacy No. 5837 shall Ì provide free sharp disposal containers or provide free or at a reduced cost immunizations, brown 4 bag consultations, or other healthcare related services to a community, charitable facility or 5 agency valuing \$20,000.00. Within thirty (30) days of board approval thereof, Respondent CVS б Pharmacy No. 5837 shall submit documentation to the Board demonstrating commencement of 7 the community service program. Respondent CV3 Pharmacy No. 5837 shall complete the 8 community service program and report on that completion to the board within six (6) months of 9 10 the effective date of the decision. ACCEPTANCE 11 I have carefully read the above Stipulated Settlement and Disciplinary Order for Public 12 Reproval and have fully discussed it with my attorney, Harold B. Hilborn. I understand the 13 stipulation and the effect it will have on the Pharmacy Permit and Pharmacist License. I enter 14 into this Stipulated Settlement and Disciplinary Order for Public Reproval voluntarily, 15 knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of 16 Pharmacy. 17 2/18/2016 DATED: 18 CHRISTOPHER TICI HO, as an individual and as the 19 authorized agent on behalf of CVS VANGUARD, INC., DBA CVS PHARMACY NO. 5837 20 Respondents 21 I have read and fully discussed with Respondent CVS Pharmacy and Christopher Ho the 22 texms and conditions and other matters contained in the above Stipulated Settlement and 23 Disciplinary Order for Public Reproval. I approve its form and content. 24 DATED: 2/18/2016 25 26 Attorney for Respondents 27

28

## **ENDORSEMENT** The foregoing Stipulated Settlement and Disciplinary Order for Public Reproval is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs. Respectfully submitted, KAMALA D. HARRIS Attorney General of California GREGORY J. SALUTE Supervising Deputy Attorney General DESIREE I. KELLOGG Deputy Attorney General Attorneys for Complainant SD2014707918 81263602.doc `17

Exhibit A

Accusation No. 5299

1	Kamala D. Harris			
2	Attorney General of California GREGORY J. SALUTE			
3	Supervising Deputy Attorney General DESIREE I. KELLOGG			
4	Deputy Attorney General State Bar No. 126461			
5 (	110 West "A" Street, Suite 1100 San Diego, CA 92101			
6	P.O. Box 85266 San Diego, CA 92186-5266			
7	Telephone: (619) 645-2996 Facsimile: (619) 645-2061			
8	Autorneys for Complainant			
9	BEFORE THE BOARD OF PHARMACY			
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA			
11	In the Matter of the Accusation Against: Case No. 5299			
12	CVS VANGUARD, INC., DBA			
13	CVS PHARMACY NO. 5837 7065 La Palma Avenue ACCUSATION			
14	Buena Park, CA 90620			
15	Pharmacy Permit No. PHY 46780			
.16	CHRISTOPHER TRI HO 5351 Tremaine Dr.			
17	Huntington Beach, CA 92659			
18	Pharmacist License No. RPH 55450			
19	Respondents.			
20				
21				
22	Complainant alleges:			
23	PARTIES			
24	1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity			
25	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs:			
26	2. On or about July 22, 2004, the Board of Pharmacy Issued Pharmacy Permit Number			
27	PHY 46780 to CVS Pharmacy No. 5837 (Respondent CVS). The Pharmacy Permit was in full			
28				
	1 Accusation			

force and effect at all times relevant to the charges brought herein and will expire on June 1, 2015, unless renewed.

3. On or about June 23, 2004, the Board of Pharmacy issued Pharmacist License Number RPH 55450 to Christopher Tri Ho (Ho). The Pharmacist License was in full force and effect at all times relevant to the charges brought herein and will expire on October 31, 2015, unless renewed.

#### JURISDICTION

- 4. This Accusation is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 5. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].
- 6. Section 4300(a) of the Code provides that every license issued by the Board may be suspended or revoked.
  - 7. Section 4300.1 of the Code states:

The expiration, cancellation, forfeiture, or suspension of a board-issued license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

8. Section 4301 of the Code states in pertinent part:

The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

(c) Gross negligence.

9.

"Intern pharmacist" means a person issued a license pursuant to Section 4208 [intern pharmacist license].

10. Section 4113(e) of the Code states:

Section 4030 of the Code states:

The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy.

11. Section 4114(a) of the Code states:

An intern pharmacist may perform all functions of a pharmacist at the discretion of and under the direct supervision and control of a pharmacist whose license is in good standing with the board.

12. Section 4208(a) of the Code states in pertinent part that:

At the discretion of the board, an intern pharmacist license may be issued...

## COST RECOVERY

13. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

## FACTUAL ALLEGATIONS

- 14. From February 1, 2006 through the present, Respondent Ho was the Pharmacist-in-Charge of Respondent CVS.
- 15. On or about January 16, 2007, the Board received an application for a registration as an intern pharmacist from Allison V. Dinh but never issued that license to her.
- 16. On or about July 9, 2009, the Board received in connection with an application for a pharmacist licensure and examination for Allison V. Dinh, a Pharmacy Intern Hours Affidavit executed by the then Pharmacist Supervisor for Respondent CVS, Peter Patel on or about June 27, 2008. That Pharmacist Supervisor certified that Ms. Dinh was employed or volunteered as an intern pharmacist under the supervision of a registered pharmacist from July 16, 2007 through December 15, 2007 for a total of 510 hours at Respondent CVS.

- 17. On or about December 28, 2010, the Board requested that Respondent Ho verify Ms. Dinh's employment history with Respondent CVS. On or about January 27, 2011, the Pharmacy Supervisor for Respondent CVS, Lina Semaan represented to the Board that Ms. Dinh had performed the duties of an intern pharmacist while employed at Respondent CVS from July 21, 2007 through December 15, 2007. However, on or about January 26, 2011, Ho represented that Ms. Dinh never interned at Respondent CVS.
- 18. On May 22, 2013, Respondents dispensed and furnished a used BD Sharps container RX 511451 to minor patient S.M. which was filled with used syringes.

## FIRST CAUSE FOR DISCIPLINE

## (Permitting the Unlicensed Practice of an Intern Pharmacist)

19. Respondents CVS and Ho are subject to disciplinary action under Code section 4301(o), for violating Business and Professions Code section 4110(a), in that they permitted an individual to perform the functions of an intern pharmacist without being licensed by the Board, as set forth in paragraphs 14 through 18 above, which are incorporated herein by reference.

## SECOND CAUSE FOR DISCIPLINE

## (Gross Negligence)

20. Respondents CVS and Ho are subject to disciplinary action under Code section 4301(c), in that they committed gross negligence when they dispensed and furnished a used BD Sharps container which was filled with used syringes to minor patient S.M., as set forth in paragraphs 14 through 18, which are incorporated herein by reference.

# THIRD CAUSE FOR DISCIPLINE

## (Unprofessional Conduct)

21. Respondents CVS and Ho are subject to disciplinary action under Code section 4301 for unprofessional conduct in that they engaged in the activities described in paragraphs 14 through 18 above, which are incorporated herein by reference.

#### DISCIPLINARY CONSIDERATIONS

22. To determine the degree of discipline, if any, to be imposed on Respondents, Complainant alleges that:

- a. On September 2, 2011, the Board issued Citation number CI 2011 49363 against Ho for violating California Code of Regulations, title 16, section 1761 for dispensing an erroneous prescription without contacting the prescriber for clarification. The Board issued a fine which Respondent Christopher Ho paid.
- b. On September 2, 2011, the Board issued Citation number CI 2009 44414 against Respondent CVS Pharmacy No. 5837 for violating California Code of Regulations, title 16, section 1761 for dispensing an erroneous prescription without contacting the prescriber for clarification. The Board issued a fine which Respondent CVS Pharmacy No. 5837 paid.

#### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

- Revoking or suspending Pharmacy Permit Number PHY 46780, issued to CVS Pharmacy Vanguard, Inc., doing business as CVS Pharmacy No. 5837;
- Revoking or suspending Pharmacist License Number RPH 55450, issued to Christopher Tri Ho;
- Ordering CVS Pharmacy Vanguard, Inc., doing business as CVS Pharmacy No. 5837 and Christopher Tri Ho to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;
  - Taking such other and further action as deemed necessary and proper.

Executive Officer

Board of Pharmacy

Department of Consumer Affairs

State of California

Complainant

SD2014707918/71003262.doc

28

# Exhibit B

Letter of Public Reproval in Case No. 5299 to CVS Pharmacy No. 5837

Date:	

Christopher Tri Ho CVS Vanguard, Inc., dba CVS Pharmacy No. 5837 7065 La Palma Avenue Buena Park, CA 90620

#### Re: LETTER OF PUBLIC REPROVAL

In the Matter of the Accusation Against: CVS Pharmacy No. 5837, et al., Pharmacy Permit No. PHY 46780

Dear Mr. Ho:

On August 13, 2015, the Board of Pharmacy, Department of Consumer Affairs, State of California, filed an Accusation against CVS Vanguard, Inc., doing business as CVS Pharmacy No. 5837's Pharmacy Permit. The Accusation alleged that CVS Vanguard, Inc. doing business as CVS Pharmacy No. 5837 engaged in unprofessional conduct as follows:

- (1) When it permitted an individual to perform the functions of an intern pharmacist without being licensed by the Board in violation of Business and Professions Code section 4110(a).
- (2) When it committed gross negligence by dispensing and furnishing a used sharps disposal container which was filled with used syringes to a minor patient in violation of Business and Professions Code section 4301(c).
- (3) When it engaged in the activities set forth above in violation of Business and Professions Code section 4301.

Accordingly, in resolution of this matter under the authority provided under Business and Professions Code section 495, the Board of Pharmacy, Department of Consumer Affairs issues this letter of public reproval.

Sincerely,

VIRGINIA HEROLD

Executive Officer
Board of Pharmacy
Department of Consumer Affairs

# Exhibit C

Letter of Public Reproval in Case No. 5299 to Christopher Ho

Christopher Tri Ho	

5351 Tremaine Dr. Huntington Beach, CA 92659

Re: LETTER OF PUBLIC REPROVAL

In the Matter of the Accusation Against: Christopher Tri Ho, et al., Pharmacist License No. RPH 55450

Dear Mr. Ho:

Date:

On August 13, 2015, the Board of Pharmacy, Department of Consumer Affairs, State of California, filed an Accusation against your Pharmacist License. The Accusation alleged that you engaged in unprofessional conduct as follows:

- (1) When you permitted an individual to perform the functions of an intern pharmacist without being licensed by the Board in violation of Business and Professions Code section 4110(a).
- (2) When you committed gross negligence by dispensing and furnishing a used sharps disposal container which was filled with used syringes to a minor patient in violation of Business and Professions Code section 4301(c).
- (3) When you engaged in the activities set forth above in violation of Business and Professions Code section 4301.

Accordingly, in resolution of this matter under the authority provided under Business and Professions Code section 495, the Board of Pharmacy, Department of Consumer Affairs issues this letter of public reproval.

Sincerely,

VIRGINIA HEROLD

Executive Officer
Board of Pharmacy
Department of Consumer Affairs