### BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 3425

KIM N. VU 8361 Garden Grove Blvd. #6 Garden Grove, CA 92844

Pharmacy Technician License No. TCH 45621

Respondent.

#### **DECISION AND ORDER**

The attached Stipulated Surrender of License and Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

By

This decision shall become effective on August 25, 2010.

It is so ORDERED on July 26, 2010.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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STANLEY C. WEISSER Board President

1	Edmund G. Brown Jr.	
2	Attorney General of California Marc D. Greenbaum	
3	Supervising Deputy Attorney General KIMBERLEY J. BAKER-GUILLEMET	
4	Deputy Attorney General State Bar No. 242920	
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013	ĺ
6	Telephone: (213) 897-2533 Facsimile: (213) 897-2804	
7	Attorneys for Complainant	
8	BEFORE THE BOARD OF PHARMACY	
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
10		
11	In the Matter of the Accusation Against: Case No. 3425	ļ
12	KIM N. VU 8361 Garden Grove Blvd. #6	
13	Garden Grove, CA 92844 Pharmacy Technician Registration No. TCH LICENSE AND ORDER	
14	45621	
15	Respondent.	
16		
17	IT IS HEREBY STIPULATED AND AGREED by and between the parties in this	
18	proceeding that the following matters are true:	
19	PARTIES	
20	1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.	
21	She brought this action solely in her official capacity and is represented in this matter by Edmund	
22	G. Brown Jr., Attorney General of the State of California, by Kimberley J. Baker-Guillemet,	
23	Deputy Attorney General.	
24	2. Kim N. Vu (Respondent) is representing herself in this proceeding and has chosen not	
24	to exercise her right to be represented by counsel.	
25	3. On or about March 27, 2003, the Board of Pharmacy issued Pharmacy Technician	
20	Registration No. TCH 45621 to Respondent. The was in full force and effect at all times relevant	
27	to the charges brought in Accusation No. 3425 and will expire on February 28, 2011, unless	
20	renewed.	
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Stipulated Surrender of License

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4. Accusation No. 3425 was filed before the Board of Pharmacy (Board), Department
of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other
statutorily required documents were properly served on Respondent on February 2, 2010.
Respondent timely filed her Notice of Defense contesting the Accusation. A copy of Accusation
No. 3425 is attached as exhibit A and incorporated herein by reference.
ADVISEMENT AND WAIVERS
5. Respondent has carefully read, and understands the charges and allegations in
Accusation No. 3425. Respondent also has carefully read, and understands the effects of this
Stipulated Surrender of License and Order.
6. Respondent is fully aware of her legal rights in this matter, including the right to a
hearing on the charges and allegations in the Accusation; the right to be represented by counsel, at
her own expense; the right to confront and cross-examine the witnesses against her; the right to
present evidence and to testify on her own behalf; the right to the issuance of subpoenas to
compel the attendance of witnesses and the production of documents; the right to reconsideration
and court review of an adverse decision; and all other rights accorded by the California
Administrative Procedure Act and other applicable laws.
7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and
every right set forth above.
CULPABILITY
8. Respondent admits the truth of each and every charge and allegation in Accusation
No. 3425, agrees that cause exists for discipline and hereby surrenders her Pharmacy Technician
Registration No. TCH 45621 for the Board's formal acceptance.
9. Respondent understands that by signing this stipulation she enables the Board to issue
an order accepting the surrender of her Pharmacy Technician Registration without further
process.
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# CONTINGENCY

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2	10. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent	
3	understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may	I
4	communicate directly with the Board regarding this stipulation and surrender, without notice to or	1
5	participation by Respondent. By signing the stipulation, Respondent understands and agrees that	1
6	she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board	1
7	considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order,	Í
8	the Stipulated Surrender and Disciplinary Order shall be of no force or effect, except for this	
9	paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not	
10	be disqualified from further action by having considered this matter.	
11	11. The parties understand and agree that facsimile copies of this Stipulated Surrender of	
12	License and Order, including facsimile signatures thereto, shall have the same force and effect as	
13	the originals.	
14	12. This Stipulated Surrender of License and Order is intended by the parties to be an	
15	integrated writing representing the complete, final, and exclusive embodiment of their agreement.	
16	It supersedes any and all prior or contemporaneous agreements, understandings, discussions,	
17	negotiations, and commitments (written or oral). This Stipulated Surrender of License and Order	
18	may not be altered, amended, modified, supplemented, or otherwise changed except by a writing	
19	executed by an authorized representative of each of the parties.	
20	13. In consideration of the foregoing admissions and stipulations, the parties agree that	
21	the Board may, without further notice or formal proceeding, issue and enter the following Order:	
22	ORDER	
23	IT IS HEREBY ORDERED that Pharmacy Technician Registration No. TCH 45621, issued	
24	to Respondent Kim N. Vu is surrendered and accepted by the Board of Pharmacy.	
25	14. The surrender of Respondent's Pharmacy Technician Registration and the acceptance	
26	of the surrendered license by the Board shall constitute the imposition of discipline against	
27	Respondent. This stipulation constitutes a record of the discipline and shall become a part of	
28	Respondent's license history with the Board.	
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Stipulated Surrender of License

1 15. Respondent shall lose all rights and privileges as a Pharmacy Technician in California
 2 as of the effective date of the Board's Decision and Order.

16. Respondent shall cause to be delivered to the Board both her wall license certificate
and, if one was issued, pocket license on or before the effective date of the Decision and Order.

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17. Upon acceptance of the surrender, respondent shall relinquish her pharmacy technician license to the Board within ten (10) days of notification by the Board that the surrender is accepted. Respondent may not reapply for any license, permit, or registration from the Board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board.

11 18. If she ever applies for licensure or petitions for reinstatement in the State of 12 California, the Board shall treat it as a new application for licensure. Respondent must comply 13 with all the laws, regulations and procedures for licensure in effect at the time the application or 14 petition is filed, and all of the charges and allegations contained in Accusation No. 3425 shall be 15 deemed to be true, correct and admitted by Respondent when the Board determines whether to 16 grant or deny the application or petition.

17 19. Should Respondent ever apply or reapply for a new license or certification, or petition
18 for reinstatement of a license, by any other health care licensing agency in the State of California,
19 all of the charges and allegations contained in Accusation, No. 3425 shall be deemed to be true,
20 correct, and admitted by Respondent for the purpose of any Statement of Issues or any other
21 proceeding seeking to deny or restrict licensure.

22 20. Respondent shall pay the Board its costs of investigation and enforcement in the
23 amount of twelve thousand four hundred thirty-eight dollars and fifty cents (\$12,438.50) prior to
24 issuance of a new or reinstated license.

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1	ACCEPTANCE
2	I have carefully read the Stipulated Surrender of License and Order. I understand the
3	stipulation and the effect it will have on my Pharmacy Technician Registration. I enter into this
4	Stipulated Surrender of License and Order voluntarily, knowingly, and intelligently, and agree to
5	be bound by the Decision and Order of the Board of Pharmacy.
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7	DATED: 5-4-10
8	KIMN. VU Respondent
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11	ENDORSEMENT
12	The foregoing Stipulated Surrender of License and Order is hereby respectfully submitted
13	for consideration by the Board of Pharmacy of the Department of Consumer Affairs.
14	Dated: April 21, 2010 Respectfully submitted,
15	EDMUND G. BROWN JR. Attorney General of California
16	Marc D. Greenbaum Supervising Deputy Attorney General
17	Supervising Deputy Automety General
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19	KIMBERLEY J. BAKER-GUILLEMET Deputy/Attorney General
20	Attorneys for Complainant
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Stipulated Surrender of License

# Exhibit A

Accusation No. 3425

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1	EDMUND G. BROWN JR.
2	Attorney General of California MARC D. GREENBAUM
3	Supervising Deputy Attorney General KIMBERLEY J. BAKER-GUILLEMET
4	Deputy Attorney General State Bar No. 242920
5	300 So. Spring Street, Suite 1702 Los Angeles, CA 90013
6	Telephone: (213) 897-2533 Facsimile: (213) 897-2804
7	Attorneys for Complainant
8	BEFORE THE BOARD OF PHARMACY
9	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA
10	
11	In the Matter of the Accusation Against: Case No. 3425
12	KIM N. VU 8361 Garden Grove Blvd. #6
13	Garden Grove, CA 92844 Pharmacy Technician Registration No. TCH
14	45621
15	Respondent.
16	
17	Complainant alleges:
18	PARTIES
19	1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity
20	as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.
21	2. On or about March 27, 2003, the Board of Pharmacy issued Pharmacy Technician
22	Registration Number TCH 45621 to Kim N. Vu (Respondent). The Pharmacy Technician
23	Registration was in full force and effect at all times relevant to the charges brought herein and
24	will expire on February 28, 2011, unless renewed.
25	JURISDICTION
26	3. This Accusation is brought before the Board of Pharmacy (Board), Department of
27	Consumer Affairs, under the authority of the following laws. All section references are to the
28	Business and Professions Code unless otherwise indicated.
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	Accusation

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Section 4059 of the Code states, in pertinent part that: 4. "(a) A person may not furnish any dangerous drug, except upon the prescription of a physician, dentist, podiatrist, optometrist, veterinarian, or naturopathic doctor pursuant to Section 3640.7. A person may not furnish any dangerous device, except upon the prescription of a physician, dentist, podiatrist, optometrist, veterinarian, or naturopathic doctor pursuant to Section 3640.7." 5. Section 4301 of the Code states, in pertinent part that: "The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following: "(q) Engaging in any conduct that subverts or attempts to subvert an investigation of the board." 6. Section 118, subdivision (b), of the Code provides that the suspension, expiration, surrender or cancellation of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated. 7. Section 125.3 of the Code provides, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case. CONTROLLED SUBSTANCES / DANGEROUS DRUGS -

8. Alprazolam (generic for Xanax) is a Schedule IV controlled substance as designated
by Health and Safety Code section 11057(d)(1) and is categorized as a dangerous drug pursuant
to Business and Professions Code section 4022.

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9. Hydrocodone/ Acetaminophen (APAP) (generic for Vicodin, Norco and/or Lortab) is a Schedule III controlled substance as designated by Health and Safety Code section 11056(e)(4) and is categorized as a dangerous drug pursuant to Business and Professions Code section 4022.

10. Triazolam (generic for Halcion) is a Schedule I controlled substance as designated by
Health and Safety Code section 11057(d)(30) and is categorized as a dangerous drug pursuant to
Business and Professions Code section 4022.

11. Atenolol (generic for Tenormin) is categorized as a dangerous drug pursuant to Business and Professions Code section 4022.

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# FIRST CAUSE FOR DISCIPLINE

### (Furnished Dangerous Drug Without a Prescription)

11 12. Respondent is subject to disciplinary action under section 4059, subdivision (a) in
 12 that she furnished dangerous drugs without valid prescriptions. The circumstances are as follows:

13. From on or about May 5, 2007, through January 6, 2009, Respondent, while
employed at CVS Pharmacy as a pharmacy technician, furnished drugs to herself and to her
mother, Dang Nguyen, without a valid prescription. Specifically, during that time period, 30
prescriptions were filled for patient Dang Nguyen and patient Kim Vu. The prescribing medical
doctor was represented to be R. Bob Sankaram, M.D.

14. Respondent was employed as a medical assistant in Dr. Sankaram's office from 18 December 12, 2005, through October 28, 2006. Respondent resumed employment in Dr. 19 Sankaram's office on October 8, 2007, and was terminated on March 3, 2008. In March 2009, a 20 Board inspector sent a letter to Dr. Sankaram requesting confirmation that he had prescribed 21 Halcion to Respondent on May 5, 2007. In addition, the inspector requested confirmation that Dr. 22 Sankaram had prescribed the following drugs to Dang Nguyen: Halcion on August 6, 2007, 23 Hydrocodone/APAP on August 23, 2007, Xanax on September 14, 2007, Hydrocodone/APAP on 24 October 19, 2007, Xanax on October 22, 2007, Xanax on December 10, 2007, 25 26 Hydrocodone/APAP on December 28, 2007, and Hydrocodone/APAP on January 25, 2008. 15. In his written response, Dr. Sankaram stated that the prescription for Respondent was 27

not prescribed by and was not authorized by him. He further stated that Dang Nguyen had never

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Accusation

been seen by him and was not his patient. He concluded that none of the prescriptions for Respondent and Nguyen had been authorized by him or given to them by him.

16. Respondent's initials were written on 24 of the 30 prescription forms for the abovereferenced prescriptions indicating that she was the pharmacy technician who had filled the prescriptions. The total amounts of tablets dispensed were as follows: 2490 Hydrocodone/APAP tablets, 630 Xanax tablets and 150 Halcion tablets.

#### SECOND CAUSE FOR DISCIPLINE

#### (Unprofessional Conduct)

9 17. Respondent is subject to disciplinary action under section 4301, subdivision (q) in 10 that she subverted or attempted to subvert an investigation. The circumstances are as follows:

18. On November 24, 2008, a Board inspector met with Respondent to conduct an interview. During the meeting, the inspector requested a written statement from Respondent. Respondent gave the inspector her e-mail address so that the inspector could e-mail her the statement form. The inspector e-mailed Respondent the form. Respondent failed to submit a written statement to the Board at that time.

16 19. On January 28, 2009, the inspector went to the CVS Pharmacy where Respondent
worked. Respondent happened to be there at that time. The inspector again requested a written
statement from Respondent. Respondent stated that she did not receive an e-mail from the
investigator. The inspector gave Respondent another copy of the request for a written statement.
The inspector had Respondent sign that she had received this second request for a statement.
Respondent again failed to submit a written statement to the Board after that meeting with the
inspector.

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1	PRAYER
2	WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
3	and that following the hearing, the Board of Pharmacy issue a decision:
4	1. Revoking or suspending Pharmacy Technician Registration Number TCH 45621,
5	issued to Kim N. Vu.
6	2. Ordering Kim N. Vu to pay the Board of Pharmacy the reasonable costs of the
7	investigation and enforcement of this case, pursuant to Business and Professions Code section
8	125.3;
9	3. Taking such other and further action as deemed necessary and proper.
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13	DATED: // 7/10 // Ligning feeld
14	Executive Officer Board of Pharmacy
15	Department of Consumer Affairs State of California
16	Complainant
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