

**BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA**

In the Matter of the Petition to Revoke Probation
Against:

Case No. 4545

ARTHUR HOWARD BERGER

14 Judith Drive
Greenlawn, NY 11740

Pharmacist License No. RPH 30997

Respondent.

DECISION AND ORDER

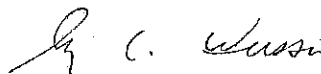
The attached Stipulated Surrender of License and Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on June 2, 2014.

It is so ORDERED on May 28, 2014.

BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

By



STAN C. WEISSER
Board President

1 KAMALA D. HARRIS
Attorney General of California
2 GREGORY J. SALUTE
Supervising Deputy Attorney General
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Attorneys for Complainant
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8 **BEFORE THE**
BOARD OF PHARMACY
9 **DEPARTMENT OF CONSUMER AFFAIRS**
10 **STATE OF CALIFORNIA**

11 In the Matter of the Petition to Revoke
12 Probation Against:

Case No. 4545

13 **ARTHUR HOWARD BERGER**
14 **14 Judith Drive**
15 **Greenlawn, NY 11740**

**STIPULATED SURRENDER OF
LICENSE AND ORDER**

16 **Pharmacist License No. RPH 30997**

17 Respondent.

18
19 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
20 entitled proceedings that the following matters are true:

21 **PARTIES**

- 22 1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.
23 She brought this action solely in her official capacity and is represented in this matter by Kamala
24 D. Harris, Attorney General of the State of California, by Heather Hua, Deputy Attorney General.
25 2. Arthur Howard Berger (Respondent) is representing himself in this proceeding and
26 has chosen not to exercise his right to be represented by counsel.
27
28

3. On or about July 29, 1977, the Board of Pharmacy issued Pharmacist License No. RPH 30997 to Arthur Howard Berger (Respondent). The Pharmacist License will expire on April 30, 2014, unless renewed.

JURISDICTION

4. Petition to Revoke Probation No. 4545 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Petition to Revoke Probation and all other statutorily required documents were properly served on Respondent on October 22, 2013. Respondent filed his Notice of Defense contesting the Petition to Revoke Probation. A copy of Petition to Revoke Probation No. 4545 is attached as Exhibit A and incorporated by reference.

ADVISEMENT AND WAIVERS

5. Respondent has carefully read, and understands the charges and allegations in Petition to Revoke Probation No. 4545. Respondent also has carefully read, and understands the effects of this Stipulated Surrender of License and Order.

6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Petition to Revoke Probation; the right to be represented by counsel, at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent admits the truth of each and every charge and allegation in Petition to Revoke Probation No. 4545, agrees that cause exists for discipline and hereby surrenders his Pharmacist License No. RPH 30997 for the Board's formal acceptance.

9. Respondent understands that by signing this stipulation he enables the Board to issue an order accepting the surrender of his Pharmacist License without further process,

CONTINGENCY

10. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and surrender, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

11. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Surrender of License and Order, including Portable Document Format (PDF) and facsimile signatures thereto, shall have the same force and effect as the originals.

12. This Stipulated Surrender of License and Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Surrender of License and Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Order:

ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 30997, issued to Respondent Arthur Howard Berger, is surrendered and accepted by the Board of Pharmacy.

1. The surrender of Respondent's Pharmacist License and the acceptance of the surrendered license by the Board shall constitute the imposition of discipline against Respondent.

1 This stipulation constitutes a record of the discipline and shall become a part of Respondent's
2 license history with the Board of Pharmacy.

3 2. Respondent may not apply, reapply, or petition for any licensure or registration to the
4 Board for three (3) years from the effective date of the Decision and Order.

5 3. Respondent shall lose all rights and privileges as a pharmacist in California as of the
6 effective date of the Board's Decision and Order.

7 4. Respondent shall cause to be delivered to the Board his pocket license and, if one was
8 issued, his wall certificate on or before the effective date of the Decision and Order.

9 5. If he ever applies for licensure or petitions for reinstatement in the State of California,
10 the Board shall treat it as a new application for licensure. Respondent must comply with all the
11 laws, regulations and procedures for licensure in effect at the time the application or petition is
12 filed, and all of the charges and allegations contained in Petition to Revoke Probation No. 4545
13 shall be deemed to be true, correct and admitted by Respondent when the Board determines
14 whether to grant or deny the application or petition.

15 6. Respondent shall pay the agency its costs of investigation and enforcement in the
16 amount of two thousand and fifty-seven dollars (\$2,057.00) prior to issuance of a new or
17 reinstated license.

18 7. If Respondent should ever apply or reapply for a new license or certification, or
19 petition for reinstatement of a license, by any other health care licensing agency in the State of
20 California, all of the charges and allegations contained in Petition to Revoke Probation, No. 4545
21 shall be deemed to be true, correct, and admitted by Respondent for the purpose of any Statement
22 of Issues or any other proceeding seeking to deny or restrict licensure.

23 ///

24 ///

25 ///

26 ///

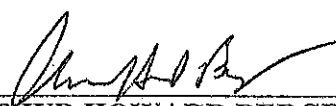
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28 ///

1 ACCEPTANCE

2 I have carefully read the Stipulated Surrender of License and Order. I understand the
3 stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated
4 Surrender of License and Order voluntarily, knowingly, and intelligently, and agree to be bound
5 by the Decision and Order of the Board of Pharmacy.

6
7
8
9 DATED: 12/31/2013


10 **ARTHUR HOWARD BERGER**
11 Respondent


12
13 ENDORSEMENT

14 The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted
15 for consideration by the Board of Pharmacy of the Department of Consumer Affairs.

16
17 Dated: January 24, 2014

Respectfully submitted,

18 KAMALA D. HARRIS
19 Attorney General of California
20 GREGORY J. SALUTE
21 Supervising Deputy Attorney General


22 HEATHER HUA
23 Deputy Attorney General
24 Attorneys for Complainant

25
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Exhibit A

Petition to Revoke Probation No. 4545

1 KAMALA D. HARRIS
Attorney General of California
2 GREGORY J. SALUTE
Supervising Deputy Attorney General
3 HEATHER HUA
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Attorneys for Complainant

7
8 **BEFORE THE**
BOARD OF PHARMACY
9 **DEPARTMENT OF CONSUMER AFFAIRS**
STATE OF CALIFORNIA

10 In the Matter of the Petition to Revoke Probation
11 Against:

Case No. 4545

12 **ARTHUR HOWARD BERGER**
13 **14 Judith Drive**
Greenlawn, NY 11740

PETITION TO REVOKE PROBATION

14 **Pharmacist License No. RPH 30997**

15 Respondent.

16
17 Complainant alleges:

18 **PARTIES**

19 1. Virginia Herold (Complainant) brings this Petition to Revoke Probation solely in her
20 official capacity as Executive Officer, Board of Pharmacy, Department of Consumer Affairs.

21 2. On or about July 29, 1977, the Board of Pharmacy issued Original Pharmacist
22 License Number RPH 30997 to Arthur Berger (Respondent). The license was in effect at all
23 times relevant to the charges brought herein and will expire on April 30, 2014, unless renewed.

24 3. In a disciplinary action titled *In the Matter of the Accusation Against Arthur Howard*
25 *Berger*, Case No. 3747, the Board issued a Stipulated Settlement and Disciplinary Order,
26 effective March 28, 2012, in which Respondent's California pharmacist license was revoked.
27 However, the revocation was stayed and Respondent's California pharmacist license was placed
28 on probation for five (5) years subject to terms and conditions as set forth in the Decision and

1 Order, including the requirements that Respondent shall report to the Board quarterly, shall
2 appear in person for interviews with the Board as scheduled, shall notify the board of any change
3 of address in a timely manner, shall undergo a medical evaluation, shall enroll in and participate
4 in the Board's Pharmacist Recovery Program (PRP), shall submit the name of a practitioner for
5 board approval to act as his prescription monitor and coordinator, shall commence community
6 service with a board-approved community service organization and shall enroll in an ethics
7 course. A copy of that decision is attached as Exhibit A and is incorporated by reference.

8 JURISDICTION

9 4. This Petition to Revoke Probation is brought before the Board of Pharmacy (Board),
10 Department of Consumer Affairs, under the authority of the following laws. All section
11 references are to the Business and Professions Code unless otherwise indicated.

12 5. Section 4011 of the Code provides that the Board shall administer and enforce both
13 the Pharmacy Law [Bus. & Prof. Code, § 4000 et seq.] and the Uniform Controlled Substances
14 Act [Health & Safety Code, § 11000 et seq.].

15 6. Section 4300(a) of the Code provides that every license issued by the Board may be
16 suspended or revoked.

17 FIRST CAUSE TO REVOKE PROBATION

18 (Failure to Report Quarterly to the Board)

19 7. At all times after the effective date of the Decision and Order imposing probation on
20 Respondent's license, Term and Condition Number 2 of that Order required in pertinent part:

21 **Report to the Board.** Respondent shall report to the Board quarterly, on a schedule
22 as directed by the board or its designee. The report shall be made either in person or
23 in writing, as directed. Among other requirements, respondent shall state in each
24 report under penalty of perjury whether there has been compliance with all the terms
and conditions of probation. Failure to submit timely reports in a form as directed
shall be considered a violation of probation.

25 8. Respondent's probation is subject to revocation as he failed to comply with Term and
26 Condition Number 2 of that probation, as referenced above. Respondent failed to submit timely
27 quarterly reports to the Board. No reports have been received by the Board since the start of
28 probation.

1 **SECOND CAUSE TO REVOKE PROBATION**

2 **(Failure to Appear for Interviews with the Board)**

3 9. At all times after the effective date of the Decision and Order imposing probation on
4 Respondent's license, Term and Condition Number 3 of that Order required:

5 **Interview with the Board.** Upon receipt of reasonable prior notice, respondent shall
6 appear in person for interviews with the board or its designee, at such intervals and
7 locations as are determined by the board or its designee. Failure to appear for any
8 scheduled interview without prior notification to board staff, or failure to appear at
9 two (2) or more scheduled interviews with the board or its designee during the
10 period of probation, shall be considered a violation of probation.

11 10. Respondent's probation is subject to revocation as he failed to comply with Term and
12 Condition Number 3 of that probation, as referenced above. Respondent failed to appear
13 for two (2) office conferences as scheduled on March 21, 2012 and June 22, 2012.

14 **THIRD CAUSE TO REVOKE PROBATION**

15 **(Failure to Notify the Board of a Change in Mailing Address)**

16 11. At all times after the effective date of the Decision and Order imposing probation on
17 Respondent's license, Term and Condition Number 12 of that Order required in pertinent part:

18 **Notification of a Change in Name, Residence Address, Mailing Address or**
19 **Employment.** Respondent shall notify the Board in writing within ten (10) days
20 of a change in name, residence address and mailing address, or phone number.

21 Failure to timely notify the Board of any change in employer(s), name(s),
22 address(es), or phone number(s) shall be considered a violation of probation.

23 12. Respondent's probation is subject to revocation as he failed to comply with Term
24 and Condition Number 12 of that probation, as referenced above. Respondent failed to notify the
25 Board until April 25, 2012 of his change of mailing address to New York. Respondent relocated
26 to New York prior to the start of probation, which is at least before March 28, 2012.

27 **FOURTH CAUSE TO REVOKE PROBATION**

28 **(Failure to Undergo a Medical Evaluation)**

13. At all times after the effective date of the Decision and Order imposing probation on
Respondent's license, Term and Condition Number 16 of that Order required in pertinent part:

1 **16. Medical Evaluation.** Within thirty (30) days of the effective date of this
2 decision, and on a periodic basis thereafter as may be required Respondent shall
3 undergo a medical evaluation, at Respondent's own expense, by a Board-appointed or
4 board-approved physician who shall furnish a medical report to the Board.

5 14. Respondent's probation is subject to revocation because he failed to comply with
6 Term and Condition Number 16 of that probation, as referenced above. Respondent failed to
7 submit documentation indicating the completion of a medical evaluation.

8 **FIFTH CAUSE TO REVOKE PROBATION**

9 **(Failure to Enroll in the Pharmacists Recovery Program)**

10 15. At all times after the effective date of the Decision and Order imposing probation on
11 Respondent's license, Term and Condition 17 of that Order required in pertinent part:

12 **Pharmacists Recovery Program (PRP).** Within thirty (30) days of the effective date
13 of this decision, respondent shall contact the Pharmacists Recovery Program (PRP) for
14 evaluation, and shall immediately thereafter enroll, successfully participate in, and
15 complete the treatment contract and any subsequent addendums as recommended and
16 provided by the PRP and as approved by the Board or its designee.

17 ...

18 Failure to timely contact or enroll in the PRP, or successfully participate in and
19 complete the treatment contract and/or any addendums, shall be considered a violation
20 of probation.

21 16. Respondent's probation is subject to revocation because he failed to comply with
22 Term and Condition Number 17 of that probation, as referenced above. Respondent failed to
23 enroll and participate in the random drug screening program as required.

24 **SIXTH CAUSE TO REVOKE PROBATION**

25 **(Failure to Establish a Prescription Coordinator/Monitor)**

26 17. At all times after the effective date of the Decision and Order imposing probation on
27 Respondent's license, Term and Condition Number 20 of that Order required in pertinent part:

28 **Prescription Coordination and Monitoring of Prescription Use.** Within thirty (30)
days of the effective date of this decision, respondent shall submit to the board, for its
prior approval, the name and qualifications of a single physician, nurse practitioner,
physician assistant, or psychiatrist of Respondent's choice, who shall be aware of the

respondent's history with the use of alcohol and who will coordinate and monitor any prescriptions for respondent for dangerous drugs, controlled substances or mood-altering drugs.

Failure to timely submit the selected practitioner or replacement practitioner to the board for approval, or to ensure the required reporting thereby on the quarterly reports, shall be considered a violation of probation.

18. Respondent's probation is subject to revocation as he failed to comply with Term and Condition Number 20 of that probation, as referenced above. Respondent has not established a practitioner to act as a prescription coordinator and monitor.

SEVENTH CAUSE TO REVOKE PROBATION

(Failure to Establish a Community Service Program)

19. At all times after the effective date of the Decision and Order imposing probation on Respondent's license, Term and Condition Number 21 of that Order required:

Community Services Program. Within sixty (60) days of the effective date of this decision, respondent shall submit to the board or its designee, for prior approval, a community service program in which Respondent shall provide free health-care related services on a regular basis to a community or charitable facility or agency for at least 100 hours within the first 3 years of probation. Within thirty (30) days of board approval thereof, Respondent shall submit documentation to the Board demonstrating commencement of the community service program. A record of this notification must be provided to the Board upon request. Respondent shall report on progress with the community service program in the quarterly reports. Failure to timely submit, commence, or comply with the program shall be considered a violation of probation.

20. Respondent's probation is subject to revocation as he failed to comply with Term and Condition Number 21 of that probation, as referenced above. To date, Respondent has not submitted the name of a community service program for approval by the Board to subsequently commence community service thereafter.

EIGHTH CAUSE TO REVOKE PROBATION

(Failure to Enroll in an Ethics Course)

21. At all times after the effective date of the Decision and Order imposing probation on Respondent's license, Term and Condition Number 23 of that Order required in pertinent part:

Ethics Course. Within sixty (60) calendar days of the effective date of this decision, Respondent shall enroll in a course in ethics, at Respondent's expense, approved in advance by the Board or its designee. Failure to initiate the course during the first

1 year of probation, and complete it within the second year of probation, is a violation
2 of probation.

3 22. Respondent's probation is subject to revocation as he failed to comply with Term and
4 Condition Number 23 of that probation, as referenced above. To date, Respondent has not
5 enrolled in a Board approved ethics course as required.

6 DISCIPLINARY CONSIDERATIONS

7 23. To determine the degree of discipline, if any, to be imposed on Respondent,
8 Complainant alleges that in a prior disciplinary action titled *In the Matter of the First Amended*
9 *Accusation Against R & W Pharmacy Services, Inc and Arthur Howard Berger*, in Case No. 2279
10 (OAH No. L2003110259), the Board of Pharmacy adopted the Decision and Order, effective
11 August 12, 2005, revoking Respondent's California pharmacist license. However, the revocation
12 was stayed and Respondent's California pharmacist license was placed on probation for three (3)
13 years subject to terms and conditions as set forth in the Decision and Order. The circumstances
14 are as follows:

15 a. Respondent's registration was subject to disciplinary action under sections
16 4301(g), 4301(j), 4081(a), 4081(b) and 4332 of the Code in conjunction with California Code of
17 Regulations, title 16, section 1718, for unprofessional conduct in that Respondent failed to
18 produce records of acquisition and disposition on June 16, 1999, during normal business hours
19 when demanded by a Board inspector.

20 b. Respondent's registration was subject to disciplinary action under sections 4301
21 and 4380 of the Code for unprofessional conduct in that Respondent violated the Federal
22 Prescription Drug Marketing Act.

23 c. Respondent's registration was subject to disciplinary action under section 4160
24 of the Code for unprofessional conduct in that Respondent conducted the act of wholesaling
25 without a license.

26 d. Respondent's registration was subject to disciplinary action under section
27 4301(f) and 4301(o) of the Code for unprofessional conduct in that Respondent violated the
28

1 provisions of the state and federal laws and regulations governing pharmacy as more fully set
2 forth in paragraph 23, subsections (a) through (c), above.

3 24. Respondent successfully completed probation effective August, 2008.

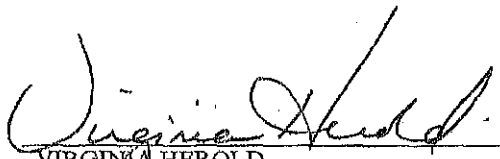
4 **PRAYER**

5 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
6 and that following the hearing, the Board of Pharmacy issue a decision:

7 1. Revoking the probation that was granted by the Board of Pharmacy in Case No. 3747
8 and imposing the disciplinary order that was stayed, thereby revoking Pharmacist License No.
9 RPH 30997, issued to Respondent Arthur Berger;

10 2. Taking such other and further action as is deemed necessary and proper.
11
12

13
14 DATED: 9/3/13


VIRGINIA HEROLD
Executive Officer
Board of Pharmacy
Department of Consumer Affairs
State of California
Complainant

BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Arthur Howard Berger
11220 Cashmere Street
Los Angeles, CA 90049

And

14 Judith Drive
Greenlawn, New York 11740

Pharmacist License No. RPH 30997

Respondent.

Case No. 3747

OAH No. L-2011060315

DECISION AND ORDER

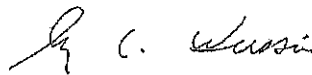
The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on March 28, 2012.

It is so ORDERED on February 27, 2012.

BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

By


STANLEY C. WEISSER
Board President

1 KAMALA D. HARRIS
Attorney General of California
2 GREGORY J. SALUTE
Supervising Deputy Attorney General
3 HEATHER HUA
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Attorneys for Complainant
7

8 BEFORE THE
BOARD OF PHARMACY
9 DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

10 In the Matter of the Accusation Against:

Case No. 3747

11 ARTHUR HOWARD BERGER
12 11220 Cashmere Street
Los Angeles, CA 90049

OAH No. L-2011060315

13 and

STIPULATED SETTLEMENT AND
DISCIPLINARY ORDER

14 14 Judith Drive
15 Greenlawn, New York 11740

16 Pharmacist License No. RPH 30997

17 Respondent.
18

19
20 IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-
21 entitled proceedings that the following matters are true:

22 PARTIES

- 23 1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.
24 She brought this action solely in her official capacity and is represented in this matter by Kamala
25 D. Harris, Attorney General of the State of California, by Heather Hua, Deputy Attorney General.
26 2. Respondent Arthur Howard Berger (Respondent) is representing himself in this
27 proceeding and has chosen not to exercise his right to be represented by counsel.
28

3. On or about July 29, 1977, the Board of Pharmacy issued Pharmacist License No. RPH 30997 to Respondent. The Pharmacist License was in full force and effect at all times relevant to the charges brought in Accusation No. 3747 and will expire on April 30, 2012, unless renewed.

JURISDICTION

4. Accusation No. 3747 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 17, 2011. Respondent timely filed his Notice of Defense contesting the Accusation.

A copy of Accusation No. 3747 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

5. Respondent has carefully read, and understands the charges and allegations in Accusation No. 3747. Respondent has also carefully read, and understands the effects of this Stipulated Settlement and Disciplinary Order.

6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.

7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

8. Respondent admits the truth of each and every charge and allegation in Accusation No. 3747.

9. Respondent agrees that his Pharmacist License is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

10. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

11. The parties understand and agree that facsimile copies of this Stipulated Settlement, and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.

12. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 30997 issued to Respondent is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1 1. Obey All Laws

2 Respondent shall obey all state and federal laws and regulations.

3 Respondent shall report any of the following occurrences to the Board, in writing, within
4 seventy-two (72) hours of such occurrence:

- 5 • an arrest or issuance of a criminal complaint for violation of any provision of the
6 Pharmacy Law, state and federal food and drug laws, or state and federal controlled
7 substances laws
- 8 • a plea of guilty or nolo contendere in any state or federal criminal proceeding to any
9 criminal complaint, information or indictment
- 10 • a conviction of any crime
- 11 • discipline, citation, or other administrative action filed by any state or federal agency
12 which involves Respondent's pharmacist license or which is related to the practice of
13 pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging
14 for any drug, device or controlled substance.

15 Failure to timely report such occurrence shall be considered a violation of probation.

16 2. Report to the Board

17 Respondent shall report to the Board quarterly, on a schedule as directed by the Board or its
18 designee. The report shall be made either in person or in writing, as directed. Among other
19 requirements, Respondent shall state in each report under penalty of perjury whether there has
20 been compliance with all the terms and conditions of probation. Failure to submit timely reports
21 in a form as directed shall be considered a violation of probation. Any period(s) of delinquency
22 in submission of reports as directed may be added to the total period of probation. Moreover, if
23 the final probation report is not made as directed, probation shall be automatically extended until
24 such time as the final report is made and accepted by the Board.

25 3. Interview with the Board

26 Upon receipt of reasonable prior notice, Respondent shall appear in person for interviews
27 with the Board or its designee, at such intervals and locations as are determined by the Board or
28 its designee. Failure to appear for any scheduled interview without prior notification to Board

1 staff, or failure to appear for two (2) or more scheduled interviews with the Board or its designee
2 during the period of probation, shall be considered a violation of probation.

3 4. **Cooperate with Board Staff**

4 Respondent shall cooperate with the Board's inspection program and with the Board's
5 monitoring and investigation of Respondent's compliance with the terms and conditions of his
6 probation. Failure to cooperate shall be considered a violation of probation.

7 5. **Continuing Education**

8 Respondent shall provide evidence of efforts to maintain skill and knowledge as a
9 pharmacist as directed by the Board or its designee.

10 6. **Notice to Employers**

11 During the period of probation, Respondent shall notify all present and prospective
12 employers of the decision in Accusation Case number 3747 and the terms, conditions and
13 restrictions imposed on Respondent by the decision, as follows:

14 Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of
15 Respondent undertaking any new employment, Respondent shall cause his direct supervisor,
16 pharmacist-in-charge (including each new pharmacist-in-charge employed during Respondent's
17 tenure of employment) and owner to report to the Board in writing acknowledging that the listed
18 individual(s) has/have read the decision in case number 3747, and terms and conditions imposed
19 thereby. It shall be Respondent's responsibility to ensure that his employer(s) and/or
20 supervisor(s) submit timely acknowledgment(s) to the Board.

21 If Respondent works for or is employed by or through a pharmacy employment service,
22 Respondent must notify his direct supervisor, pharmacist-in-charge, and owner at every entity
23 licensed by the Board of the terms and conditions of the decision in Accusation case number 3747
24 in advance of the Respondent commencing work at each licensed entity. A record of this
25 notification must be provided to the Board upon request.

26 Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen
27 (15) days of Respondent undertaking any new employment by or through a pharmacy
28 employment service, Respondent shall cause his direct supervisor with the pharmacy employment

1 service to report to the Board in writing acknowledging that he has read the decision in case
2 number 3747 and the terms and conditions imposed thereby. It shall be Respondent's
3 responsibility to ensure that his employer(s) and/or supervisor(s) submit timely
4 acknowledgment(s) to the Board.

5 Failure to timely notify present or prospective employer(s) or to cause that/those
6 employer(s) to submit timely acknowledgments to the Board shall be considered a violation of
7 probation.

8 "Employment" within the meaning of this provision shall include any full-time,
9 part-time, temporary, relief or pharmacy management service as a pharmacist or any
10 position for which a pharmacist license is a requirement or criterion for employment,
11 whether the Respondent is an employee, independent contractor or volunteer.

12 **7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as**
13 **Designated Representative-in-Charge, or Serving as a Consultant**

14 During the period of probation, Respondent shall not supervise any intern pharmacist, be
15 the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the
16 Board nor serve as a consultant unless otherwise specified in this order. Assumption of any such
17 unauthorized supervision responsibilities shall be considered a violation of probation.

18 **8. Reimbursement of Board Costs**

19 As a condition precedent to successful completion of probation, Respondent shall pay to the
20 Board its costs of investigation and prosecution in the amount of five thousand five hundred
21 seventy-two dollars (\$5,572). Respondent shall coordinate a payment schedule with the Board.

22 There shall be no deviation from this schedule absent prior written approval by the Board or
23 its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of
24 probation.

25 The filing of bankruptcy by Respondent shall not relieve Respondent of his responsibility to
26 reimburse the board its costs of investigation and prosecution.

27 **9. Probation Monitoring Costs**
28

1 Respondent shall pay any costs associated with probation monitoring as determined by the
2 Board each and every year of probation. Such costs shall be payable to the Board on a schedule
3 as directed by the Board or its designee. Failure to pay such costs by the deadline(s) as directed
4 shall be considered a violation of probation.

5 **10. Status of License**

6 Respondent shall, at all times while on probation, maintain an active, current license with
7 the Board, including any period during which suspension or probation is tolled. Failure to
8 maintain an active, current license shall be considered a violation of probation.

9 If Respondent's license expires or is cancelled by operation of law or otherwise at any time
10 during the period of probation, including any extensions thereof due to tolling or otherwise, upon
11 renewal or reapplication Respondent's license shall be subject to all terms and conditions of this
12 probation not previously satisfied.

13 **11. License Surrender While on Probation/Suspension**

14 Following the effective date of this decision, should Respondent cease practice due to
15 retirement or health, or be otherwise unable to satisfy the terms and conditions of probation,
16 Respondent may tender his license to the Board for surrender. The Board or its designee shall
17 have the discretion whether to grant the request for surrender or take any other action it deems
18 appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent
19 will no longer be subject to the terms and conditions of probation. This surrender constitutes a
20 record of discipline and shall become a part of the Respondent's license history with the Board.

21 Upon acceptance of the surrender, Respondent shall relinquish his pocket and wall license
22 to the Board within ten (10) days of notification by the Board that the surrender is accepted.
23 Respondent may not reapply for any license from the Board for three (3) years from the effective
24 date of the surrender. Respondent shall meet all requirements applicable to the license sought as
25 of the date the application for that license is submitted to the Board, including any outstanding
26 costs.

27 **12. Notification of a Change in Name, Residence Address, Mailing Address or**
28 **Employment**

1 Respondent shall notify the Board in writing within ten (10) days of any change of
2 employment. Said notification shall include the reasons for leaving, the address of the new
3 employer, the name of the supervisor and owner, and the work schedule if known. Respondent
4 shall further notify the Board in writing within ten (10) days of a change in name, residence
5 address, mailing address, or phone number.

6 Failure to timely notify the Board of any change in employer(s), name(s), address(es), or
7 phone number(s) shall be considered a violation of probation.

8 13. Tolling of Probation

9 Except during periods of suspension, Respondent shall, at all times while on probation, be
10 employed as a pharmacist in California for a minimum of 40 hours per calendar month. Any
11 month during which this minimum is not met shall toll the period of probation, i.e., the period of
12 probation shall be extended by one month for each month during which this minimum is not met.
13 During any such period of tolling of probation, Respondent must nonetheless comply with all
14 terms and conditions of probation.

15 Should Respondent, regardless of residency, for any reason (including vacation) cease
16 practicing as a pharmacist for a minimum of 40 hours per calendar month in California,
17 Respondent must notify the Board in writing within ten (10) days of the cessation of practice, and
18 must further notify the Board in writing within ten (10) days of the resumption of practice. Any
19 failure to provide such notification(s) shall be considered a violation of probation.

20 It is a violation of probation for Respondent's probation to remain tolled pursuant to the
21 provisions of this condition for a total period, counting consecutive and non-consecutive months,
22 exceeding thirty-six (36) months.

23 "Cessation of practice" means any calendar month during which Respondent is
24 not practicing as a pharmacist for at least 40 hours, as defined by Business and
25 Professions Code section 4000 et seq. "Resumption of practice" means any calendar
26 month during which Respondent is practicing as a pharmacist for at least 40 hours as
27 a pharmacist as defined by Business and Professions Code section 4000 et seq.
28

1 14. **Violation of Probation**

2 If Respondent has not complied with any term or condition of probation, the Board shall
3 have continuing jurisdiction over Respondent, and probation shall automatically be extended,
4 until all terms and conditions have been satisfied or the Board has taken other action as deemed
5 appropriate to treat the failure to comply as a violation of probation, to terminate probation, and
6 to impose the penalty that was stayed.

7 If Respondent violates probation in any respect, the Board, after giving Respondent notice
8 and an opportunity to be heard, may revoke probation and carry out the disciplinary order that
9 was stayed. Notice and opportunity to be heard are not required for those provisions stating that a
10 violation thereof may lead to automatic termination of the stay and/or revocation of the license. If
11 a petition to revoke probation or an accusation is filed against Respondent during probation, the
12 Board shall have continuing jurisdiction and the period of probation shall be automatically
13 extended until the petition to revoke probation or accusation is heard and decided.

14 15. **Completion of Probation**

15 Upon written notice by the Board or its designee indicating successful completion of
16 probation, Respondent's license will be fully restored.

17 16. **Medical Evaluation**

18 Within thirty (30) days of the effective date of this decision, and on a periodic basis
19 thereafter as may be required by the Board or its designee, Respondent shall undergo a medical
20 evaluation, at Respondent's own expense, by a Board-appointed or Board-approved physician
21 who shall furnish a medical report to the Board. The approved physician shall be provided with a
22 copy of the Board's Accusation and decision. A record of this notification must be provided to the
23 Board upon request. Respondent shall sign a release authorizing the physician to furnish the
24 Board with a current diagnosis and a written report regarding the Respondent's ability to function
25 independently as a pharmacist with safety to the public. Respondent shall comply with all the
26 recommendations of the physician if directed by the Board or its designee.

27 If the physician recommends, and the Board or its designee directs, that Respondent
28 undergo medical treatment, Respondent shall, within thirty (30) days of written notice from the

1 Board, submit to the Board or its designee, for prior approval, the name and qualifications of a
2 licensed physician of Respondent's choice. Within thirty (30) days of approval thereof,
3 Respondent shall submit documentation to the Board demonstrating the commencement of
4 treatment with the approved physician. Should Respondent, for any reason, cease treatment with
5 the approved physician, Respondent shall notify the Board immediately and, within thirty (30)
6 days of ceasing treatment, submit the name of a replacement physician of Respondent's choice to
7 the Board or its designee for prior approval. Within thirty (30) days of approval thereof,
8 Respondent shall submit documentation to the Board demonstrating the commencement of
9 treatment with the approved replacement. Failure to comply with any deadline stated by this
10 paragraph shall be considered a violation of probation.

11 Upon approval of the initial or any subsequent physician, Respondent shall undergo and
12 continue treatment with that physician, at Respondent's own expense, until the treating physician
13 recommends in writing to the Board, and the Board or its designee agrees by way of a written
14 notification to Respondent, that no further treatment is necessary. Upon receipt of such
15 recommendation from the treating physician, and before determining whether to accept or reject
16 said recommendation, the Board or its designee may require Respondent to undergo, at
17 Respondent's own expense, a medical evaluation by a separate Board-appointed or Board-
18 approved physician. If the approved evaluating physician recommends that Respondent continue
19 treatment, the Board or its designee may require Respondent to continue treatment.

20 Respondent shall take all necessary steps to ensure that any treating physician submits
21 written quarterly reports to the Board concerning Respondent's fitness to practice, progress in
22 treatment, and other such information as may be required by the Board or its designee.

23 If at any time an approved evaluating physician or Respondent's approved treating
24 physician determines that Respondent is unable to practice safely or independently as a
25 pharmacist, the evaluating or treating physician shall notify the Board immediately by telephone
26 and follow up by written letter within three (3) working days. Upon notification from the Board
27 or its designee of this determination, Respondent shall be automatically suspended and shall not
28 resume practice until notified by the Board that practice may be resumed.

1 During suspension, Respondent shall not enter any pharmacy area or any portion of the
2 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
3 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
4 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
5 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
6 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
7 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs
8 and controlled substances. Respondent shall not resume practice until notified by the Board.

9 During suspension, Respondent shall not engage in any activity that requires the
10 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
11 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
12 designated representative for any entity licensed by the Board.

13 Subject to the above restrictions, Respondent may continue to own or hold an interest in
14 any licensed premises in which he holds an interest at the time this decision becomes effective
15 unless otherwise specified in this order.

16 Failure to comply with this suspension shall be considered a violation of probation.

17 Commencing on the effective date of this decision, Respondent shall not engage in the
18 practice of pharmacy until notified in writing by the Board that Respondent has been deemed
19 medically fit to practice safely and independently, and the Board or its designee approves said
20 recommendation.

21 During suspension, Respondent shall not enter any pharmacy area or any portion of the
22 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
23 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
24 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
25 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
26 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
27 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs
28 or controlled substances. Respondent shall not resume practice until notified by the Board.

1 During suspension, Respondent shall not engage in any activity that requires the
2 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
3 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
4 designated representative for any entity licensed by the Board.

5 Subject to the above restrictions, Respondent may continue to own or hold an interest in
6 any licensed premises in which he holds an interest at the time this decision becomes effective
7 unless otherwise specified in this order.

8 Failure to comply with this suspension shall be considered a violation of probation.

9 If recommended by the evaluating physician and approved by the Board, Respondent shall
10 be suspended from practicing pharmacy until the treating physician recommends, in writing,
11 stating the basis therefore, that Respondent can safely and independently resume the practice of a
12 pharmacist, and the Board or its designee approves said recommendation. Respondent shall not
13 resume practice until notified by the Board that practice may be resumed.

14 During suspension, Respondent shall not enter any pharmacy area or any portion of the
15 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
16 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
17 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
18 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
19 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
20 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs
21 and controlled substances. Respondent shall not resume practice until notified by the Board.

22 During suspension, Respondent shall not engage in any activity that requires the
23 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
24 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
25 designated representative for any entity licensed by the Board.

26 Subject to the above restrictions, Respondent may continue to own or hold an interest in
27 any licensed premises in which he holds an interest at the time this decision becomes effective
28 unless otherwise specified in this order.

1 Failure to comply with this suspension shall be considered a violation of probation.

2 17. Pharmacists Recovery Program (PRP)

3 Within thirty (30) days of the effective date of this decision, Respondent shall contact the
4 Pharmacists Recovery Program (PRP) for evaluation, and shall immediately thereafter enroll,
5 successfully participate in, and complete the treatment contract and any subsequent addendums as
6 recommended and provided by the PRP and as approved by the Board or its designee. The costs
7 for PRP participation shall be borne by the Respondent.

8 If Respondent is currently enrolled in the PRP, said participation is now mandatory and as
9 of the effective date of this decision is no longer considered a self-referral under Business and
10 Professions Code section 4362(c)(2). Respondent shall successfully participate in and complete
11 his current contract and any subsequent addendums with the PRP.

12 Failure to timely contact or enroll in the PRP, or successfully participate in and complete
13 the treatment contract and/or any addendums, shall be considered a violation of probation.

14 Probation shall be automatically extended until Respondent successfully completes the
15 PRP. Any person terminated from the PRP program shall be automatically suspended by the
16 Board. Respondent may not resume the practice of pharmacy until notified by the Board in
17 writing.

18 Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a
19 licensed practitioner as part of a documented medical treatment shall result in the automatic
20 suspension of practice by Respondent and shall be considered a violation of probation.
21 Respondent may not resume the practice of pharmacy until notified by the Board in writing.

22 During suspension, Respondent shall not enter any pharmacy area or any portion of the
23 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
24 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
25 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
26 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
27 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
28 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs

1 and controlled substances. Respondent shall not resume practice until notified by the Board.

2 During suspension, Respondent shall not engage in any activity that requires the
3 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
4 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
5 designated representative for any entity licensed by the Board.

6 Subject to the above restrictions, Respondent may continue to own or hold an interest in
7 any licensed premises in which he holds an interest at the time this decision becomes effective
8 unless otherwise specified in this order.

9 Failure to comply with this suspension shall be considered a violation of probation.

10 Respondent shall pay administrative fees as invoiced by the PRP or its designee. Fees not
11 timely paid to the PRP shall constitute a violation for probation. The Board will collect unpaid
12 administrative fees as part of the annual probation monitoring costs if not submitted to the PRP.

13 Respondent shall work in a pharmacy setting with access to controlled substances for six
14 (6) consecutive months before successfully completing probation. If Respondent fails to do so,
15 probation shall be automatically extended until this condition has been met. Failure to satisfy this
16 condition within six (6) months beyond the original date of expiration of the term of probation
17 shall be considered a violation of probation.

18 **18. Random Drug Screening**

19 Respondent, at his own expense, shall participate in random testing, including but not
20 limited to biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other drug
21 screening program as directed by the Board or its designee. Respondent may be required to
22 participate in testing for the entire probation period and the frequency of testing will be
23 determined by the Board or its designee. At all times, Respondent shall fully cooperate with the
24 Board or its designee, and shall, when directed, submit to such tests and samples for the detection
25 of alcohol, narcotics, hypnotics, dangerous drugs or other controlled substances as the Board or
26 its designee may direct. Failure to timely submit to testing as directed shall be considered a
27 violation of probation. Upon request of the Board or its designee, Respondent shall provide
28 documentation from a licensed practitioner that the prescription for a detected drug was

1 legitimately issued and is a necessary part of the treatment of the Respondent. Failure to timely
2 provide such documentation shall be considered a violation of probation. Any confirmed positive
3 test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a
4 documented medical treatment shall be considered a violation of probation and shall result in the
5 automatic suspension of practice of pharmacy by Respondent. Respondent may not resume the
6 practice of pharmacy until notified by the Board in writing.

7 During suspension, Respondent shall not enter any pharmacy area or any portion of the
8 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
9 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
10 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
11 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
12 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
13 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs
14 and controlled substances. Respondent shall not resume practice until notified by the Board.

15 During suspension, Respondent shall not engage in any activity that requires the
16 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
17 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
18 designated representative for any entity licensed by the Board.

19 Subject to the above restrictions, Respondent may continue to own or hold an interest in
20 any licensed premises in which he holds an interest at the time this decision becomes effective
21 unless otherwise specified in this order.

22 Failure to comply with this suspension shall be considered a violation of probation.

23 **19. Abstain from Drugs and Alcohol Use**

24 Respondent shall completely abstain from the possession or use of alcohol, controlled
25 substances, dangerous drugs and their associated paraphernalia except when the drugs are
26 lawfully prescribed by a licensed practitioner as part of a documented medical treatment. Upon
27 request of the Board or its designee, Respondent shall provide documentation from the licensed
28 practitioner that the prescription for the drug was legitimately issued and is a necessary part of the

1 treatment of the Respondent. Failure to timely provide such documentation shall be considered a
2 violation of probation. Respondent shall ensure that he is not in the same physical location as
3 individuals who are using illicit substances even if Respondent is not personally ingesting the
4 drugs. Any possession or use of alcohol, controlled substances, or their associated paraphernalia
5 not supported by the documentation timely provided, and/or any physical proximity to persons
6 using illicit substances, shall be considered a violation of probation.

7 **20. Prescription Coordination and Monitoring of Prescription Use**

8 Within thirty (30) days of the effective date of this decision, Respondent shall submit to the
9 Board, for its prior approval, the name and qualifications of a single physician, nurse practitioner,
10 physician assistant, or psychiatrist of Respondent's choice, who shall be aware of the
11 Respondent's history with the use of controlled substances, and/or dangerous drugs and who will
12 coordinate and monitor any prescriptions for Respondent for dangerous drugs, controlled
13 substances or mood-altering drugs. The approved practitioner shall be provided with a copy of
14 the Board's Accusation and Decision. A record of this notification must be provided to the Board
15 upon request. Respondent shall sign a release authorizing the practitioner to communicate with
16 the Board about Respondent's treatment(s). The coordinating physician, nurse practitioner,
17 physician assistant, or psychiatrist shall report to the Board on a quarterly basis for the duration of
18 probation regarding Respondent's compliance with this condition. If any substances considered
19 addictive have been prescribed, the report shall identify a program for the time limited use of any
20 such substances. The Board may require that the single coordinating physician, nurse
21 practitioner, physician assistant or psychiatrist be a specialist in addictive medicine, or consult a
22 specialist in addictive medicine. Should Respondent, for any reason, cease supervision by the
23 approved practitioner, Respondent shall notify the Board immediately and, within thirty (30) days
24 of ceasing treatment, submit the name of a replacement physician, nurse practitioner, physician
25 assistant, or psychiatrist of Respondent's choice to the Board or its designee for its prior approval.
26 Failure to timely submit the selected practitioner or replacement practitioner to the Board for
27 approval, or to ensure the required reporting thereby on the quarterly reports, shall be considered
28 a violation of probation.

1 If at any time an approved practitioner determines that Respondent is unable to practice
2 safely or independently as a pharmacist, the practitioner shall notify the Board immediately by
3 telephone and follow up by written letter within three (3) working days. Upon notification from
4 the Board or its designee of this determination, Respondent shall be automatically suspended and
5 shall not resume practice until notified by the Board that practice may be resumed.

6 During suspension, Respondent shall not enter any pharmacy area or any portion of the
7 licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of
8 drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices
9 or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act
10 involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient
11 consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the
12 Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs
13 and controlled substances. Respondent shall not resume practice until notified by the Board.

14 During suspension, Respondent shall not engage in any activity that requires the
15 professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the
16 practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a
17 designated representative for any entity licensed by the Board.

18 Subject to the above restrictions, Respondent may continue to own or hold an interest in
19 any licensed premises in which he holds an interest at the time this decision becomes effective
20 unless otherwise specified in this order.

21 Failure to comply with this suspension shall be considered a violation of probation.

22 **21. Community Services Program**

23 Within sixty (60) days of the effective date of this decision, Respondent shall submit to the
24 Board or its designee, for prior approval, a community service program in which Respondent
25 shall provide free health-care related services on a regular basis to a community or charitable
26 facility or agency for at least 100 hours within the first 3 years of probation. Within thirty (30)
27 days of Board approval thereof, Respondent shall submit documentation to the Board
28 demonstrating commencement of the community service program. A record of this notification

1 must be provided to the Board upon request. Respondent shall report on progress with the
2 community service program in the quarterly reports. Failure to timely submit, commence, or
3 comply with the program shall be considered a violation of probation.

4 **22. No Ownership of Licensed Premises**

5 Respondent shall not own, have any legal or beneficial interest in, or serve as a manager,
6 administrator, member, officer, director, trustee, associate, or partner of any business, firm,
7 partnership, or corporation currently or hereinafter licensed by the Board. Respondent shall sell
8 or transfer any legal or beneficial interest in any entity licensed by the Board within ninety (90)
9 days following the effective date of this decision and shall immediately thereafter provide written
10 proof thereof to the Board. Failure to timely divest any legal or beneficial interest(s) or provide
11 documentation thereof shall be considered a violation of probation.

12 Respondent shall not acquire any new ownership, legal or beneficial interest nor serve as a
13 manager, administrator, member, officer, director, trustee, associate, or partner of any additional
14 business, firm, partnership, or corporation licensed by the Board. If Respondent currently owns
15 or has any legal or beneficial interest in, or serves as a manager, administrator, member, officer,
16 director, trustee, associate, or partner of any business, firm, partnership, or corporation currently
17 or hereinafter licensed by the Board, Respondent may continue to serve in such capacity or hold
18 that interest, but only to the extent of that position or interest as of the effective date of this
19 decision. Violation of this restriction shall be considered a violation of probation.

20 **23. Ethics Course**

21 Within sixty (60) calendar days of the effective date of this decision, Respondent shall
22 enroll in a course in ethics, at Respondent's expense, approved in advance by the Board or its
23 designee. Failure to initiate the course during the first year of probation, and complete it within
24 the second year of probation, is a violation of probation.

25 Respondent shall submit a certificate of completion to the Board or its designee within five
26 days after completing the course.

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DATED:

ENDORSEMENT

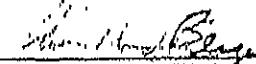
Dated:

STIPULATED SETTLEMENT (Case no.: 3747)

ACCEPTANCE

I have carefully read the Stipulated Settlement and Disciplinary Order. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: 11/29/2011

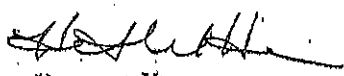

ARTHUR HOWARD BERGER
RespondentENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs.

Dated:

November 30, 2011

Respectfully submitted,

KAMALA D. HARRIS
Attorney General of California
GREGORY J. SALUTE
Supervising Deputy Attorney General
HEATHER HUA
Deputy Attorney General
Attorneys for Complainant

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Exhibit A

Accusation No. 3747

1 KAMALA D. HARRIS
Attorney General of California
2 GREGORY J. SALUTE
Supervising Deputy Attorney General
3 HEATHER HUA
Deputy Attorney General
4 State Bar No. 223418
300 So. Spring Street, Suite 1702
5 Los Angeles, CA 90013
Telephone: (213) 897-2574
6 Facsimile: (213) 897-2804

7 *Attorneys for Complainant*

8 **BEFORE THE**
9 **BOARD OF PHARMACY**
10 **DEPARTMENT OF CONSUMER AFFAIRS**
11 **STATE OF CALIFORNIA**

12 In the Matter of the Accusation Against:

Case No. 3747

13 **ARTHUR HOWARD BERGER**
11220 Cashmere Street
14 Los Angeles, CA 90049

A C C U S A T I O N

15 **Pharmacist License No. RPH 30997**

16 Respondent.

17 Complainant alleges:

18 **PARTIES**

19 1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity
20 as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.

21 2. On or about July 29, 1977, the Board of Pharmacy (Board) issued Pharmacist License
22 No. RPH 30997 to Arthur Howard Berger (Respondent). The Pharmacist License was in full
23 force and effect at all times relevant to the charges brought herein and will expire on April 30,
24 2012, unless renewed.

25 ///

26 ///

27 ///

1 7. Section 4301 states:

2 "The board shall take action against any holder of a license who is guilty of unprofessional
3 conduct or whose license has been procured by fraud or misrepresentation or issued by mistake.
4 Unprofessional conduct shall include, but is not limited to, any of the following:

5

6 "(h) The administering to oneself, of any controlled substance, or the use of any dangerous
7 drug or of alcoholic beverages to the extent or in a manner as to be dangerous or injurious to
8 oneself, to a person holding a license under this chapter, or to any other person or to the public, or
9 to the extent that the use impairs the ability of the person to conduct with safety to the public the
10 practice authorized by the license.

11

12 "(j) The violation of any of the statutes of this state, or any other state, or of the United
13 States regulating controlled substances and dangerous drugs.

14 "(k) The conviction of more than one misdemeanor or any felony involving the use,
15 consumption, or self-administration of any dangerous drug or alcoholic beverage, or any
16 combination of those substances.

17 "(l) The conviction of a crime substantially related to the qualifications, functions, and
18 duties of a licensee under this chapter. The record of conviction of a violation of Chapter 13
19 (commencing with Section 801) of Title 21 of the United States Code regulating controlled
20 substances or of a violation of the statutes of this state regulating controlled substances or
21 dangerous drugs shall be conclusive evidence of unprofessional conduct. In all other cases, the
22 record of conviction shall be conclusive evidence only of the fact that the conviction occurred.
23 The board may inquire into the circumstances surrounding the commission of the crime, in order
24 to fix the degree of discipline or, in the case of a conviction not involving controlled substances
25 or dangerous drugs, to determine if the conviction is of an offense substantially related to the
26 qualifications, functions, and duties of a licensee under this chapter. A plea or verdict of guilty or
27 a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning
28 of this provision. The board may take action when the time for appeal has elapsed, or the

1 judgment of conviction has been affirmed on appeal or when an order granting probation is made
2 suspending the imposition of sentence, irrespective of a subsequent order under Section 1203.4 of
3 the Penal Code allowing the person to withdraw his or her plea of guilty and to enter a plea of not
4 guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or
5 indictment.

6

7 "(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the
8 violation of or conspiring to violate any provision or term of this chapter or of the applicable
9 federal and state laws and regulations governing pharmacy, including regulations established by
10 the board or by any other state or federal regulatory agency."

11 8. Section 11055(d)(1) of the Health and Safety Code states, in pertinent part,
12 "Unless specifically excepted or unless listed in another schedule, any material, compound,
13 mixture, or preparation which contains any quantity of the following substances having a
14 stimulant effect on the central nervous system:

15 (1) Amphetamine, its salts, optical isomers, and salts of its optical isomers"

16 9. Section 11057 (d)(32) of the Health and Safety Code states, in pertinent part,

17 (a) The controlled substances listed in this section are included in Schedule IV:

18 (32) Zolpidem

19 REGULATORY PROVISIONS

20 10. California Code of Regulations, title 16, section 1770, states:

21 "For the purpose of denial, suspension, or revocation of a personal or facility license
22 pursuant to Division 1.5 (commencing with Section 475) of the Business and Professions Code, a
23 crime or act shall be considered substantially related to the qualifications, functions or duties of a
24 licensee or registrant if to a substantial degree it evidences present or potential unfitness of a
25 licensee or registrant to perform the functions authorized by his license or registration in a manner
26 consistent with the public health, safety, or welfare. . ."

27 ///

28 ///

1 **COST RECOVERY**

2 11. Section 125.3 of the Code provides, in pertinent part, that the Board may request the
3 administrative law judge to direct a licensee found to have committed a violation or violations of
4 the licensing act to pay a sum not to exceed the reasonable costs of the investigation and
5 enforcement of the case.

6 12. **CONTROLLED SUBSTANCES/DANGEROUS DRUGS**

7 a. Amphetamine is a Scheduled II controlled substance as defined in Health and Safety
8 Code section 11055(d)(1) and is categorized as a dangerous drug pursuant to Business and
9 Professions Code section 4022.

10 b. Ambien is a generic name for zolpidem tartrate, a nonbarbiturate hypnotic, is a
11 Scheduled IV controlled substance as designated by Health and Safety Code section 11057,
12 subdivision (d)(32), and is categorized as a dangerous drug pursuant to Business and Professions
13 Code section 4022.

14 **FIRST CAUSE FOR DISCIPLINE**

15 **(Criminal Conviction)**

16 13. Respondent is subject to disciplinary action under sections 4301, subdivision (k) and
17 (l), and 490 of the Code, as defined in California Code of Regulations, title 16, section 1770, in
18 that Respondent was convicted of crimes, which are substantially related to the qualifications,
19 functions, or duties of a pharmacist, as follows:

20 a. On or about February 25, 2010, after pleading nolo contendere, Respondent was
21 convicted of one misdemeanor count of violating Vehicle Code section 23152(a) [driving under
22 the influence of an alcoholic beverage or drug], in the criminal proceeding entitled *The People of*
23 *the State of California v. Arthur Howard Berger* (Super. Ct. County of Los Angeles, 2010,
24 No. 8NW03668). Respondent was ordered by the court to enroll and complete a 3-Month First
25 Offender Alcohol Counseling Program, perform thirteen days of community service and was
26 sentenced to three years probation with certain terms and conditions, and ordered to pay
27 restitution. The circumstances surrounding the conviction are that on or about August 9, 2008,
28 California Highway Patrol officers responded to a traffic collision. After speaking with

1 Respondent, officers noticed signs and symptoms of intoxication. Respondent performed several
2 field sobriety tests (FSTs) unsuccessfully. Consequently, he was arrested for driving a motor
3 vehicle while under the influence of an alcoholic beverage and/or controlled substance resulting
4 in a traffic collision. Respondent submitted a urine sample that tested positive for
5 Amphetamines.

6 **SECOND CAUSE FOR DISCIPLINE**

7 **(Use of Alcohol Beverages/Controlled Substance to the Extent to be Dangerous to Oneself)**

8 14. Respondent is subject to disciplinary action under section 4301, subdivision (h), of
9 the Code, on the grounds of unprofessional conduct, in that on or about August 9, 2008,
10 Respondent used and consumed alcoholic beverages and or controlled substances to the extent or
11 in a manner as to be dangerous or injurious to himself or to others. Complainant refers to, and by
12 this reference incorporates, the allegations set forth above in paragraph 13, subparagraph (a),
13 inclusive, as though set fully.

14 **THIRD CAUSE FOR DISCIPLINE**

15 **(Unprofessional Conduct)**

16 15. Respondent is subject to disciplinary action under section 4301 of the Code, on the
17 grounds of unprofessional conduct, in that on or about August 9, 2008, when he was arrested for
18 causing a traffic collision while driving a motor vehicle under the influence of an alcoholic
19 beverage and or controlled substance. Complainant refers to, and by this reference incorporates,
20 the allegations set forth in paragraph 13, subparagraph (a), inclusive, as though set forth fully.

21 **DISCIPLINE CONSIDERATIONS**

22 16. To determine the degree of discipline, if any, to be imposed on Respondent Arthur
23 Howard Berger, Complainant alleges that on or about May 14, 2005, in a prior disciplinary action
24 entitled, "In the Matter of the Accusation Against R&W Pharmacy Services, Inc., Patti Jo Reed
25 and Arthur Howard Berger," before the Board of Pharmacy, Case No. 2279, Respondent's
26 Pharmacist license was suspended for a period of 60 days and placed on probation for a period of
27 three (3) years with certain terms and conditions.

28 ///

PRAYER

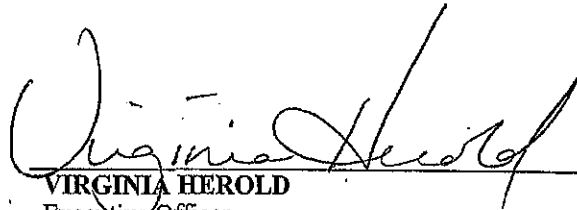
WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board issue a decision:

1. Revoking or suspending Pharmacist License No. RPH 30997, issued to Respondent Arthur Howard Berger;

2. Ordering Arthur Howard Berger to pay the Board the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; and

3. Taking such other and further action as deemed necessary and proper.

DATED: 5/9/11



VIRGINIA HEROLD
Executive Officer
Board of Pharmacy
Department of Consumer Affairs
State of California
Complainant

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