BILL LOCKYER, Attorney General
of the State of California
RITA M. LANE, State Bar No. 171352
Deputy Attorney General
California Department of Justice
110 West "A" Street, Suite 1100
San Diego, CA 92101

P.O. Box 85266
San Diego, CA 92186-5266
Telephone: (619) 645-2614
Facsimile: (619) 645-2061

Attorneys for Complainant

BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES 4263 Velton Street, #7 San Diego, CA 92104 Pharmacy Technician Registration No. TCH 25314 Respondent.	Case No. 2672 **DEFAULT DECISION AND ORDER** [Gov. Code, §11520]

FINDINGS OF FACT

1. On or about December 2, 2004, Complainant Patricia F. Harris, in her official capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs, filed Accusation No. 2672 against MARIE BROOKES (Respondent) before the Board of Pharmacy.

2. On or about March 17, 1998, the Board of Pharmacy (Board) issued Pharmacy Technician Registration No. TCH 25314 to Respondent. The Pharmacy Technician Registration was in full force and effect at all times relevant to the charges brought herein and expired on February 29, 2004.

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3. On or about December 8, 2004, Carrie L. Johnson, an employee of the Department of Justice, served by Certified and First Class Mail a copy of the Accusation No. 2672, Statement to Respondent, Notice of Defense, Request for Discovery, and Government Code sections 11507.5, 11507.6, and 11507.7 to Respondent's address of record with the Board, which was and is 4263 Velton Street, #7, San Diego, CA 92104. A copy of the Accusation, the related documents and Declaration of Service are attached as Exhibit A, and are incorporated herein by reference.

4. Service of the Accusation was effective as a matter of law under the provisions of Government Code section 11505, subdivision (c).

5. On or about December 20, 2004, the aforementioned documents were returned by the U.S. Postal Service marked "Return to Sender, attempted not known." A copy of the postal returned documents are attached hereto as Exhibit B, and are incorporated herein by reference.

6. Government Code section 11506 states, in pertinent part:

"(c) The respondent shall be entitled to a hearing on the merits if the respondent files a notice of defense, and the notice shall be deemed a specific denial of all parts of the accusation not expressly admitted. Failure to file a notice of defense shall constitute a waiver of respondent's right to a hearing, but the agency in its discretion may nevertheless grant a hearing."

7. Respondent failed to file a Notice of Defense within 15 days after service upon her of the Accusation and therefore waived her right to a hearing on the merits of Accusation No. 2672.

8. California Government Code section 11520 states, in pertinent part:

"(a) If the respondent either fails to file a notice of defense or to appear at the hearing, the agency may take action based upon the respondent's express admissions or upon other evidence and affidavits may be used as evidence without any notice to respondent."

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9. Pursuant to its authority under Government Code section 11520, the Board finds Respondent is in default. The Board will take action without further hearing and, based on Respondent's express admissions by way of default and the evidence before it, contained in Exhibits A and B, finds that the allegations in Accusation No. 2672 are true.

10. The total costs for investigation and enforcement are $2,198.75 as of December 31, 2004.

DETERMINATION OF ISSUES

1. Based on the foregoing findings of fact, Respondent MARIE BROOKES has subjected her Pharmacy Technician Registration No. TCH 25314 to discipline.

2. A copy of the Accusation and the related documents and Declaration of Service are attached.

3. The agency has jurisdiction to adjudicate this case by default.

4. The Board of Pharmacy is authorized to revoke Respondent's Pharmacy Technician Registration based upon the following violations alleged in the Accusation:

a. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 4301(l) in that she was convicted of a crime. The circumstances are as follows:

1. On or about September 5, 2002, Respondent pled guilty to one (1) count of violation of Health and Safety Code section 11173(a), obtaining a prescription by fraud and deceit, a felony, in the criminal proceeding entitled *People vs. Marie Elizabeth Brookes,* San Diego Superior Court, Case Number SCD168487.

2. On January 21, 2003, Respondent was sentenced and placed on summary probation until January 20, 2006; committed to the sheriff for one day; fine of $200 plus penalty assessment; restitution fine of $200; restitution to victim of $3,666.50 joint at $25 a month combined to start February 3, 2003; and pay court appointed fees ordered in the amount of $570.

3. The circumstances of the crime are that UCSD Medical Center Pharmacy ("Pharmacy") discovered an internal diversion of prescription drugs. The

Pharmacy discovered several forged prescriptions for Vicodin, Vicodin ES and Lortab. The Co-Director of the Pharmacy narrowed down the diversion to Respondent as she was the only employee who was on shift when every one of the prescriptions was dispensed. On July 2, 2002, the police were contacted when Pharmacy staff processed three fraudulent prescriptions for narcotics for patients "Bernardino," "Stokes" and "Jauregui." Respondent was working and when police arrived, Respondent admitted that she had processed and dispensed the prescriptions in question and that she was doing this for her friend Veda Valencia. Ms. Valencia would forge prescriptions and then Respondent would arrange for the forged prescriptions to be filled in the pharmacy. Ms. Valencia would call and confirm that the prescriptions were ready. Ms. Valencia would drive to the pharmacy with 2 to 3 people and arrange for them to pick up the prescriptions from Respondent.

4. On July 2, 2002 Ms. Valencia drove to the pharmacy where Respondent was working. Ms. Valencia was accompanied by her 13 year old daughter and a 14 year old female friend of the daughter. Ms. Valencia dropped off the two minors at the pharmacy and the two minors attempted to pick up the fraudulent prescriptions processed by Respondent. The two minors were arrested in the pharmacy and Ms. Valencia was arrested in the parking lot.

b. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 490 in that Respondent has been convicted of a crime substantially related to the qualifications, functions, or duties of a Pharmacy Technician as specified in paragraph 4(a) above, which allegations are realleged and incorporated herein by reference.

c. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 4301(f) for the commission of acts involving moral turpitude, dishonesty, fraud and deceit in that she knowingly processed and dispensed forged prescriptions for Ms. Valencia as specified in paragraph 4(a) above, which allegations are realleged and incorporated herein by reference.

d. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 4301(j) for violating statutes of this state regulating controlled substances and dangerous drugs whereby Respondent processed and dispensed forged prescriptions as specified in paragraph 4(a) above, which allegations are realleged and incorporated herein by reference.

e. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 4301(o) for violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of Chapter 9 (commencing with Section 4000) of the Business and Professions Code or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board provision statutes of this state regulating controlled substances and dangerous drugs when respondent processed and dispensed forged prescriptions as specified in paragraph 4(a) above, which allegations are realleged and incorporated herein by reference.

f. Respondent has subjected her license to disciplinary action for unprofessional conduct under Business and Professions Code section 4301(p) for actions or conduct that would have warranted denial of a license as specified in paragraph 4(a) above, which allegations are realleged and incorporated herein by reference.

ORDER

IT IS SO ORDERED that Pharmacy Technician Registration No. TCH 25314, heretofore issued to Respondent MARIE BROOKES, is revoked.

Pursuant to Government Code section 11520, subdivision (c), Respondent may serve a written motion requesting that the Decision be vacated and stating the grounds relied on

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within seven (7) days after service of the Decision on Respondent. The agency in its discretion may vacate the Decision and grant a hearing on a showing of good cause, as defined in the statute.

This Decision shall become effective on March 6, 2005.

It is so ORDERED February 4, 2005

BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

By

STANLEY W. GOLDENBERG
Board President

Attachments:

Exhibit A: Accusation No.2672, Related Documents, and Declaration of Service
Exhibit B: Postal Return Documents

DOJ docket number:03583 -SD2003800335
BROOKS Default.wpd

Exhibit A

Accusation No. 2672,
Related Documents and Declaration of Service

BILL LOCKYER, Attorney General
of the State of California
RITA M. LANE, State Bar No. 171352
Deputy Attorney General
California Department of Justice
110 West "A" Street, Suite 1100
San Diego, CA 92101

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Attorneys for Complainant

BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES, TCH Respondent.	Case No. 2672 **STATEMENT TO RESPONDENT** [Gov. Code §§ 11504, 11505(b)]

TO RESPONDENT:

Enclosed is a copy of the Accusation that has been filed with the Board of Pharmacy of the Department of Consumer Affairs (Board), and which is hereby served on you.

Unless a written request for a hearing signed by you or on your behalf is delivered or mailed to the Board, represented by Deputy Attorney General Rita M. Lane, within fifteen (15) days after a copy of the Accusation was personally served on you or mailed to you, you will be deemed to have waived your right to a hearing in this matter and the Board may proceed upon the Accusation without a hearing and may take action thereon as provided by law.

The request for hearing may be made by delivering or mailing one of the enclosed forms entitled "Notice of Defense," or by delivering or mailing a Notice of Defense as provided in section 11506 of the Government Code, to

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Rita M. Lane
Deputy Attorney General
110 West "A" Street, Suite 1100
San Diego, California 92101

P.O. Box 85266
San Diego, California 92186-5266.

You may, but need not, be represented by counsel at any or all stages of these proceedings.

The enclosed Notice of Defense, if signed and filed with the Board, shall be deemed a specific denial of all parts of the Accusation, but you will not be permitted to raise any objection to the form of the Accusation unless you file a further Notice of Defense as provided in section 11506 of the Government Code within fifteen (15) days after service of the Accusation on you.

If you file any Notice of Defense within the time permitted, a hearing will be held on the charges made in the Accusation.

The hearing may be postponed for good cause. If you have good cause, you are obliged to notify the Office of Administrative Hearings, 1350 Front Street, Suite 6022, San Diego, California 92101, within ten (10) working days after you discover the good cause. Failure to notify the Office of Administrative Hearings within ten (10) days will deprive you of a postponement.

Copies of sections 11507.5, 11507.6, and 11507.7 of the Government Code are enclosed.

If you desire the names and addresses of witnesses or an opportunity to inspect and copy the items mentioned in section 11507.6 of the Government Code in the possession, custody or control of the Board you may send a Request for Discovery to the above designated Deputy Attorney General.

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NOTICE REGARDING STIPULATED SETTLEMENTS

It may be possible to avoid the time, expense and uncertainties involved in an administrative hearing by disposing of this matter through a stipulated settlement. A stipulated settlement is a binding written agreement between you and the government regarding the matters charged and the discipline to be imposed. Such a stipulation would have to be approved by the Board of Pharmacy but, once approved, it would be incorporated into a final order.

Any stipulation must be consistent with the Board's established disciplinary guidelines; however, all matters in mitigation or aggravation will be considered. A copy of the Board's Disciplinary Guidelines will be provided to you on your written request to the state agency bringing this action.

If you are interested in pursuing this alternative to a formal administrative hearing, or if you have any questions, you or your attorney should contact Deputy Attorney General Rita M. Lane at the earliest opportunity.

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BILL LOCKYER, Attorney General
of the State of California
RITA M. LANE, State Bar No. 171352
Deputy Attorney General
California Department of Justice
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Attorneys for Complainant

BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES 4263 Velton Street, #7 San Diego, CA 92104 Pharmacy Technician Registration No. TCH 25314 Respondent.	Case No. 2672 **A C C U S A T I O N**

Complainant alleges:

PARTIES

1. Patricia F. Harris (Complainant) brings this Accusation solely in her official capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.

2. On or about March 17, 1998, the Board of Pharmacy issued Pharmacy Technician Registration Number TCH 25314 to MARIE BROOKES (Respondent). The Pharmacy Technician Registration was in full force and effect at all times relevant to the charges brought herein and expired on February 29, 2004.

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JURISDICTION

3. This Accusation is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

4. California Code of Regulations, title 16, section 1770, states:

For the purpose of denial, suspension, or revocation of a personal or facility license pursuant to Division 1.5 (commencing with Section 475) of the Business and Professions Code, a crime or act shall be considered substantially related to the qualifications, functions or duties of a licensee or registrant if to a substantial degree it evidences present or potential unfitness of a licensee or registrant to perform the functions authorized by his license or registration in a manner consistent with the public health, safety, or welfare.

5. Section 4300 of the Code states:

(a) Every license issued may be suspended or revoked.

(b) The board shall discipline the holder of any license issued by the board, whose default has been entered or whose case has been heard by the board and found guilty, by any of the following methods:

(1) Suspending judgment.

(2) Placing him or her upon probation.

(3) Suspending his or her right to practice for a period not exceeding one year.

(4) Revoking his or her license.

(5) Taking any other action in relation to disciplining him or her as the board in its discretion may deem proper.

6. Section 4301 of the Code states:

The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

(f) The commission of any act involving moral turpitude, dishonesty, fraud, deceit, or corruption, whether the act is committed in the course of relations as a licensee or otherwise, and whether the act is a felony or misdemeanor or not.

. . .

(j) The violation of any of the statutes of this state or of the United States regulating controlled substances and dangerous drugs.

. . .

(l) The conviction of a crime substantially related to the qualifications, functions, and duties of a licensee under this chapter. The record of conviction of a violation of Chapter 13 (commencing with Section 801) of Title 21 of the United States Code regulating controlled substances or of a violation of the statutes of this state regulating controlled substances or dangerous drugs shall be conclusive evidence of unprofessional conduct. In all other cases, the record of conviction shall be conclusive evidence only of the fact that the conviction occurred. The board may inquire into the circumstances surrounding the commission of the crime, in order to fix the degree of discipline or, in the case of a conviction not involving controlled substances or dangerous drugs, to determine if the conviction is of an offense substantially related to the qualifications, functions, and duties of a licensee under this chapter. A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning of this provision. The board may take action when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under Section 1203.4 of the Penal Code allowing the person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or indictment.

. . .

(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the

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applicable federal and state laws and regulations governing pharmacy, including regulations established by the board.

(p) Actions or conduct that would have warranted denial of a license.

7. Section 4022 of the Code states:

"Dangerous drug" or "dangerous device" means any drug or device unsafe for self-use, except veterinary drugs that are labeled as such, and includes the following:

(a) Any drug that bears the legend: "Caution: federal law prohibits dispensing without prescription," "Rx only," or words of similar import.

(b) Any device that bears the statement: "Caution: federal law restricts this device to sale by or on the order of a _____," "Rx only," or words of similar import, the blank to be filled in with the designation of the practitioner licensed to use or order use of the device.

(c) Any other drug or device that by federal or state law can be lawfully dispensed only on prescription or furnished pursuant to Section 4006.

8. Section 4059 of the Code states:

(a) A person may not furnish any dangerous drug, except upon the prescription of a physician, dentist, podiatrist, optometrist, or veterinarian. A person may not furnish any dangerous device, except upon the prescription of a physician, dentist, podiatrist, optometrist, or veterinarian.

9. Section 490 of the Code states:

A board may suspend or revoke a license on the ground that the licensee has been convicted of a crime, if the crime is substantially related to the qualifications, functions, or duties of the business or profession for which the license was issued. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action which a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code."

10. Section 493 of the Code states:

Notwithstanding any other provision of law, in a proceeding conducted by a board within the department pursuant to law to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question.

As used in this section, 'license' includes 'certificate,' 'permit,' 'authority,' and 'registration.'

11. Section 118, subdivision (b), of the Code provides that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.

12. Section 125.3 of the Code states, in pertinent part, that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

13. **Drugs.**

a. "Loretab" is a dangerous drug pursuant to Code section 4022 and is a Schedule III controlled substance pursuant to Health and Safety Code section 11056(e)4). It is used to treat moderate to severe pain.

b. "Vicodin" is a dangerous drug pursuant to Code section 4022 and is a Schedule III controlled substance pursuant to Health and Safety Code section 11056(e)(4). It is used to treat moderate to severe pain.

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c. "Vicodin ES" is a dangerous drug pursuant to Code section 4022 and is a Schedule III controlled substance pursuant to Health and Safety Code section 11056(e)(4). It is used to treat moderate to severe pain.

FIRST CAUSE FOR DISCIPLINE

(Conviction of a Crime)

14. Respondent's license is subject to discipline for unprofessional conduct under section 4301(l) in that she was convicted of a crime. The circumstances are as follows:

a. On or about September 5, 2002, Respondent pled guilty to one (1) count of violation of Health and Safety Code section 11173(a), obtaining a prescription by fraud and deceit, a felony, in the criminal proceeding entitled *People vs. Marie Elizabeth Brookes,* San Diego Superior Court, Case Number SCD168487.

b. On January 21, 2003, Respondent was sentenced and placed on summary probation until January 20, 2006; committed to the sheriff for one day; fine of $200 plus penalty assessment; restitution fine of $200; restitution to victim of $3,666.50 joint at $25 a month combined to start February 3, 2003; and pay court appointed fees ordered in the amount of $570.

c. The circumstances of the crime are that UCSD Medical Center Pharmacy ("Pharmacy") discovered an internal diversion of prescription drugs. The Pharmacy discovered several forged prescriptions for Vicodin, Vicodin ES and Lortab. The Co-Director of the Pharmacy narrowed down the diversion to Respondent as she was the only employee who was on shift when every one of the prescriptions was dispensed. On July 2, 2002, the police were contacted when Pharmacy staff processed three fraudulent prescriptions for narcotics for patients "Bernardino," "Stokes" and "Jauregui." Respondent was working and when police arrived, Respondent admitted that she had processed and dispensed the prescriptions in question and that she was doing this for her friend Veda Valencia. Ms. Valencia would forge prescriptions and then Respondent would arrange for the forged prescriptions to be filled in the pharmacy. Ms. Valencia would call and confirm that the prescriptions were ready. Ms. Valencia would drive to the pharmacy with 2 to 3 people and arrange for them to pick up the prescriptions from Respondent.

d. On July 2, 2002 Ms. Valencia drove to the pharmacy where Respondent was working. Ms. Valencia was accompanied by her 13 year old daughter and a 14 year old female friend of the daughter. Ms. Valencia dropped off the two minors at the pharmacy and the two minors attempted to pick up the fraudulent prescriptions processed by Respondent. The two minors were arrested in the pharmacy and Ms. Valencia was arrested in the parking lot.

SECOND CAUSE FOR DISCIPLINE

(Conviction of a Crime Substantially Related to the Qualifications, Functions, or Duties of a Pharmacy Technician)

15. Respondent's license is subject to discipline for unprofessional conduct under section 490 in that Respondent has been convicted of a crime substantially related to the qualifications, functions, or duties of a Pharmacy Technician as specified in paragraph 14 above, which allegations are realleged and incorporated herein by reference.

THIRD CAUSE FOR DISCIPLINE

(Acts Involving Moral Turpitude and Dishonesty)

16. Respondent's license is subject to discipline for unprofessional conduct under section 4301(f) for the commission of acts involving moral turpitude, dishonesty, fraud and deceit in that she knowingly processed and dispensed forged prescriptions for Ms. Valencia as specified in paragraph 14 above, which allegations are realleged and incorporated herein by reference.

FOURTH CAUSE FOR DISCIPLINE

(Violation of Statutes)

17. Respondent's license is subject to discipline for unprofessional conduct under section 4301(j) for violating statutes of this state regulating controlled substances and dangerous drugs whereby Respondent processed and dispensed forged prescriptions as specified in paragraph 14 above, which allegations are realleged and incorporated herein by reference.

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FIFTH CAUSE FOR DISCIPLINE

(Violation of Statutes)

18. Respondent's license is subject to discipline for unprofessional conduct under section 4301(o) for violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of Chapter 9 (commencing with Section 4000) of the Business and Professions Code or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board provision statutes of this state regulating controlled substances and dangerous drugs when respondent processed and dispensed forged prescriptions as specified in paragraph 14 above, which allegations are realleged and incorporated herein by reference.

SIXTH CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

19. Respondent is subject to disciplinary action for unprofessional conduct under section 4301(p) for actions or conduct that would have warranted denial of a license as specified in paragraph 14 above, which allegations are realleged and incorporated herein by reference.

DISCIPLINE CONSIDERATIONS

20. To determine the degree of discipline, if any, to be imposed on Respondent, Complainant alleges that on or about September 5, 2002, Respondent pled guilty to one (1) count of violation of Health and Safety Code section 11173(a), obtaining a prescription by fraud and deceit, a felony, in the criminal proceeding entitled *People vs. Marie Elizabeth Brookes,* San Diego Superior Court, Case Number SCD168487. On January 21, 2003, Respondent was sentenced and placed on summary probation until January 20, 2006; committed to the sheriff for one day; fine of $200 plus penalty assessment; restitution fine of $200; restitution to victim of $3,666.50 joint at $25 a month combined to start February 3, 2003; and pay court appointed fees ordered in the amount of $570. The record of the criminal proceeding is incorporated as if fully set forth.

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PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

A. Revoking or suspending Pharmacy Technician Registration Number TCH 25314 issued to MARIE BROOKES.

B. Ordering MARIE BROOKES to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case pursuant to Business and Professions Code section 125.3;

C. Taking such other and further action as deemed necessary and proper.

DATED: 12/2/04

P. F. Harris

PATRICIA F. HARRIS
Executive Officer
Board of Pharmacy
Department of Consumer Affairs
State of California
Complainant

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BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES, TCH Respondent.	Case No. 2672 **NOTICE OF DEFENSE** [Gov. Code §§ 11505 and 11506]

I, the undersigned Respondent in the above-entitled proceeding, hereby acknowledge receipt of a copy of the Accusation; Statement to Respondent; Government Code sections 11507.5, 11507.6 and 11507.7, Complainant's Request for Discovery; and two copies of a Notice of Defense.

I hereby request a hearing to permit me to present my defense to the charges contained in the Accusation.

DATED: _____

Respondent's Name _____

Respondent's Signature _____

Respondent's Mailing Address _____

City, State and Zip Code _____

Respondent's Telephone Number _____

Check appropriate box:

☐ I am represented by counsel, whose name, address and telephone number appear below:

Counsel's Name _____

Counsel's Mailing Address _____

City, State and Zip Code _____

Counsel's Telephone Number _____

☐ I am not now represented by counsel. If and when counsel is retained, immediate notification of the attorney's name, address and telephone number will be filed with the Office of Administrative Hearing and a copy sent to counsel for Complainant so that counsel will be on record to receive legal notices, pleadings and other papers.

The agency taking the action described in the Accusation may have formulated guidelines to assist the administrative law judge in reaching an appropriate penalty. You may obtain a copy of the guidelines by requesting them from the agency in writing.

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BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES, TCH Respondent.	Case No. 2672 **NOTICE OF DEFENSE** [Gov. Code §§ 11505 and 11506]

I, the undersigned Respondent in the above-entitled proceeding, hereby acknowledge receipt of a copy of the Accusation; Statement to Respondent; Government Code sections 11507.5, 11507.6 and 11507.7, Complainant's Request for Discovery; and two copies of a Notice of Defense.

I hereby request a hearing to permit me to present my defense to the charges contained in the Accusation.

DATED: _____

Respondent's Name _____

Respondent's Signature _____

Respondent's Mailing Address _____

City, State and Zip Code _____

Respondent's Telephone Number _____

Check appropriate box:

☐ I am represented by counsel, whose name, address and telephone number appear below:

Counsel's Name _____

Counsel's Mailing Address _____

City, State and Zip Code _____

Counsel's Telephone Number _____

☐ I am not now represented by counsel. If and when counsel is retained, immediate notification of the attorney's name, address and telephone number will be filed with the Office of Administrative Hearing and a copy sent to counsel for Complainant so that counsel will be on record to receive legal notices, pleadings and other papers.

The agency taking the action described in the Accusation may have formulated guidelines to assist the administrative law judge in reaching an appropriate penalty. You may obtain a copy of the guidelines by requesting them from the agency in writing.

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Attorneys for Complainant

BEFORE THE
BOARD OF PHARMACY
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against: MARIE BROOKES, TCH Respondent.	Case No. 2672 **REQUEST FOR DISCOVERY** [Gov. Code § 11507.6]

TO RESPONDENT:

Under section 11507.6 of the Government Code of the State of California, parties to an administrative hearing, including the Complainant, are entitled to certain information concerning the opposing party's case. A copy of the provisions of section 11507.6 of the Government Code concerning such rights is included among the papers served.

PURSUANT TO SECTION 11507.6 OF THE GOVERNMENT CODE, YOU ARE HEREBY REQUESTED TO:

1. Provide the names and addresses of witnesses to the extent known to the Respondent, including, but not limited to, those intended to be called to testify at the hearing, and

2. Provide an opportunity for the Complainant to inspect and make a copy of any of the following in the possession or custody or under control of the Respondent:

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a. A statement of a person, other than the Respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the Respondent as to this person is the basis for the administrative proceeding;

b. A statement pertaining to the subject matter of the proceeding made by any party to another party or persons;

c. Statements of witnesses then proposed to be called by the Respondent and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

d. All writings, including but not limited to reports of mental, physical and blood examinations and things which the Respondent now proposes to offer in evidence;

e. Any other writing or thing which is relevant and which would be admissible in evidence, including but not limited to, any patient or hospital records pertaining to the persons named in the pleading;

f. Investigative reports made by or on behalf of the Respondent pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this Request for Discovery, "statements" include written statements by the person, signed, or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

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YOU ARE HEREBY FURTHER NOTIFIED that nothing in this Request for Discovery should be deemed to authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as attorney's work product.

Your response to this Request for Discovery should be directed to the undersigned attorney for the Complainant at the address on the first page of this Request for Discovery **within 30 days after service** of the Accusation.

Failure without substantial justification to comply with this Request for Discovery may subject the Respondent to sanctions pursuant to sections 11507.7 and 11455.10 to 11455.30 of the Government Code.

DATED: December 8, 2004

BILL LOCKYER, Attorney General
of the State of California

RITA M. LANE
Deputy Attorney General

Attorneys for Complainant

RML:clj
80044762.wpd

COPY OF GOVERNMENT CODE SECTIONS 11507.5, 11507.6 AND 11507.7 PROVIDED PURSUANT TO GOVERNMENT CODE SECTIONS 11504 AND 11505

SECTION 11507.5: Exclusivity of discovery provisions

The provisions of Section 11507.6 provide the exclusive right to and method of discovery as to any proceeding governed by this chapter.

SECTION 11507.6: Request for discovery

After initiation of a proceeding in which a respondent or other party is entitled to a hearing on the merits, a party, upon written request made to another party, prior to the hearing and within 30 days after service by the agency of the initial pleading or within 15 days after the service of an additional pleading, is entitled to (1) obtain the names and addresses of witnesses to the extent known to the other party, including, but not limited to, those intended to be called to testify at the hearing, and (2) inspect and make a copy of any of the following in the possession or custody or under the control of the other party:

(a) A statement of a person, other than the respondent, named in the initial administrative pleading, or in any additional pleading, when it is claimed that the act or omission of the respondent as to this person is the basis for the administrative proceeding;

(b) A statement pertaining to the subject matter of the proceeding made by any party to another party or person;

(c) Statements of witnesses then proposed to be called by the party and of other persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, not included in (a) or (b) above;

(d) All writings, including, but not limited to, reports of mental, physical and blood examinations and things which the party then proposes to offer in evidence;

(e) Any other writing or thing which is relevant and which would be admissible in evidence;

(f) Investigative reports made by or on behalf of the agency or other party pertaining to the subject matter of the proceeding, to the extent that these reports (1) contain the names and addresses of witnesses or of persons having personal knowledge of the acts, omissions or events which are the basis for the proceeding, or (2) reflect matters perceived by the investigator in the course of his or her investigation, or (3) contain or include by attachment any statement or writing described in (a) to (e), inclusive, or summary thereof.

For the purpose of this section, "statements" include written statements by the person signed or otherwise authenticated by him or her, stenographic, mechanical, electrical or other recordings, or transcripts thereof, of oral statements by the person, and written reports or summaries of these oral statements.

Nothing in this section shall authorize the inspection or copying of any writing or thing which is privileged from disclosure by law or otherwise made confidential or protected as the attorney's work product.

SECTION 11507.7: Petition to compel discovery; Order; Sanctions

(a) Any party claiming the party's request for discovery pursuant to Section 11507.6 has not been complied with may serve and file with the administrative law judge a motion to compel discovery, naming as respondent the party refusing or failing to comply with Section 11507.6. The motion shall state facts showing the respondent party failed or refused to comply with Section 11507.6, a description of the matters sought to be discovered, the reason or reasons why the matter is discoverable under that section, that a reasonable and good faith attempt to contact the respondent for an informal resolution of the issue has been made, and the ground or grounds of respondent's refusal so far as known to the moving party.

(b) The motion shall be served upon respondent party and filed within 15 days after the respondent party first evidenced failure or refusal to comply with Section 11507.6 or within 30 days after request was made and the party has failed to reply to the request, or within another time provided by stipulation, whichever period is longer.

(c) The hearing on the motion to compel discovery shall be held within 15 days after the motion is made, or a later time that the administrative law judge may on the judge's own motion for good cause determine. The respondent party shall have the right to serve and file a written answer or other response to the motion before or at the time of the hearing.

(d) Where the matter sought to be discovered is under the custody or control of the respondent party and the respondent party asserts that the matter is not a discoverable matter under the provisions of Section 11507.6, or is privileged against disclosure under those provisions, the administrative law judge may order lodged with it matters provided in subdivision (b) of Section 915 of the Evidence Code and examine the matters in accordance with its provisions.

(e) The administrative law judge shall decide the case on the matters examined in camera, the papers filed by the parties, and such oral argument and additional evidence as the administrative law judge may allow.

(f) Unless otherwise stipulated by the parties, the administrative law judge shall no later than 15 days after the hearing make its order denying or granting the motion. The order shall be in writing setting forth the matters the moving party is entitled to discover under Section 11507.6. A copy of the order shall forthwith be served by mail by the administrative law judge upon the parties. Where the order grants the motion in whole or in part, the order shall not become effective until 10 days after the date the order is served. Where the order denies relief to the moving party, the order shall be effective on the date it is served.

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DECLARATION OF SERVICE BY CERTIFIED MAIL AND FIRST CLASS MAIL
(Separate Mailings)

Case Name: **In the Matter of the Accusation Against Marie Brookes, TCH**

Case No.: **2672**

I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar at which member's direction this service is made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service that same day in the ordinary course of business.

On December 8, 2004, I served the attached **STATEMENT TO RESPONDENT, ACCUSATION, NOTICE OF DEFENSE (2 copies), REQUEST FOR DISCOVERY, AND DISCOVERY STATUTES** by placing a true copy thereof enclosed in a sealed envelope as certified mail with postage thereon fully prepaid and return receipt requested, and another true copy of the **STATEMENT TO RESPONDENT, ACCUSATION, NOTICE OF DEFENSE (2 copies), REQUEST FOR DISCOVERY, AND DISCOVERY STATUTES** was enclosed in a second sealed envelope as first class mail with postage
mail collection system at the Office of the Attorney Gen

7160 3901 9848 7819 4812

TO: Marie Brookes
4263 Velton Street, #7
San Diego, CA 92104

Marie Brookes
4263 Velton Street, #7
San Diego, CA 92104

Certified Article
7160 3901 9848 78
SENDERS RE

I declare under penalty of perjury under the laws of the S
and correct and that this declaration was executed on De
California.

SENDER: RML/Carrie Johnson
REFERENCE: Marie Brookes
Accusation
December 8, 2004

PS Form 3800, June 2000

RETURN RECEIPT SERVICE	Postage	
	Certified Fee	
	Return Receipt Fee	
	Restricted Delivery	
	Total Postage & Fees	

US Postal Service
Receipt for Certified Mail
No Insurance Coverage Provided
Do Not Use for International Mail

POSTMARK OR DATE

Carrie L. Johnson
Declarant

cc: Patricia Harris, Executive Officer - Board of Pharma

80044769.wpd

Exhibit B

Postal Return Documents

ATTORNEY GENERAL
SAN DIEGO
2004 DEC 20 AM 10:44

SAN DIEGO
CA

POSTAGE
01.06
11 METER 416281

FIRST CLASS MAIL

RETURN TO SENDER

☐ UNDELIVERABLE AS ADDRESSED
☐ INSUFFICIENT ADDRESS
☑ ATTEMPTED NOT KNOWN
☐ NO SUCH NUMBER
☐ OTHER
ROUTE # 426 INITIALS

STATE OF CALIFORNIA
DEPARTMENT OF JUSTICE
OFFICE OF ATTORNEY GENERAL
110 WEST A STREET, SUITE 1100
P.O. BOX 85266
SAN DIEGO, CA 92186-5266

Marie Brookes
4263 Velton Street, #7
San Diego, CA 92104

7160 3901 9848 7819 4812

RETURN RECEIPT REQUESTED

SAN DIEGO
DEC 08 '04
CA

U.S. POS
05.3
H METER 4162

FIRST CLASS MAIL

STATE OF CALIFORNIA
DEPARTMENT OF JUSTICE
OFFICE OF ATTORNEY GENERAL
110 WEST A STREET, SUITE 1100
P.O. BOX 85266
SAN DIEGO, CA 92186-5266

Marie Brookes
4263 Felton Street, #7
San Diego, CA 92104

NL
12/11/4

RETURNED TO SENDER
REASON CHECKED
Unclaimed
Attempt to Not known
Unclaimed
Address
Number
Not at office in state
Remail in this
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1st NOTICE
2nd NOTICE
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12-24
1-4