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1	KAMALA D. HARRIS
2.	Attorney General of California ALFREDO TERRAZAS
3	Senior Assistant Attorney General JAMES M. LEDAKIS
4	Supervising Deputy Attorney General State Bar No. 132645
5	110 West "A" Street, Suite 1100 San Diego, CA 92101
6	P.O. Box 85266 San Diego, CA 92186-5266
7	Telephone: (619) 645-2105 Facsimile: (619) 645-2061
	Attorneys for Complainant
8	BEFORE THE
9	BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS
10	STATE OF CALIFORNIA
11	In the Matter of the Statement of Issues Against: Case No. 4206
12	SHANNON LYNNE DUTCHESS STATEMENT OF ISSUES
13	P. O. Box 7491 Torrance, CA 90504
14	Respondent.
15	Respondent.
16	Complainant alleges:
17	PARTIES
18	1. Virginia Herold (Complainant) brings this Statement of Issues solely in her official
19	capacity as the Executive Officer of the Board of Pharmacy, Department of Consumer Affairs.
20	2. In or about December of, 2010, the Board of Pharmacy, Department of Consumer
21	Affairs received an application for a Pharmacy Technician Registration from Shannon Lynne
22	Dutchess (Respondent). On or about December 14, 2010, Shannon Lynne Dutchess certified
23	under penalty of perjury to the truthfulness of all statements, answers, and representations in the
24	application. The Board denied the application on October 6, 2011.
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STATEMENT OF ISSUES

3. This Statement of Issues is brought before the Board of Pharmacy (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code (Code) unless otherwise indicated.

4. Section 4300, subdivision (c) of the Code states "The board may refuse a license to any applicant guilty of unprofessional conduct."

STATUTORY PROVISIONS

- 5. Section 475 of the Code states:
- (a) Notwithstanding any other provisions of this code, the provisions of this division shall govern the denial of licenses on the grounds of:
- (1) Knowingly making a false statement of material fact, or knowingly emitting to state a material fact, in an application for a license.
 - (2) Conviction of a crime.
- (3) Commission of any act involving dishonesty, fraud or deceit with the intent to substantially benefit himself or another, or substantially injure another.
- (4) Commission of any act which, if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.
- 6. Section 480 of the Code states:
- (a) A board may deny a license regulated by this code on the grounds that the applicant has one of the following:
- (1) Been convicted of a crime. A conviction within the meaning of this section means a plea or verdict of guilty or a conviction following a plea of nolo contendere. Any action that a board is permitted to take following the establishment of a conviction may be taken when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal, or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under the provisions of Section 1203.4 of the Penal Code.
- (2) Done any act involving dishonesty, fraud, or deceit with the intent to substantially benefit himself or herself or another, or substantially injure another.
- (3) (A) Done any act that if done by a licentiate of the business or profession in question, would be grounds for suspension or revocation of license.

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(B) The board may deny a license pursuant to this subdivision only if the crime or act is substantially related to the qualifications, functions, or duties of the business or profession for which application is made.

7. Section 482 of the Code states:

Each board under the provisions of this code shall develop criteria to evaluate the rehabilitation of a person when:

- (a) Considering the denial of a license by the board under Section 480; or
- (b) Considering suspension or revocation of a license under Section 490.

Each board shall take into account all competent evidence of rehabilitation furnished by the applicant or licensee.

8. Section 493 of the Code states:

Notwithstanding any other provision of law, in a proceeding conducted by a board within the department pursuant to law to deny an application for a license or to suspend or revoke a license or otherwise take disciplinary action against a person who holds a license, upon the ground that the applicant or the licensee has been convicted of a crime substantially related to the qualifications, functions, and duties of the licensee in question, the record of conviction of the crime shall be conclusive evidence of the fact that the conviction occurred, but only of that fact, and the board may inquire into the circumstances surrounding the commission of the crime in order to fix the degree of discipline or to determine if the conviction is substantially related to the qualifications, functions, and duties of the licensee in question.

As used in this section, "license" includes "certificate," "permit," "authority," and "registration."

9. Section 4022 of the Code states

"Dangerous drug" or "dangerous device" means any drug or device unsafe for self-use in humans or animals, and includes the following:

- (a) Any drug that bears the legend: "Caution: federal law prohibits dispensing without prescription," "Rx only," or words of similar import.
- (b) Any device that bears the statement: "Caution: federal law restricts this device to sale by or on the order of a _____," "Rx only," or words of similar import, the blank to be filled in with the designation of the practitioner licensed to use or order use of the device.
- (c) Any other drug or device that by federal or state law can be lawfully dispensed only on prescription or furnished pursuant to Section 4006.

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10. Section 4301 of the Code states:

The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but is not limited to, any of the following:

- (f) The commission of any act involving moral turpitude, dishonesty, fraud, deceit, or corruption, whether the act is committed in the course of relations as a licensee or otherwise, and whether the act is a felony or misdemeanor or not.
- (g) Knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts.

(l) The conviction of a crime substantially related to the qualifications, functions, and duties of a licensee under this chapter. The record of conviction of a violation of Chapter 13 (commencing with Section 801) of Title 21 of the United States Code regulating controlled substances or of a violation of the statutes of this state regulating controlled substances or dangerous drugs shall be conclusive evidence of unprofessional conduct. In all other cases, the record of conviction shall be conclusive evidence only of the fact that the conviction occurred. The board may inquire into the circumstances surrounding the commission of the crime, in order to fix the degree of discipline or, in the case of a conviction not involving controlled substances or dangerous drugs, to determine if the conviction is of an offense substantially related to the qualifications, functions, and duties of a licensee under this chapter. A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning of this provision. The board may take action when the time for appeal has elapsed, or the judgment of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under Section 1203.4 of the Penal Code allowing the person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or indictment.

REGULATORY PROVISIONS

- 11. California Code of Regulations, title 16, section 1769 states:
- (a) When considering the denial of a facility or personal license under Section 480 of the Business and Professions Code, the board, in evaluating the rehabilitation of the applicant and his present eligibility for licensing or registration, will consider the following criteria:
- (1) The nature and severity of the act(s) or offense(s) under consideration as grounds for denial.

STATEMENT OF ISSUES

- 16. On or about October 31, 2007, in a criminal proceeding entitled *The People of the State of California v. Shannon L. Dutchess*, in the Los Angeles Superior Court, Santa Monica Judicial District, Case No. 7SM0456902, Respondent was convicted of violating Penal Code section 484(a) (petty theft), a misdemeanor.
- 17. As a result of the conviction, the Court ordered that Respondent be placed on one year probation and ordered her to serve two days in the county jail.
- October 30, 1997, Santa Monica Police Department officers were dispatched to the Macy's Department Store in Santa Monica, CA, regarding two petty theft suspects in custody. Upon arrival, officers made contact with security personnel who gave officers a copy of the store theft report and two Polaroid photographs of the stolen merchandise. Security personnel informed officers that at about 2045 hours, they were monitoring their security system when they saw two women, one of which was Respondent, take items from the display racks and shelves and place the items in their purses, then walk out of the store without paying for the items. The suspects were detained outside the store by security personnel and escorted back into the store.
- 19. Security personnel recovered the stolen items and Respondent was placed under arrest. Santa Monica Police officers transported Respondent to the Santa Monica County Jail and booked. Officers found Respondent had two outstanding warrants and was booked for the warrants as well.

SECOND CAUSE FOR DENIAL OF APPLICATION

(November 4, 1997 Criminal Conviction for Petty Theft With a Prior)

20. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:

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- 21. On or about November 4, 1997, in a criminal proceeding entitled *The People of the State of California v. Shannon L. Dutchess*, in the Los Angeles Superior Court, Santa Monica Judicial District, Case No. 7SM467101, Respondent was convicted of violating Penal Code section 484(a)/666 (petty theft with a prior), a misdemeanor.
- 22. As a result of the conviction, the Court ordered that Respondent be placed on two years probation and ordered her to serve thirty (30) days in the county jail.
- 23. The facts and circumstances that led to the conviction are that on or about November 4, 1997, Santa Monica Police Department officers were dispatched to the Sears Department Store located on Colorado Avenue in Santa Monica, CA, regarding a petty theft suspect in custody.
- 24. Upon arrival, officers made contact with Sears loss prevention staff, who informed officers that at approximately 1810 hours, they observed Respondent carrying a stack of Levi jeans with the tags still on them, and quickly walk down the escalator towards the east doors. Respondent was observed to pass several cash registers on her way out of the store without making an attempt to pay for the merchandise she was carrying.
- 25. Respondent was stopped in the parking lot by security personnel who asked to see sales receipts for the merchandise, which Respondent could not produce. As security personnel were talking to Respondent, they noticed a blue 2-door Toyota Corolla vehicle with a white male waiting in the car behind the driver's seat. Respondent told security personnel that the male in the car was waiting for her to steal the jeans and come out of the store. The male then fled the scene in the vehicle.
- 26. Respondent confessed to stealing 10 pairs of jeans and claimed she did it for her boyfriend who was the suspect in the vehicle. Respondent said her boyfriend was a drug user and desperately needed money to boy more drugs and that this was the reason for the theft.

 Respondent also told staff that she had been arrested the previous Thursday at Macy's Department Store for theft. Santa Monica Police officers took Respondent into custody and booked her at the Santa Monica County Jail.

THIRD CAUSE FOR DISCIPLINE

(January 21, 1998 Criminal Conviction for Burglary)

- 27. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:
- 28. On or about January 21, 1998, in a criminal proceeding entitled *The People of the State of California v. Shannon Lynne Dutchess*, in the Los Angeles County Superior Court, Glendale Judicial District, in Case No. GA034361, Respondent was convicted on her plea of guilty to violating Penal Code section 459 (burglary), a felony.
- 29. As a result of the conviction, the Court ordered that Respondent be placed on three years probation, ordered her to serve 180 days in the county jail.

FOURTH CAUSE FOR DISCIPLINE

(July 7, 1999 Criminal Conviction for Petty Theft)

- 30. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:
- 31. On or about July 7, 1999, in a criminal proceeding entitled *The People of the State of California v. Shannon Lynne Dutchess*, in the Los Angeles County Superior Court, in Case No. 9CR2717301, Respondent was convicted on her plea of guilty to violating Penal Code section 484(a) (petty theft), a misdemeanor.
- 32. As a result of the conviction, the Court ordered that Respondent be placed on one year probation, ordered her to serve five days in the county jail.

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FIFTH CAUSE FOR DENIAL OF APPLICATION

(February 27, 2003 Criminal Conviction for Possession of Heroin, Less Than One Gram, on December 6, 2001)

- 33. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:
- 34. On or about February 27, 2003, in a criminal proceeding entitled *The State of Texas v. Shannon Lynne Dutchess*, in the 208th District Court of Harris County, Texas, Cause Number 896020, Respondent was convicted on her plea of guilty to violating Texas Health and Safety Code section 481.115 (possession of heroin, less than one gram).
- 35. As a result of the conviction, the Court ordered that Respondent be placed on three years probation, ordered her to serve seven months in the State Jail Division, with 18 days credit for time served, and ordered Respondent to pay a \$500 fine and \$273 in Court costs.
- 36. The facts and circumstances that led to the conviction are that on or about December 6, 2001, Houston, Texas Police Department officers were dispatched to the Neiman Marcus store reference a shoplifting suspect. Upon arrival, officers were informed that Respondent was detained by security for shoplifting. It was determined that Respondent attempted to shoplift three items of clothing while at the Neiman Marcus store. The combined value of the items was \$400. Officers placed Respondent under arrest and, while searching her purse, found a spoon in her handbag with heroin on it.

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SIXTH CAUSE FOR DENIAL OF APPLICATION

(January 13, 2006 Criminal Conviction for Possession of a Controlled Substance – Methamphetamine – on February 16, 2005)

- 37. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:
- 38. On or about January 13, 2006, in a criminal proceeding entitled *State of California v. Shannon Lynne Dutchess*, in the Los Angeles County Superior Court, Case Number SA055420, Respondent was convicted on her plea of guilty to violating Health and Safety Code section 11377 (possession of a controlled substance methamphetamine), a felony. As a result of a plea agreement, original counts for violating Health and Safety Code sections 11378 (possession for sale of a controlled substance) and 11364 (possession of drug paraphernalia), a misdemeanor, were dismissed.
- 39. On May 4, 2005, as a result of the plea, the Court granted Respondent deferred entry of judgment pursuant to Penal Code section 1000 for 18 months. The Court ordered Respondent to pay various fines and fees, not use or possess any narcotics, dangerous or restricted drugs or drug paraphernalia, except with a valid prescription, to stay away where buyers or sellers congregate, not associate with persons believed to be or known to be narcotic sellers or buyers, except in an authorized drug counseling program, not drink or possess any alcoholic beverage, stay out of places where alcohol is the chief item of sale, cooperate with probation officers in a plan for completion of the deferred entry of judgment, obey all laws and orders of the court, obey all rules and regulations of the probation department, and to seek and maintain employment or schooling.
- 40. From May 4, 2005 through January 13, 2006, the criminal matter was continued seven (7) times to give Respondent the opportunity to file proof with the Court of enrollment in the Deferred Entry of Judgment program, which Respondent failed to do. On January 13, 2006,

Respondent failed to appear at the hearing scheduled, the deferred entry of judgment was terminated, criminal proceedings reinstated, and a bench warrant was issued for Respondent's arrest. On the same date, the court convicted Respondent of violating Health and Safety Code section 11377.

- 41. On February 21, 2006, Respondent appeared in Court and the bench warrant was recalled. The Court ordered that Respondent serve nine (9) days in the Los Angeles County Jail and Respondent was placed on probation for three years, ordered to pay various fines and fees, ordered Respondent to submit to search and seizure, to keep probation officer advised of residence and work telephone numbers at all times, and to register with the local police agency as a narcotic offender.
- 42. On October 20, 2010, Respondent filed a motion to dismiss her conviction. On the same date, a hearing was held and Respondent's Petition for Relief under Penal Code section 1203.4 was granted because Respondent complied with the terms of probation. Respondent's plea of guilty was set aside and the case was dismissed.

SEVENTH CAUSE FOR DENIAL OF APPLICATION

(November 21, 2006 Criminal Conviction for Assault With a Deadly Weapon on or About October 16, 2006)

- 43. Respondent's application for registration as a pharmacy technician is subject to denial under section 480, subdivision (a)(1) and 4301(1) of the Code in that Respondent was convicted of a crime that is substantially related to the qualifications, duties, and functions of a pharmacy technician. The circumstances are as follows:
- 44. On or about November 21, 2006, the Los Angeles District Attorney's Office filed a felony complaint against Respondent alleging violation of Penal Code section 245(a)(1), (assault with a deadly weapon), in the criminal proceeding entitled *State of California v. Shannon Lynne Dutchess*, in the Los Angeles County Superior Court, Case Number SA062234. On December 26, 2006, Respondent was arrested and arraigned. The warrant was recalled and Respondent was remanded to custody.

- 45. On January 10, 2007, a preliminary hearing took place. The matter was continued to March 14, 2007, at which time Respondent pled guilty to violating Penal Code section 245(a)(1), (assault with a deadly weapon), a felony, and respondent admitted a special allegation pursuant to Penal Code section 12022.7(A) (infliction of great bodily injury to another person).
- As a result of the conviction, the court placed Respondent on formal probation for three (3) years, ordered that she serve 365 days in the Los Angeles County Jail, with 83 days custody and 40 days good time credit, for a total of 123 days credit. The court further ordered Respondent to pay restitution to the victim, pay various fines and fees, not own, use or possess any dangerous or deadly weapons, including firearms, knives or concealable weapons, not use or possess narcotics, dangerous or restricted drugs or associated paraphernalia, except with a valid prescription, to stay away from places where users, buyers or sellers congregate, except in an authorized drug counseling program, submit to periodic anti-narcotic tests as directed by the probation officer, not associate with known narcotic or drug users or sellers, not annoy, harass or molest anyone involved in the case, not threaten anyone, not use force or violence against anyone, seek and maintain training, schooling or employment as approved by the probation officer, cooperate with the probation officer in a plan for substance abuse counseling, submit person and property to search and seizure, maintain residence as approved by probation officer, obey all laws and orders of the court and rules and regulations of the probation department, not commit similar offenses during the probationary period, provide DNA samples, and to report to probation officer within 24 hours after release from custody.
- 47. On December 21, 2007, a hearing was held and Respondent's probation was revoked for violating probation. Respondent admitted in open court that she violated probation. Probation was reinstated and Respondent was ordered to enroll in and complete an out-patient drug program. On September 25, 2008, Respondent's probation was revoked for violating probation. A hearing was held and Respondent's probation was reinstated with modifications. The court ordered Respondent to enroll in and complete an out-patient drug program and to attend N.A. meetings at least once a week pending her enrollment in the out-patient drug program.

- 48. On September 28, 2008, a hearing was held and Respondent's probation was revoked for violating probation. Respondent admitted in open court that she violated probation. Respondent's counsel was directed by the court to locate a residential drug program for Respondent and Respondent was remanded to custody.
- 49. On October 9, 2008, Respondent was again found to be in violation of probation.

 Respondent admitted in open court that she violated probation. Probation was reinstated with same terms and conditions and modifications. Respondent was ordered to enroll in and complete a six (6) month residential drug program in the Clare Foundation House in Santa Monica.

 Respondent was ordered released to her uncle for transportation to the facility and ordered that Respondent be transported directly to the facility.
- 50. On May 14, 2010, Respondent filed a motion to dismiss her conviction. On the same date, a hearing was held and Respondent's Petition for Relief under Penal Code section 1203.4 was granted because Respondent complied with the terms of probation. Respondent's plea of guilty was set aside and the case was dismissed.

EIGHTH CAUSE FOR DENIAL OF APPLICATION

(Act Involving Dishonesty, Fraud, or Deceit)

51. Respondent's application is subject to denial under sections 480, subdivision (a)(2) and (c) and 4301(f) and (g), of the Code in that she committed an act of dishonesty, fraud and/or deceit when, in response to the question on his application for registration as a pharmacy technician, "Have you ever been convicted of or pled no contest to a violation of any law of a foreign country, the United States or any state laws or local ordinances? You must include all misdemeanor and felony convictions, regardless of the age of the conviction, including those which have been set aside under Penal Code section 1203.4. Traffic violations of \$500 or less need not be reported. If "yes," attach an explanation including the type of violation, the date, circumstances, location and the complete penalty received. In addition to this written explanation, please provide the Board of Pharmacy with certified copies of all pertinent court documents or arrest reports relating to this conviction" Respondent responded, "No," and failed to

disclose the convictions that are the subject of Causes for Denial One through Five, and the convictions and subsequent dismissal of convictions per Penal Code section 1203.4 that are the subject of Causes for Denial Six and Seven.

NINTH CAUSE FOR DENIAL OF APPLICATION

(Commission of Acts Which if Done by a Licensee Would be Grounds for Suspension or Revocation of License)

- 52. Respondent's application is subject to denial under sections 480, subdivision (a)(3)(A) of the Code in that she committed acts, that if done by a licensed pharmacy technician, would be grounds for suspension or revocation of the license. The circumstances are as follows:
- 53. On or about October 31, 1997, November 4, 1997, January 21, 1998, July 7, 1999, February 27, 2003, January 13, 2006, and November 21, 2006, as detailed in paragraphs 15 through 51, above, Respondent was convicted of crimes substantially related to the qualifications, functions, and duties of a licensed pharmacy technician, which would be grounds for discipline under section 4301, subdivision (f) and (l) of the Code.

PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

- 1. Denying the application of Shannon Lynne Dutchess for a Pharmacy Technician Registration;
 - 2. Taking such other and further action as deemed necessary and proper.

DATED: 5/31/12

VIRGINIA HEROLD

Executive Officer
Board of Pharmacy

Department of Consumer Affairs

State of California Complainant

SD2011801779