BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 4860

THOMAS MCGOWAN, DBA JTM INFUSION 12225 World Trade Drive, Suite G San Diego, CA 92128

STIPULATED SURRENDER OF LICENSE AND ORDER AS TO JTM INFUSION

Pharmacy Permit No. PHY 43622

and

JOHN ROSSI 2858 Loker Ave East Carlsbad, CA 92010

Pharmacist License No. RPH 33107

Respondents.

DECISION AND ORDER

The attached Stipulated Surrender of License and Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on June 4, 2015.

It is so ORDERED on May 28, 2015.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Ву

STAN C. WEISSER, Board President

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1	KAMALA D. HARRIS		
2	Attorney General of California MARC D. GREENBAUM		
3	Supervising Deputy Attorney General MORGAN MALEK	.4	
4	Deputy Attorney General State Bar No. 223382		
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013		
6	Telephone: (213) 897-2643 Facsimile: (213) 897-2804		
7	Attorneys for Complainant		
8	BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
9			
10			
11	In the Matter of the Accusation Against:	Case No. 4860	
12	THOMAS MCGOWAN, DBA JTM INFUSION		
13	12225 World Trade Drive, Suite G	STIPULATED SURRENDER OF LICENSE AND ORDER AS TO JTM	
14	San Diego, CA 92128	INFUSION	
15	Pharmacy Permit No. PHY 43622		
	and		
16	JOHN ROSSI 2858 Loker Ave East		
17	Carlsbad, CA 92010		
18	Pharmacist License No. RPH 33107		
19	Respondents.		
20	The state of the s	.	
21			
22 .	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above		
23	entitled proceedings that the following matters are true:		
24	PARTIES		
25	1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.		
26	She brought this action solely in her official capacity and is represented in this matter by Kamala		
27	D. Harris, Attorney General of the State of California, by Morgan Malek, Deputy Attorney		
28	General.		
	• .	1	

- 2. JTM Infusion (Respondent) is represented in this proceeding by attorney Earll M. Pott, whose address is:
 KLINEDINST PC
 501 W. Broadway, Suite 600
 San Diego, CA 92101
- 3. On or about October 20, 1998, the Board of Pharmacy issued Pharmacy Permit No. PHY 43622 to JTM Infusion (Respondent). The Pharmacy Permit was in full force and effect at all times relevant to the charges brought in Accusation No. 4860 and will expire on October 1, 2013, unless renewed.

JURISDICTION

4. Accusation No. 4860 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on February 27, 2014. Respondent timely filed its Notice of Defense contesting the Accusation. A copy of Accusation No. 4860 is attached as Exhibit A and incorporated by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 4860. Respondent also has carefully read, fully discussed with counsel, and understands the effects of this Stipulated Surrender of License and Order.
- 6. Respondent is fully aware of its legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel, at its own expense; the right to confront and cross-examine the witnesses against them; the right to present evidence and to testify on its own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 8. Respondent admits the truth of each and every charge and allegation in Accusation No. 4860, agrees that cause exists for discipline and hereby surrenders their Pharmacy Permit No. PHY 43622 for the Board's formal acceptance.
- 9. Respondent understands that by signing this stipulation Entity enables the Board to issue an order accepting the surrender of their Pharmacy Permit without further process,

CONTINGENCY

- 10. This stipulation shall be subject to approval by the Board of Pharmacy, Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent or its counsel. By signing the stipulation, Respondent understands and agrees that they may not withdraw its agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.
- 12. This Stipulated Surrender of License and Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Surrender of License and Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

ORDER

IT IS HEREBY ORDERED that Respondent owner surrenders Pharmacy Permit No. PHY 43622, issued to Respondent JTM Infusion as of the effective date of this decision. Respondent owner shall relinquish the premises wall license and renewal license to the board within ten (10) days of the effective date of this decision.

The surrender of respondent's license and the acceptance of the surrendered license by the board shall constitute the imposition of discipline against respondent. This decision constitutes a record of discipline and shall become a part of respondent's license history with the board.

Respondent owner shall, within ten (10) days of the effective date, arrange for the destruction of, the transfer to, sale of or storage in a facility licensed by the board of all controlled substances and dangerous drugs and devices. Respondent owner shall further provide written proof of such disposition and submit a completed Discontinuance of Business form according to board guidelines.

Respondent owner shall also, by the effective date of this decision, arrange for the continuation of care for ongoing patients of the pharmacy by, at minimum, providing a written notice to ongoing patients that specifies the anticipated closing date of the pharmacy and that identifies one or more area pharmacies capable of taking up the patients' care, and by cooperating as may be necessary in the transfer of records or prescriptions for ongoing patients. Within five days of its provision to the pharmacy's ongoing patients, Respondent owner shall provide a copy of the written notice to the board. For the purposes of this provision, "ongoing patients" means those patients for whom the pharmacy has on file a prescription with one or more refills outstanding, or for whom the pharmacy has filled a prescription within the preceding sixty (60) days.

Respondent owner understands and agrees that if he or she ever files an application for a licensed premises or a petition for reinstatement in the State of California, the board shall treat it as a new application for licensure.

Respondent owner may not reapply for any license from the board for three (3) years from the effective date of this decision. Respondent owner stipulates that should he or she apply for any license from the board on or after the effective date of this decision, all allegations set forth in the [accusation or petition to revoke probation] shall be deemed to be true, correct and admitted by respondent when the board determines whether to grant or deny the application. Respondent shall satisfy all requirements applicable to that license as of the date the application is submitted to the board. Respondent is required to report this surrender as disciplinary action.

Respondent owner further stipulates that he or she shall reimburse the board for its costs of investigation and prosecution in the amount of \$7,234.40 within thirty (30) days of the effective date of this decision.

It is understood by respondent owner that, in deciding whether to adopt this stipulation, the board may receive oral and written communication from its staff and the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the board or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the board, the stipulation will not become effective and may not be used for any purpose, except this paragraph, which shall remain in effect.

ACCEPTANCE

I have carefully read the above Stipulated Surrender of License and Order and have fully discussed it with my attorney, Earll M. Pott. I understand the stipulation and the effect it will have on my Pharmacy Permit. I enter into this Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 4-29-15

JTM INFUSION

Respondent

1	I have read and fully discussed wi	th Respondent JTM Infusion the terms and conditions
2	and other matters contained in this Stipule	ated Surrender of License and Order. I approve its form
3	and content,	
4	DATED: 4/28/2015	face of A
5	. /	EARLL M, POTT Attorney for Persondent ITM INITIALISION
6		Attorney for Respondent JTM INFUSION
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ENDORSEMENT . 1 The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs. Dated: Respectfully submitted, KAMALA D. HARRIS Attorney General of California MARC D. GREENBAUM Supervising Deputy Attorney General Deputy Attorney General Attorneys for Complainant SD2013705615 51729582,doc

Exhibit A

Accusation No. 4860

1	KAMALA D. HARRIS		
2	Attorney General of California LINDA K. SCHNEIDER		
3	Supervising Deputy Attorney General DESIREE I. KELLOGG	•	
	Deputy Attorney General		
4	State Bar No. 126461 110 West "A" Street, Suite 1100		
5	San Diego, CA 92101 P.O. Box 85266		
6	San Diego, CA 92186-5266		
7	Telephone: (619) 645-2996 Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9	BEFORE THE BOARD OF PHARMACY		
	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10	STATE OF C	CALIFORNIA	
11	In the Matter of the Accusation Against:	Case No. 4860	
12	THOMAS MCGOWAN,		
13	DBA JTM INFUSION	ACCUSATION	
14	12225 World Trade Drive, Suite G San Diego, CA 92128	ACCUSATION	
15	Pharmacy Permit No. PHY 43622		
16	and		
17	JOHN ROSSI		
18	2858 Loker Ave East Carlsbad, CA 92010		
19	Pharmacist License No. RPH 33107		
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20	Respondents,	•	
21			
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23	Complainant alleges:		
24	PARTIES		
25	1, Virginia Herold (Complainant) brings this Accusation solely in her official capacity		
26	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.		
27	2. On or about October 20, 1998, the Board of Pharmacy issued Pharmacy Permit		
28	Number PHY 43622 to Thomas McGowan, doing business as JTM Infusion. John Rossi has		
	1		
		Accusation	

been the Pharmacist-in-Charge since October 20, 1998. The Pharmacy Permit was in full force and effect at all times relevant to the charges brought herein and will expire on October 1, 2013, unless renewed.

3. On or about August 14, 1979, the Board of Pharmacy issued Pharmacist License Number RPH 33107 to John Rossi. The Pharmacist License was in full force and effect at all times relevant to the charges brought herein and will expire on May 31, 2015, unless renewed.

JURISDICTION

- 4. This Accusation is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 5. Section 4011 of the Code provides that the Board shall administer and enforce both the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances Act [Health & Safety Code, § 11000 et seq.].
- 6. Section 4300(a) of the Code provides that every license issued by the Board may be suspended or revoked.
 - 7. Section 4300.1 of the Code states:

The expiration, cancellation, forfeiture, or suspension of a board-issued license by operation of law or by order or decision of the board or a court of law, the placement of a license on a retired status, or the voluntary surrender of a license by a licensee shall not deprive the board of jurisdiction to commence or proceed with any investigation of, or action or disciplinary proceeding against, the licensee or to render a decision suspending or revoking the license.

8. Section 4307(a) of the Code states that:

Any person who has been denied a license or whose license has been revoked or is under suspension, or who has failed to renew his or her license while it was under suspension, or who has been a manager, administrator, owner member, officer, director, associate, or partner of any partnership, corporation, firm, or association whose application for a license has been denied or revoked, is under suspension or has been placed on probation, and while acting as the manger, administrator, owner, member, officer, director, associate, or partner had knowledge or knowingly participated in any conduct for which the license was denied, revoked, suspended, or placed on probation, shall be prohibited from serving as a manger, administrator, owner, member, officer, director, associate, or partner of a licensee as follows:

(1) Where a probationary license is issued or where an existing license is placed on probation, this prohibition shall remain in effect for a period not to exceed five years.

(2) Where the license is denied or revoked, the prohibition shall continue until the license is issued or reinstated.

STATUTORY PROVISIONS

9. Section 4022 of the Code states:

Dangerous drug" or "dangerous device" means any drug or device unsafe for self-use in humans or animals, and includes the following:

- (a) Any drug that bears the legend: "Caution: federal law prohibits dispensing without prescription," "Rx only," or words of similar import.
- (b) Any device that bears the statement: "Caution: federal law restricts this device to sale by or on the order of a _____," "Rx only," or words of similar import, the blank to be filled in with the designation of the practitioner licensed to use or order use of the device.
- (c) Any other drug or device that by federal or state law can be lawfully dispensed only on prescription or furnished pursuant to Section 4006.
- 10. Section 4043 of the Code, in pertinent part, defines "wholesaler" to mean and include "a person who acts as a wholesale merchant, broker, jobber, customs broker, reverse distributor, agent, or a nonresident wholesaler, who sells for resale, or negotiates for distribution, or takes possession of, any drug or device included in Section 4022."

11. Section 4059.5(a) states:

Except as otherwise provided in this chapter, dangerous drugs or dangerous devices may only be ordered by an entity licensed by the board and shall be delivered to the licensed premises and signed for and received by a pharmacist. Where a licensee is permitted to operate through a designated representative, the designated representative shall sign for and receive the delivery.

12. Section 4081 of the Code states:

(a) All records of manufacture and of sale, acquisition, or disposition of dangerous drugs or dangerous devices shall be at all times during business hours open to inspection by authorized officers of the law, and shall be preserved for at least three years from the date of making. A current inventory shall be kept by every manufacturer, wholesaler, pharmacy, veterinary food-animal drug retailer, physician, dentist, podiatrist, veterinarian, laboratory, clinic, hospital, institution, or establishment holding a currently valid and unrevoked certificate, license, permit, registration, or exemption under Division 2 (commencing with Section 1200) of the Health and Safety Code or under Part 4 (commencing with Section 16000) of Division 9 of the Welfare and Institutions Code who maintains a stock of dangerous drugs or dangerous devices.

- (b) The owner, officer, and partner of any pharmacy, wholesaler, or veterinary food-animal drug retailer shall be jointly responsible, with the pharmacist-in-charge or representative-in-charge, for maintaining the records and inventory described in this section.
- 13. Section 4105, subdivision (a) of the Code states: "All records or other documentation of the acquisition and disposition of dangerous drugs and dangerous devices by any entity licensed by the board shall be retained on the licensed premises in a readily retrievable form" and section 4105, subdivision (c) of the Code states: "The records required by this section shall be retained on the licensed premises for a period of three years from the date of making."
 - 14. Section 4110(a) of the Code states:

No person shall conduct a pharmacy in the State of California unless he or she has obtained a license from the board. A license shall be required for each pharmacy owned or operated by a specific person. A separate license shall be required for each of the premises of any person operating a pharmacy in more than one location. The license shall be renewed annually. The board may, by regulation, determine the circumstances under which a license may be transferred.

- 15. Section 4113(c) of the Code states:
- (c) The pharmacist-in-charge shall be responsible for a pharmacy's compliance with all state and federal laws and regulations pertaining to the practice of pharmacy.
- 16. Section 4126.5(a), of the Code states:
 - (a) A pharmacy may furnish dangerous drugs only to the following:
- (1) A wholesaler owned or under common control by the wholesaler from whom the dangerous drug was acquired.
- (2) The pharmaceutical manufacturer from whom the dangerous drug was acquired.
 - (3) A licensed wholesaler acting as a reverse distributor.
- (4) Another pharmacy or wholesaler to alleviate a temporary shortage of a dangerous drug that could result in the denial of health care. A pharmacy furnishing dangerous drugs pursuant to this paragraph may only furnish a quantity sufficient to alleviate the temporary shortage.
- (5) A patient or to another pharmacy pursuant to a prescription or as otherwise authorized by law.
- (6) A health care provider that is not a pharmacy but that is authorized to purchase dangerous drugs.

- (7) To another pharmacy under common control.
- 17. Section 4160 of the Code provides, in pertinent part, that a wholesaler license shall be required for a person or entity to act as a wholesaler, that every wholesaler shall be supervised or managed by a Designated Representative in Charge, and that the Designated Representative in Charge shall be responsible for the wholesaler's compliance with state and federal laws.
 - 18. Section 4169 of the Code states in pertinent part:
 - (a) A person or entity may not do any of the following:
 - (1) Purchase, trade, sell, or transfer dangerous drugs or dangerous devices at wholesale with a person or entity that is not licensed with the board as a wholesaler or pharmacy.
 - (5) Fail to maintain records of the acquisition or disposition of dangerous drugs or dangerous devices for at least three years.
 - 19. Section 4301 of the Code states in pertinent part:

The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

- (g) Knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts.
- (o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.
- 20. Section 4312(b) of the Code states that:

In the event that the license of a wholesaler, pharmacy, or veterinary food-

animal drug retailer is cancelled pursuant to subdivision (a) or revoked pursuant to Article 19 (commencing with Section 4300), or a wholesaler, pharmacy, or veterinary food-animal drug retailer notifies the board of its intent to remain closed or to discontinue business, the licensee shall, within 10 days thereafter, arrange for the transfer of all dangerous drugs and controlled substances or dangerous devices to another licensee authorized to possess the dangerous drugs and controlled substances or dangerous devices. The licensee transferring the dangerous drugs and controlled substances or dangerous devices shall immediately confirm in writing to the board that the transfer has taken place.

21. Section 4328 of the Code states that:

Except as otherwise provided in this chapter, any person who permits the compounding or dispensing of prescriptions, or the furnishing of dangerous drugs in his or her pharmacy, except by a pharmacist, is guilty of a misdemeanor.

REGULATORY PROVISIONS

- 22. California Code of Regulations, title 16, section 1709.1(a) states that "[t]he pharmacist-in-charge of a pharmacy shall be employed at that location and shall have responsibility for the daily operation of the pharmacy."
- 23. California Code of Regulations, title 16, section 1718 states in pertinent part that "Current Inventory' as used in Sections 4081 and 4332 of the Business and Professions Code shall be considered to include complete accountability for all dangerous drugs handled by every licensee enumerated in Sections 4081 and 4332."
- 24. California Code of Regulations, title 16, section 1783, subdivision (d) provides, in pertinent part, that a manufacturer or wholesaler shall not accept payment for, or allow the use of an entity's credit to establish an account for, the purchase of dangerous drugs or devices from any person other than the owner(s) or executive officer(s) listed on the permit, on a permit's account.

COST RECOVERY

25. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

FACTUAL ALLEGATIONS

- 26. Since October 20, 1998 and at all times referenced herein, Respondent John Rossi (Respondent Rossi) was the Pharmacist-in-Charge (PIC) of Respondent Thomas McGowan, doing Business as JTM Infusion (Respondent JTM Infusion). On January 7, 2011, Respondent Rossi completed, initialed and executed a self-assessment form representing Respondent JTM Infusion's compliance with federal and state pharmacy laws. In response to the question, "[d]angerous drugs and Dangerous devices are only delivered to the licensed premise, and signed for and received by a pharmacist," Respondent Rossi answered "N/A." In response to the question, "[t]he pharmacy has a PIC that is responsible for the daily operation of the pharmacy," Respondent Rossi answered "[y]es." In response to the question "[t]his pharmacy furnishes dangerous drugs in compliance with B&PC 4126.5...," Respondent Rossi answered "N/A." In response to the question, "[a]ll drug acquisition and disposition records (complete accountability) are maintained for at least three years...," Respondent Rossi answered "[y]es."
- 27. Respondent Thomas McGowan, doing business as JTM Infusion (Respondent JTM Infusion) is the same individual who owned McGowan Enterprises, doing business as McGowan Enterprises, Inc./Acute Care Pharmaceuticals (McGowan Enterprises), a person licensed as a wholesaler with the Board.
- 28. In or about November 2011, Respondent JTM Infusion moved its premises from Suite G to Suite C at the same street address without notifying the Board. Its premises were located within Respondent McGowan Enterprises and were approximately the size of a closet. There was no separate ingress or egress to its premises. Respondent JTM Infusion had no pharmacy staff other than Respondent Rossi who was rarely present at Respondent JTM Infusion's premises. Respondent Rossi, the PIC, did not possess a key to the premises of Respondent JTM Infusion.
- 29. Dangerous drug orders were signed for and received by unlicensed warehouse workers, a licensed pharmacy technician, marketing staff or a designated representative for McGowan Enterprises at McGowan Enterprises, without a pharmacist present to accept them.

 Respondent JTM Infusion was not open for business at least one day per week and the last time

Respondent JTM Infusion filled a patient specific prescription was prior to 2000. No inventory of drugs was held by Respondent JTM Infusion.

- 30. McGowan Enterprises ordered dangerous drugs using Respondent JTM Infusion's Pharmacy License and re-sold the drugs "purchased" or furnished by Respondent JTM Infusion without Respondent Rossi's knowledge. McGowan Enterprises never paid Respondent JTM Infusion for the dangerous drugs that it "purchased" or obtained from Respondent JTM Infusion. Respondents did not maintain the records of disposition for dangerous drugs "sold" or furnished to McGowan Enterprises. McGowan Enterprises maintained the pedigrees involved in the drug transactions.
- 31. Respondents allowed McGowan Enterprises to use accounts with their primary wholesalers to purchase dangerous drugs for McGowan Enterprises and then "sold" or furnished those drugs to McGowan Enterprises for at least three years. For example, McGowan Enterprises "purchased" or obtained from Respondent JTM Infusion 2,408 dangerous drugs in 466 transactions during the period from March 9, 2010 through September 20, 2012.

FIRST CAUSE FOR DISCIPLINE

(Failure to Keep Records of Disposition Open for Inspection and to Keep Current Inventory)

32. Respondents are subject to disciplinary action under Code sections 4301(o), for violating Code section 4081(a) in that they failed to keep records of the disposition of dangerous drugs open for inspection or keep a current inventory as defined by California Code of Regulations, title 16, section 1718 for the dangerous drugs it "sold" or furnished to McGowan Enterprises from at least September 19, 2009 through September 20, 2012, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

¹ Pedigree documents record the distribution route a drug has traveled since it left the manufacturer.

SECOND CAUSE FOR DISCIPLINE

(Failure to Retain Records of Dangerous Drugs on Licensed Premises)

33. Respondents are subject to disciplinary action under Code section 4301(o), for violating Code sections 4105(a) and (c), in that Respondents failed to retain records for the dangerous drugs "sold" or furnished to McGowan Enterprises from at least September 19, 2009 through September 20, 2012 on its licensed premises, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

THIRD CAUSE FOR DISCIPLINE

(Failure to Maintain Records of Disposition of Dangerous Drugs)

34. Respondents are subject to disciplinary action under Code section 4301(o), for violating Code section 4169(a)(5), in that Respondents failed to maintain records for the dangerous drugs "sold" or furnished to McGowan Enterprises from at least September 19, 2009 through September 20, 2012, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

FOURTH CAUSE FOR DISCIPLINE

(Functioning as an Unlicensed Wholesalers)

35. Respondents are subject to disciplinary action under Code section 4301(o), for violating section 4160 of the Code, in that Respondents "sold" or furnished dangerous drugs to McGowan Enterprises and by so doing acted as an unlicensed wholesaler, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

FIFTH CAUSE FOR DISCIPLINE

(Selling Dangerous Drugs Under Unauthorized Conditions)

36. Respondents are subject to disciplinary action under Code section 4301(o), for violating section 4126.5(a) of the Code, by "selling" or furnishing dangerous drugs to McGowan Enterprises under unauthorized conditions, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

SIXTH CAUSE FOR DISCIPLINE

(Unauthorized Use of Credit for Purchase of Drugs)

37. Respondents are subject to disciplinary action under Code section 4301(o), for violating and/or attempting to violate, directly or indirectly, assisted in or abetted the violation of, or conspired to violate, the laws governing pharmacy, when Respondents solicited, encouraged, assisted in, abetted, or conspired toward, transactions violating California Code of Regulations, title 16, section 1783(d), by allowing McGowan Enterprises to purchase dangerous drugs from Respondents' primary wholesalers on Respondent's accounts with those primary wholesalers, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

SEVENTH CAUSE FOR DISCIPLINE

(Failure to Obtain Separate License for More than One Location)

38. Respondents are subject to disciplinary action under Code section 4301(o), for violating section 4110(a) of the Code in that Respondents did not obtain a separate license for operating a pharmacy at Suite C as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

EIGHTH CAUSE FOR DISCIPLINE

(Failure to File Notice of Discontinuance of Business)

39. Respondents are subject to disciplinary action under Code section 4301(o), for violating section 4312(b) of the Code in that Respondents failed to file a notice of discontinuance of business when they ceased daily operations as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

NINTH CAUSE FOR DISCIPLINE

(Unauthorized Receipt of Dangerous Drugs)

40. Respondents are subject to disciplinary action under Code section 4301(o), for violating section 4059.5(a) of the Code in that dangerous drugs were delivered to Respondents without being delivered to the licensed premises and signed for and received by a pharmacist as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

(Failure to Supervise)

TENTH CAUSE FOR DISCIPLINE

41. Respondent Rossi is subject to disciplinary action under Code sections 4301(o), for violating section 4113(c) of the Code and California Code of Regulations, section 1709.1(a) when he failed to supervise or be responsible for JTM's sale or furnishing of dangerous drugs to McGowan Enterprises, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

ELEVENTH CAUSE FOR DISCIPLINE

(Knowingly Making or Signing Document Falsely Representing Existence or Nonexistence of Facts)

42. Respondents are subject to disciplinary action under Code section 4301(g), for making or signing a self-assessment form that falsely represented the existence or nonexistence of facts, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

TWELFTH CAUSE FOR DISCIPLINE

(Permitting Furnishing of Dangerous Drugs Without Pharmacist)

43. Respondents are subject to disciplinary action under Code section 4301(o), for violating Business and Professions Code section 4328 when they permitted the furnishing of dangerous drugs in their pharmacy without a pharmacist, as set forth in paragraphs 26 through 31, which are incorporated herein by reference.

THIRTEENTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct)

44. Respondents are each and severally subject to discipline under section 4301 of the Code, in that the acts described in paragraphs 26 through 31 constitute unprofessional conduct.

OTHER MATTERS

45. Pursuant to Code section 4307, if discipline is imposed on Pharmacy Permit
Number PHY 43622, issued to Thomas McGowan, doing business as JTM Infusion, Thomas
McGowan shall be prohibited from serving as a manager, administrator, owner, member, officer,
director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY

43622 is placed on probation or until Pharmacy Permit Number PHY 43622 is reinstated if it is revoked.

46. Pursuant to Code section 4307, if discipline is imposed on Pharmacist License Number RPH 33107, issued to John Rossi, John Rossi shall be prohibited from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacist License Number RPH 33107 is placed on probation or until Pharmacist License Number RPH 33107 is reinstated if it is revoked.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy Issue a decision:

- 1. Revoking or suspending Pharmacy Permit Number PHY 43622, issued to Thomas McGowan, doing business as JTM Infusion;
 - 2. Revoking or suspending Pharmacist License Number 33107, issued to John Rossi;
- 3. Prohibiting Thomas McGowan from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacy Permit Number PHY 43622 is placed on probation or until Pharmacy Permit Number PHY 43622 is reinstated if Pharmacy Permit Number PHY 43622 issued to Thomas McGowan, doing business as JTM Infusion is revoked;
- 4. Prohibiting John Rossi from serving as a manager, administrator, owner, member, officer, director, associate, or partner of a licensee for five years if Pharmacist License Number RPH 33107 is placed on probation or until Pharmacist License Number RPH 33107 is reinstated if Pharmacist License Number RPH 33107 issued to John Rossi is revoked;
- 5. Ordering Thomas McGowan, doing business as JTM Infusion and John Rossi to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;

1	6. Taking such other and further action as deemed necessary and proper.		
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3	DATED: 2/4/14 Duginian teesle		
4	VIRGINIA HEROLD		
5	Executive Officer Board of Pharmacy Department of Consumer Affairs State of California		
6	State of California Complainant		
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Accusation