BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	Case No. 3094	
JAMES ALTON CHINN 3074 Curlew Street San Diego, CA 92103		
Pharmacist License No. RPH 27782	•	
Respondent.		
DECISION AND	ODDED	
DECISION AND	ORDER	
The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the		
Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.		
This decision shall become effective on May 27, 2009		
It is so ORDERED on April 27, 2009		
DEPA	D OF PHARMACY RTMENT OF CONSUMER AFFAIRS E OF CALIFORNIA	

Ву

Board President

1 2 3	EDMUND G. BROWN JR., Attorney General of the State of California JAMES M. LEDAKIS Supervising Deputy Attorney General KATHLEEN BY LAM State Bar No. 95370		
4	Deputy Attorney General 110 West "A" Street, Suite 1100		
5	San Diego, CA 92101		
6	P.O. Box 85266 San Diego, CA 92186-5266		
7	Telephone: (619) 645-2091 Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9	BEFORE THE		
10	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS		
11	STATE OF CAL		
12	In the Matter of the Accusation Against:	Case No. 3094	
13	JAMES ALTON CHINN, RPH 3074 Curlew Street	OAH No. L-2007120458	
14	San Diego, CA 92103	STIPULATED SETTLEMENT AND	
15	Pharmacist License No. RPH 27782	DISCIPLINARY ORDER	
16	Respondent.		
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18	In the interest of a prompt and speedy	settlement of this matter, consistent with the	
19	public interest and the responsibility of the Board of Pharmacy (Board), the parties hereby agree		
20	to the following Stipulated Settlement and Disciplinary Order which will be submitted to the		
21	Board for approval and adoption as the final disposition of the Accusation.		
22	<u>PARTIES</u>		
23	1. Virginia K. Herold (Complainant) is the Executive Officer of the Board of		
24	Pharmacy. She brought this action solely in her official capacity and is represented in this matter		
25	by Edmund G. Brown Jr., Attorney General of the State of California, by Kathleen B.Y. Lam,		
26	Deputy Attorney General.		
27	2. Respondent James Alton Chinn (Respondent) is represented in this		
28	proceeding by attorney John A. Cronin, Esq., whose address is 5720 Oberlin Drive, San Diego,		

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3. On or about July 14, 1972, the Board of Pharmacy issued Pharmacist License No. RPH 27782 to Respondent. The Pharmacist License was in full force and effect at all times relevant to the charges brought in Accusation No. 3094, and will expire on June 30, 2009, unless renewed.

JURISDICTION

4. Accusation No. 3094 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on November 5, 2007. Respondent timely filed his Notice of Defense contesting the Accusation. A copy of Accusation No. 3094 is attached as Exhibit A, and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 3094. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

For purposes of settlement, Respondent hereby admits that at a hearing, Complainant could establish a prima facie case with respect to the allegations contained in

Accusation No. 3094. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent hereby gives up the right to contest those charges.

9. Respondent agrees that his Pharmacist License is subject to discipline and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

CONTINGENCY

- 10. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 11. This Stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondents.
- 12. By signing this Stipulation, Respondent understands and agrees that he may not withdraw or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement of License and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissable in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 27782 issued to Respondent James Alton Chinn is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

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1. **Actual Suspension - Pharmacist.** License Number RPH 27782 issued to Respondent James Alton Chinn is suspended for a period of twenty-one (21) days.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and devices or controlled substances.

Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or an exemptee for any entity licensed by the Board. Subject to the above restrictions, Respondent may continue to own or hold an interest in any pharmacy in which he holds an interest at the time this decision becomes effective unless otherwise specified in this order.

2. **Obey All Laws.** Respondent shall obey all state and federal laws and regulations substantially related to or governing the practice of pharmacy.

Respondent shall report any of the following occurrences to the Board, in writing, within 72 hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the Pharmacy Law, state and federal food and drug laws, or state and federal controlled substances laws;
- a plea of guilty or nolo contendere in any state or federal criminal proceeding to any criminal complaint, information or indictment;
- a conviction of any crime;
- discipline, citation, or other administrative action filed by any state and federal agency which involves Respondent's license or which is related to the practice

of pharmacy or the manufacturing, obtaining, handling or distribution or billing or charging for any drug, device or controlled substance.

- 3. **Reporting to the Board.** Respondent shall report to the Board quarterly. The report shall be made either in person or in writing, as directed. Respondent shall state under penalty of perjury whether there has been compliance with all the terms and conditions of probation. If the final probation report **is not** made as directed, probation shall be extended automatically until such time as the final report is made and accepted by the Board.
- 4. **Interview with the Board.** Upon receipt of reasonable notice, Respondent shall appear in person for interviews with the Board upon request at various intervals at a location to be determined by the Board. Failure to appear for a scheduled interview without prior notification to Board staff shall be considered a violation of probation.
- 5. **Cooperation with Board Staff.** Respondent shall cooperate with the Board's inspectional program and in the Board's monitoring and investigation of Respondent's compliance with the terms and conditions of his probation. Failure to comply shall be considered a violation of probation.
- 6. **Continuing Education.** Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the Board.
- 7. **Notice to Employers.** Respondent shall notify all present and prospective employers of the decision in Case Number 3094 and the terms, conditions and restrictions imposed on Respondent by the decision. Within 30 days of the effective date of this decision, and within 15 days of Respondent undertaking new employment, Respondent shall cause his direct supervisor, pharmacist-in-charge and/or owner to report to the Board in writing acknowledging the employer has read the decision in Case Number 3094.

If Respondent works for or is employed by or through a pharmacy employment service, Respondent must notify the direct supervisor, pharmacist-in-charge, and/or owner at every pharmacy of the and terms conditions of the decision in Case Number 3094 in advance of the Respondent commencing work at each pharmacy.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist, whether the Respondent is considered an employee or independent contractor.

- 8. No Preceptorships, Supervision of Interns, Being Pharmacist-in-Charge (PIC), or Serving as a Consultant. Respondent shall not perform any of the duties of a preceptor, nor shall Respondent be the pharmacist-in-charge of any entity licensed by the Board unless otherwise specified in this order.
- 9. **Reimbursement of Board Costs.** Respondent shall pay to the Board its costs of investigation and prosecution in the amount of \$2,900. Respondent shall make said quarterly payments until the total amount has been paid.

The filing of bankruptcy by Respondent shall not relieve Respondent of his responsibility to reimburse the Board its costs of investigation and prosecution.

- 10. **Probation Monitoring Costs.** Respondent shall pay the costs associated with probation monitoring as determined by the Board each and every year of probation. Such costs shall be payable to the Board at the end of each year of probation. Failure to pay such costs shall be considered a violation of probation.
- 11. **Ethics Program.** During the term of probation, Respondent shall enroll in and complete a course in ethics approved in advance by the Board or its designee. All expenses associated with the ethics course shall be the responsibility of Respondent. The Board shall identify courses that will satisfy this requirement and shall provide Respondent with contact information regarding enrollment in an acceptable course. If the Board cannot identify an acceptable course within a time period that will allow Respondent to complete the course within the term of probation, this requirement will be deemed waived by the Board.

Respondent shall submit a certificate of completion to the Board or its designee within five (5) days after completing the course.

12. **Status of License**. Respondent shall, at all times while on probation, maintain an active current license with the Board, including any period during which suspension or probation is tolled.

If Respondent's license expires or is cancelled by operation of law or otherwise, upon renewal or reapplication, Respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

the effective date of this decision, should Respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, Respondent may tender his license to the Board for surrender. The Board shall have the discretion whether to grant the request for surrender or take any other action it deems appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent will no longer be subject to the terms and conditions of probation.

Upon acceptance of the surrender, Respondent shall relinquish his pocket license to the Board within 10 days of notification by the Board that the surrender is accepted. Respondent may not reapply for any license from the Board for three years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board.

- 14. **Notification of Employment/Mailing Address Change.** Respondent shall notify the Board in writing within 10 days of any change of employment. Said notification shall include the reasons for leaving and/or the address of the new employer, supervisor or owner and work schedule if known. Respondent shall notify the Board in writing within 10 days of a change in name, mailing address or phone number.
- 15. **Tolling of Probation.** Should Respondent, regardless of residency, for any reason cease practicing pharmacy for a minimum of forty (40) hours per calendar month in California, Respondent must notify the Board in writing within ten (10) days of cessation of the practice of pharmacy or the resumption of the practice of pharmacy. Such periods of time shall not apply to the reduction of the probation period. It is a violation of probation for Respondent's probation to remain tolled pursuant to the provisions of this condition for a period exceeding three years.

"Cessation of practice" means any period of time exceeding 30 days in which

Respondent is not engaged in the practice of pharmacy as defined in Section 4052 of the Business and Professions Code.

16. **Tolling of Suspension.** If Respondent leaves California to reside or practice outside this state, for any period exceeding 10 days (including vacation), Respondent must notify the Board in writing of the dates of departure and return. Periods of residency or practice outside the state, or any absence exceeding a period of 10 days, shall not apply to the reduction of the suspension period.

Respondent shall not practice pharmacy upon returning to this state until notified by the Board that the period of suspension has been completed.

17. **Violation of Probation.** If Respondent violates probation in any respect, the Board, after giving Respondent notice and an opportunity to be heard, may revoke probation and carry out the disciplinary order which was stayed. If a petition to revoke probation or an accusation is filed against Respondent during probation, the Board shall have continuing jurisdiction and the period of probation shall be extended, until the petition to revoke probation or accusation is heard and decided.

If Respondent has not complied with any term or condition of probation, the Board shall have continuing jurisdiction over Respondent, and probation shall automatically be extended until all terms and conditions have been satisfied or the Board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty which was stayed.

18. **Completion of Probation.** Upon successful completion of probation, Respondent's license will be fully restored.

ACCEPTANCE I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, John A. Cronin, Esq. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy. DATED: 1/20/2009. Respondent I have read and fully discussed with Respondent James Alton Chinn the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content. DATED: 1/20/2009 Attorney for Respondent

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Pharmacy. DATED: EDMUND G. BROWN JR., Attorney General of the State of California JAMES M. LEDAKIS Supervising Deputy Attorney General Deputy Attorney General Attorneys for Complainant DOJ Matter ID: SD2007801486 80234789.wpd

Exhibit A
Accusation No. 3094

1	EDMUND G. BROWN JR., Attorney General of the State of California		
2	Supervising Deputy Attorney General		
4	Deputy Attorney General California Department of Justice		
5	110 West "A" Street, Suite 1100 San Diego, CA 92101		
6	P.O. Box 85266 San Diego, CA 92186-5266		
7	Telephone: (619) 645-2091 Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9			
10	BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11			
12	II		
13	In the Matter of the Accusation Against:	Case No. 3094	
14		CCUCATION	
-15		ACCUSATION	
16	Original Pharmacist License No. RPH 27782		
17	Respondent.		
18) (a) (b) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c	
19	Complainant alleges:		
20	PARTIES		
21	1 Virginia Herold (Complainant) brings this Accusation solely in her official		
22	capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.		
23	2. On or about July 14, 1972, the Board of Pharmacy issued Original Pharmacist		
24	License Number RPH 27782 to James Alton Chinn, RPH (Respondent). The license was in full		
25	force and effect at all times relevant to the charges brought herein and will expire on June 30,		
26	2009, unless renewed.		
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JURISDICTION

- This Accusation is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following sections of the Business and Professions Code:
 - A. Section 4301 of the Code states in pertinent part:

"The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

"(f) The commission of any act involving moral turpitude, dishonesty, fraud, deceit, or corruption, whether the act is committed in the course of relations as a licensee or otherwise, and whether the act is a felony or misdemeanor or not.

"(j) The violation of any of the statutes of this state, or any other state, or of the United States regulating controlled substances and dangerous drugs.

"(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.

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B. Section 4063 states:

"No prescription for any dangerous drug or dangerous device may be refilled except upon authorization of the prescriber. The authorization may be given orally or at the time of giving the original prescription. No prescription for any dangerous drug that is a controlled substance may be designated refillable as needed."

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- C. Section 4059 states in pertinent part that "[a] person may not furnish any dangerous drug, except upon the prescription of a physician, dentist, podiatrist, optometrist, veterinarian, or naturopathic doctor pursuant to Section 3640.7..."
- D. Section 125.3 of the Code states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- 4. This Accusation also refers to the following sections of the California Health & Safety Code (H&S Code):
- A. H&S Code section 11171 states that "no person shall prescribe, administer or furnish a controlled substance except under the conditions and in the manner provided by this division."
 - B. H&S Code section 11173 states in pertinent part:
- "(a) No person shall obtain or attempt to obtain controlled substances, or procure or attempt to procure the administration of or prescription for controlled substances, (1) by fraud, deceit, misrepresentation, or subterfuge; or (2) by the concealment of a material fact.

"

- C. H&S Code section 11200(a) provides that "no person shall dispense or refill a controlled substance prescription more than six months after the date thereof."
- 5. This Accusation also refers to Title 16, California Code of Regulation (CCR) section 1716, which states:

"Pharmacists shall not deviate from the requirements of a prescription except upon the prior consent of the prescriber or to select the drug product in accordance with Section 4073 of the Business and Professions Code. Nothing in this regulation is intended to prohibit a pharmacist from exercising commonly-accepted pharmaceutical practice in the compounding or dispensing of a prescription."

<u>DRUGS</u>

- 6. Hydrocodone with APAP 7.5/500 is a dangerous drug and a Schedule III controlled substance under H&S Code section 11056.
- 7. Hydrocodone with APAP 5/500 is a dangerous drug and a Schedule III controlled substance under H&S Code section 11056.
- 8. "Ambien" is a brand name for zolpidem, a dangerous drug and a Schedule IV controlled substance under H&S Code section 11057.

CHARGES AND ALLEGATIONS

- 9. Between March 2002 and approximately July 2005, while employed as a pharmacist at UCSD Medical Center Pharmacy in San Diego, California, Respondent provided his wife with approximately 220 tablets of hydrocodone/APAP 7.5/500 via fraudulent prescriptions and fraudulent refills of those prescriptions.
- 10. On or about September 30, 2005 and again on or about October 31, 2005, Respondent fraudulently refilled a legitimate prescription, dated August 8, 2005, for his wife for hydrocodone/APAP 5/500. The original prescription clearly stated no refills.
- 11. On or about January 10, 2006, March 15, 2006, and May 12, 2006, Respondent fraudulently refilled a legitimate prescription, dated January 3, 2006, for his wife for 10 tablets of hydrocodone/APAP 5/500. No refills were authorized on the original prescriptions. Further, Respondent provided his wife with 40 tablets for each of the three fraudulent refills.
- 12. On or about July 24, 2003 and again on or about May 6, 2004, Respondent's wife was legally prescribed Ambien. Respondent refilled each of these prescriptions without authorization and outside the 6-month limit for refills of controlled substances, on February 6, 2004 and February 22, 2005, respectively.

CHARGES AND ALLEGATIONS

FIRST CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Repeated Acts of Dishonesty and Fraud)

13. Respondent is subject to disciplinary action under section 4301(f) in that over a long period of time he stole drugs from his employer, as more particularly alleged above and

incorporated herein by reference.

SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Unauthorized Refilling of Prescriptions)

14. Respondent is subject to disciplinary action under section 4301(o) in conjunction with section 4063 for unauthorized refilling of prescriptions, as more particularly alleged above and incorporated herein by reference.

THIRD CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Illegal Furnishing of a Controlled Substance)

15. Respondent is subject to disciplinary action under section 4301(j) in conjunction with H&S Code section 11171 and under section 4301(o) in conjunction with section 4059 in that he illegally furnished controlled substances/dangerous drugs to his wife, as more particularly alleged above and incorporated herein by reference.

FOURTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Obtaining a Controlled Substance by Fraud, Deceit, Subterfuge or Concealment of a Material Fact)

16. Respondent is subject to disciplinary action under section 4301(j) in conjunction with H&S Code section 11173 in that he obtained controlled substances by fraud, deceit, subterfuge or concealment of a material fact, as more particularly alleged above and incorporated herein by reference.

FIFTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Unauthorized Deviation From Prescription)

17. Respondent is subject to disciplinary action under section 4301(o) in conjunction with CCR section 1716 in that, regarding the hydrocodone/APAP 5/500 he supplied his wife, Respondent's refills were for more tablets (40 per refill) than was originally prescribed (10).

SIXTH CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Violation of Board Law re Refilling Prescriptions)

18. Respondent is subject to disciplinary action under section 4301(j) in conjunction with H&S Code section 11200 in that, with regard to the Ambien he supplied his wife, not only

did he furnish it without authorization but also outside the time limit for any refill of any controlled substance, as more particularly alleged above and incorporated herein by reference. PRAYER WHEREFORE. Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision: Revoking or suspending Original Pharmacist License Number RPH 27782, issued to James Alton Chinn, RPH; Ordering James Alton Chinn, RPH to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3; Taking such other and further action as deemed necessary and proper. DATED: 10/22/02 Executive Officer Board of Pharmacy Department of Consumer Affairs State of California Complainant SD2007801486